## Lecture 20 21: Trees

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## **Trees**

In computer science, there are (at least) two things that people refer to as trees.<sup>1</sup>

A free (or unrooted) tree is a connected undirected acyclic graph.

**Proposition 1.** Suppose a graph has a closed walk of length > 2 consisting of at least two distinct edges. Then the walk contains a cycle.

**Theorem 1.** For any two vertices in a free tree, there is exactly one path connecting them.

**Proposition 2.** If a free tree has at least two vertices, then it contains at least two vertices with degree one. **Theorem 2.** A free tree with n vertices has n-1 edges.

## (Rooted) Trees

A (rooted) tree is a free tree with a special vertex designated as the root.

When two vertices are neighbors, the one closer to the root is the **parent**, and the one farther away is the **children**. Children of the same parent are called **siblings**. A vertex with no children is a **leaf**, otherwise it is an **internal node** (or internal vertex). For a vertex v, vertices on the path from v to the root are **ancestors**. Vertices that have v as an ancestor are the **descendants** of v. Given a vertex a in a tree,

<sup>&</sup>lt;sup>1</sup>Caveat lector: different people have different conventions for what a "tree" with no adjectives refers to.

the **subtree rooted at** a is the tree consisting of a (as the root), all of a's descendants, and all the edges between these vertices.

The vertices of a rooted tree can be divided into levels. The **level** of a vertex is the length of the unique path to the root. The **height** of a tree is the level of any leaf.

A rooted tree is an m-ary tree if every internal vertex has at most m children. A m-ary tree is **full** if every internal vertex has exactly m children.

## Recursive Definition of and Induction on (Rooted) Trees

Base Case. A single vertex is a (rooted) tree.

Constructor Case. Suppose  $T_1, \ldots, T_k$  are rooted trees with roots  $r_1, \ldots, r_k$  such that  $\bigcap_{i=1}^k V(T_i) = \emptyset$ . Then the graph formed by taking a root r (that is not a vertex in  $T_1, \ldots, T_k$ ) and adding an edge from r to each of  $r_1, \ldots, r_k$  is a tree.

**Theorem 3.** For  $m \ge 2$ , if T is an m-ary tree with height h, then T has at most  $m^{h+1} - 1$  vertices.

Corollary 1. For  $m \ge 2$ , if T is an m-ary tree with n vertices, then its height is at least  $\log_m(n+1) - 1$ .

**Proposition 3.** Consider the family of trees of height at least two one whose vertices are colored either orange or blue. If all leaves in a tree are colored blue and the root is colored orange, then there exists an internal node that is colored orange that has a child that is colored blue.