

# Worksheet on Pigeon Hole Principle and Principle of Inclusion-Exclusion

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## Lecture Summary

- The pigeon hole principle says that if  $|A| > |B|$  then for any function  $f : A \rightarrow B$  there are  $a, b \in A$  such that  $f(a) = f(b)$ .
- The generalized pigeon hole principle is as follows. Let  $A$  be a set and  $B$  be an  $n$ -element set (say)  $\{b_1, b_2, \dots, b_n\}$ . Let  $q_1, \dots, q_n$  be  $n$  natural numbers such that

$$|A| > q_1 + q_2 + \dots + q_n.$$

For any function  $f : A \rightarrow B$  there is an  $i \in \{1, 2, \dots, n\}$  such that  $|\{a \in A \mid f(a) = b_i\}| > q_i$ .

- Observe that the (basic) pigeon hole principle is a special case of the generalized pigeon hole principle, where each  $q_i = 1$ .
- Another special case of the generalized pigeon hole principle is as follows. If  $|A| > k|B|$  then for any function  $f : A \rightarrow B$  there are  $k + 1$  elements  $a_1, a_2, \dots, a_{k+1} \in A$  such that  $f(a_i) = f(a_j)$  for any  $i, j \in \{1, 2, \dots, k + 1\}$ .
- The principle of inclusion-exclusion says that for any sets  $S_1, S_2, \dots, S_n$ ,

$$\left| \bigcup_{i=1}^n S_i \right| = \sum_{\emptyset \neq I \subseteq \{1, 2, \dots, n\}} (-1)^{|I|+1} \left| \bigcap_{i \in I} S_i \right|$$

- When  $n = 2$  or  $n = 3$ , the principle of inclusion-exclusion specializes to the following equations.

$$\begin{aligned} |A \cup B| &= |A| + |B| - |A \cap B| \\ |A \cup B \cup C| &= |A| + |B| + |C| - |A \cap B| - |A \cap C| - |B \cap C| \\ &\quad + |A \cap B \cap C| \end{aligned}$$

**Problem 1.** Prove that any subset  $A \subseteq \{1, 2, \dots, 9\}$  of size 6, must contain a pair of numbers whose sum is 10.

**Problem 2.** In a group of  $n$  people, prove that there are two people with the same number of friends.

**Problem 3.** For any sequence of integers  $a_1, a_2, \dots, a_n$ , prove that there is some “consecutive sum” that is divisible by  $n$ . That is, prove that there are indices  $0 \leq i < j \leq n$  such that  $n \mid (a_{i+1} + a_{i+2} + \dots + a_j)$ .

**Problem 4.** Let  $S$  be the set of permutations of  $\{0, 1, 2, \dots, 9\}$  such that either 7 and 3, or 1 and 7 appear consecutively (in that order). For example,  $0, 1, 2, 3, 4, 5, 6, 7, 8, 9 \notin S$  (as neither 1,7 nor 7,3 appear),  $0, 2, 3, 4, 5, 6, 8, 9, 7, 1 \notin S$  (the 7,1 at the end does not count as they are in the flipped order),  $0, 2, 3, 4, 5, 6, 8, 9, 1, 7 \in S$  (because of the 1,7 at the end),  $1, 7, 3, 0, 2, 4, 5, 6, 8, 9 \in S$  (because of either 1 followed by 7 or 7 followed by 3). What is  $|S|$ ?

**Problem 5.** How many ways can we place 4 distinct letters in 4 different pre-addressed envelopes so that no letter is placed the correct envelope?