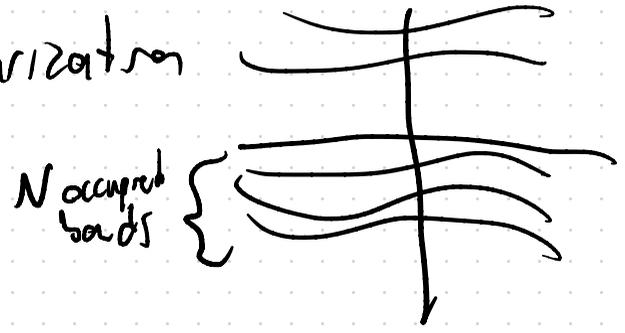


Lecture 17

Announcements: Final presentation suggested topics will be posted after class

- Email me your choice by 11/12
- Presentation logistics: 12/3, 12/5, 12/10
20 min + 5 mins questions

Recap: Hybrid Wannier fns and polarization



dipole moment per unit volume

$$\vec{p} = \frac{Ne}{v} \vec{x}_0 - \frac{e}{(2\pi)^3} \int d^3k \operatorname{tr}(\vec{A}(k))$$

↑
ionic center
of charge in
the unit cell

$$= \frac{1}{v} \left(Ne \vec{x}_0 - e \sum_{a=1}^N \int d^2k_{\perp} \vec{t}_i \varphi_i^a(k_{\perp}) \right)$$

$i \varphi_i^a(k_{\perp})$

e - eigenvalues of the Wilson loop in the
c-direction

\vec{p} only well-defined modulo $\frac{e\vec{t}}{v}$ for $\vec{t} \in T$

Can we extend this logic \rightarrow get functions exponentially localized
in all 3 directions

simultaneously diagonalize $P_{x_i}P$ and $P_{x_j}P$

We can only do this if $[P_{x_i}P, P_{x_j}P] = 0$

Take our wavepacket state

$$|F\rangle = \sum_{a=1}^N \frac{v}{(2\pi)^3} \int d^3k |\Psi_{qk}\rangle \frac{f_a}{a} \vec{k}$$

$$[P_{x_i}P, P_{x_j}P]|F\rangle = ?$$

$$P_{X_i} P |F\rangle = \sum_{a=1}^N \frac{v}{(2\pi)^3} \int d^3k |\Psi_{ak}\rangle [iD_i F]_{a\vec{k}}$$

$$[D_i F]_{a\vec{k}} = \left(\frac{\partial F_{ak}}{\partial k_i} - i \sum_{b=1}^N A_{ab}(k) F_{b\vec{k}} \right)$$

$$[P_{X_i} P, P_{X_j} P] |F\rangle = \sum_{a=1}^N \frac{v}{(2\pi)^3} \int d^3k |\Psi_{ak}\rangle [iD_i [iD_j F] - iD_j [iD_i F]]_{a\vec{k}}$$

$$= - \sum_{a=1}^N \frac{v}{(2\pi)^3} \int d^3k |\Psi_{ak}\rangle [D_i D_j F - D_j D_i F]_{a\vec{k}}$$

$$D_i D_j F = \left(\frac{\partial}{\partial k_i} - iA_i \right) \left(\frac{\partial}{\partial k_j} - iA_j \right) F$$

$$= \frac{\partial^2 F}{\partial k_i \partial k_j} - iA_i \frac{\partial F}{\partial k_j} - \frac{\partial}{\partial k_i} (A_j F) - A_i A_j F$$

$$D_i D_j f - D_j D_i f = \frac{\partial^2 f}{\partial k_i \partial k_j} - i A_i \frac{\partial f}{\partial k_j} - \frac{\partial}{\partial k_i} (A_j f) - A_i A_j f$$

$$- \left[\frac{\partial^2 f}{\partial k_j \partial k_i} - i A_j \frac{\partial f}{\partial k_i} - \frac{\partial}{\partial k_j} (A_i f) - A_j A_i f \right]$$

$$= -i \left[\frac{\partial A_i}{\partial k_j} - \frac{\partial A_j}{\partial k_i} - i [A_i, A_j] \right] f$$

$$= -i \sum_{b=1}^N \Omega_{ij}^{ab} f_b \hat{k}_i$$

(non-abelian)

$$\Omega_{ij}^{(b)} = \frac{\partial A_i}{\partial k_j} - \frac{\partial A_j}{\partial k_i} - i [A_i, A_j] - \text{Berry curvature}$$

→ The Berry curvature $\Omega_{ij}(k)$ tells us by how much Projected position operators fail to commute

$$[P_{x_i}P, P_{x_j}P]|f\rangle = \frac{2\pi}{(2\pi)^3} \int d^3k |\Psi_{nk}\rangle \sum_{b=1}^N \Omega_{ij}^{ab}(k) f_{bk}$$

unless $\Omega_{ij}(k) = 0$ for all \vec{k} , we can't

diagonalize $P_{x_i}P$ and $P_{x_j}P$.

Recall that for Hybrid Wannier fns

$$\begin{aligned} H|\Psi_{nk}\rangle &= E_{nk}|\Psi_{nk}\rangle \\ e^{-ik \cdot x} H e^{ik \cdot x} |u_{nk}\rangle &= E_{nk} |u_{nk}\rangle \end{aligned}$$

$$\sum_{n=1}^N |\Psi_{nk}\rangle U_{na}(k) \equiv |\Psi_{a, k_i, \vec{k}_\perp}\rangle$$

choose $U_{na}(k)$ s.t. $|\Psi_{a, k_i, \vec{k}_\perp}\rangle$

was an analytic fn of

k_i

$$U(k) = W_{k_i = k_0}(k_\perp) e^{\frac{-i\phi_a(k_\perp)}{2\pi}} \vec{g}_a$$

$$|W_{nk_\perp}\rangle = \frac{1}{2\pi} \int_0^{2\pi} dk_i |\Psi_{a, k_i, \vec{k}_\perp}\rangle e^{-ink_i}$$

To generalize: look for $N \times N$ periodic unitary



$$H(k) |u_{nk}\rangle = E_{nk} |u_{nk}\rangle$$

Electric field $H(k - E_0 t)$

$$U_{na}(k) \quad \text{s.t.}$$

$|\tilde{\Psi}_{ak}\rangle = \sum_{A=1}^N |\Psi_{Ak}\rangle U_{na}(k)$ is analytic as a fn
 of every component of \vec{k}

$$U_{\vec{t}} |\tilde{\Psi}_{ak}\rangle = e^{-i\vec{k} \cdot \vec{t}} |\tilde{\Psi}_{ak}\rangle$$

If we can do this, then

$$|W_{a\vec{R}}\rangle = \frac{V}{(2\pi)^3} \int d^3k |\tilde{\Psi}_{a\vec{k}}\rangle e^{-i\vec{k} \cdot \vec{R}}$$

will be

exponentially localized

$$e^{-|\vec{r}-\vec{R}|/\xi}$$

Wannier
function

$$\langle \vec{r} | W_{a\vec{R}} \rangle = W_{a\vec{R}}(\vec{r}) \xrightarrow{r \rightarrow \infty} e^{-|\vec{r}-\vec{R}|/\xi}$$

Important properties

$$\begin{aligned}\langle W_{a\vec{R}} | W_{b\vec{R}'} \rangle &= \left[\frac{v}{(2\pi)^3} \right]^2 \int d^3k d^3k' \langle \tilde{\Psi}_{ak} | \tilde{\Psi}_{bk'} \rangle e^{i(k\cdot R - k'\cdot R')} \\ &= \delta_{ab} \frac{v}{(2\pi)^3} \int d^3k e^{ik\cdot(R-R')} \\ &= \delta_{ab} \delta_{R,R'}\end{aligned}$$

Under Bravais
lattice translations

$$\begin{aligned}u_{\vec{t}} | W_{a\vec{R}} \rangle &= \frac{v}{(2\pi)^3} \int d^3k u_{\vec{t}} | \tilde{\Psi}_{ak} \rangle e^{-ik\cdot R} \\ &= \frac{v}{(2\pi)^3} \int d^3k | \tilde{\Psi}_{ak} \rangle e^{-ik\cdot(R+\vec{t})} = | W_{a\vec{R}+\vec{t}} \rangle\end{aligned}$$

Big picture of how we find Wannier functions

$$H \rightarrow \{ |\Psi_{nk}\rangle \}_{n=1}^N \quad U_{na}(k) - N \times N \text{ unitary matrix}$$

$$|W_{a\vec{R}}[U]\rangle = \frac{1}{(2\pi)^3} \int d^3k \sum_{n=1}^N |\Psi_{nk}\rangle U_{na}(k) e^{-i\vec{k}\cdot\vec{R}}$$

Metric for localizers

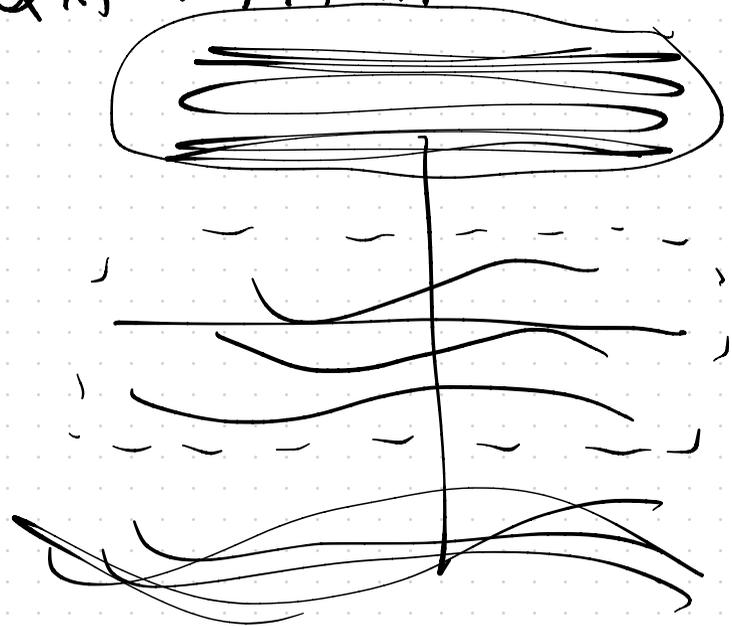
$$G[U] = \sum_{a=1}^N \langle W_{a0}[U] | x^2 | W_{a0}[U] \rangle - \left| \langle W_{a0}[U] | x | W_{a0}[U] \rangle \right|^2$$

discretize Brillouin zone \rightarrow numerically minimize G as a functional of $U \rightarrow$ maximally localized Wannier functions

Marzari et al Rev Mod Phys

$$P \times^2 P = P \times Q \times P + P \times P \times P$$

core bands



Caveats: ① Numerical minimization might not converge, might not give us something exponentially localized

② If we want WFs that respect the symmetries of a space group, we need to modify this procedure

It is not always the case that a given set of bands has exponentially localized, symmetric Wannier Functions

③ Wannier centers $\langle W_{aR} | \hat{x} | W_{aR} \rangle$

$$= \left(\frac{v}{(2\pi)^3} \right)^2 \int d^3k d^3k' \langle \tilde{\Psi}_{ak} | \hat{X} | \tilde{\Psi}_{ak'} \rangle e^{iR(k-k')}$$

$$= \left(\frac{v}{(2\pi)^3} \right) \int d^3k d^3k' \left(i \frac{\partial}{\partial k} \delta(k-k') + \tilde{A}_{aa}(k) \delta(k-k') \right) e^{iR(k-k')}$$

$$= \vec{R} + \frac{v}{(2\pi)^3} \int d^3k \tilde{A}_{aa}(k) \quad \tilde{A}_{ab}(k) = \langle \tilde{u}_{aq} | \frac{\partial \tilde{u}_b}{\partial k} \rangle$$

↑
diagonal aa
matrix element of \tilde{A} - not
an eigenvalue $\frac{\phi_a}{2J}$

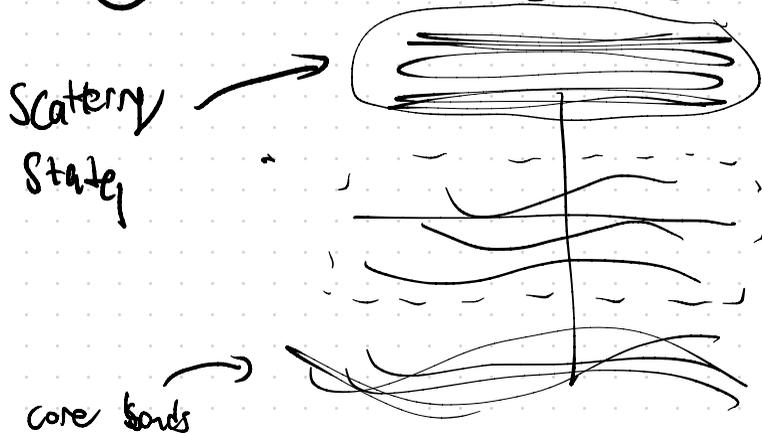
→ Wannier centers are not gauge invariant

$$\sum_{a=1}^N \langle W_{aR} | \vec{x} | W_{aR} \rangle = N \vec{R} + \frac{V}{(2\pi)^3} \int d^3k \text{tr} \tilde{A}(k)$$

↑ electronic contribution to \vec{p}

Two main uses for Wannier functions

① WFs reduce the dimensionality of Schrödinger Eqn



N states of interest $\rightarrow |W_{aR}\rangle$

$$\langle W_{aR} | H | W_{bR'} \rangle = h^{ab}(R-R')$$

② In proving whether or not exponentially localized WFs exist, we will discover topological insulators.