CS 374: Algorithms & Models of Computation, Fall 2015

Dynamic Programming

Lecture 11 October 1, 2015

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Dynamic Programming is smart recursion plus memoization

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Question: Suppose we have a recursive program foo(x) that takes an input x.

- On input of size n the number of distinct sub-problems that foo(x) generates is at most A(n)
- foo(x) spends at most B(n) time not counting the time for its recursive calls.

What is an upper bound on the running time of *memoized* version of foo(x) if |x| = n?

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What is an upper bound on the running time of *memoized* version of foo(x) if |x| = n? O(A(n)B(n)).

Part 1

Longest Increasing Subsequence

Sequences

Definition

Sequence: an ordered list a_1, a_2, \ldots, a_n . Length of a sequence is number of elements in the list.

Definition

 a_{i_1}, \ldots, a_{i_k} is a subsequence of a_1, \ldots, a_n if $1 \le i_1 < i_2 < \ldots < i_k \le n$.

Definition

A sequence is **increasing** if $a_1 < a_2 < \ldots < a_n$. It is **non-decreasing** if $a_1 \le a_2 \le \ldots \le a_n$. Similarly **decreasing** and **non-increasing**.

Sequences

Example...

Example

- **1** Sequence: **6**, **3**, **5**, **2**, **7**, **8**, **1**, **9**
- 2 Subsequence of above sequence: 5, 2, 1
- Increasing sequence: 3, 5, 9, 17, 54
- Decreasing sequence: 34, 21, 7, 5, 1
- Increasing subsequence of the first sequence: 2, 7, 9.

Longest Increasing Subsequence Problem

Input A sequence of numbers a_1, a_2, \ldots, a_n

Goal Find an increasing subsequence $a_{i_1}, a_{i_2}, \ldots, a_{i_k}$ of maximum length

6

Longest Increasing Subsequence Problem

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Goal Find an increasing subsequence $a_{i_1}, a_{i_2}, \ldots, a_{i_k}$ of maximum length

Example

- Sequence: 6, 3, 5, 2, 7, 8, 1
- Increasing subsequences: 6, 7, 8 and 3, 5, 7, 8 and 2, 7 etc
- Longest increasing subsequence: 3, 5, 7, 8

Recursive Approach: Take 1

LIS: Longest increasing subsequence

Can we find a recursive algorithm for LIS?

LIS(**A[1..n]**):

Recursive Approach: Take 1

LIS: Longest increasing subsequence

Can we find a recursive algorithm for LIS?

LIS(**A[1..n]**):

- Case 1: Does not contain A[n] in which case LIS(A[1..n]) = LIS(A[1..(n-1)])
- Case 2: contains A[n] in which case LIS(A[1..n]) is not so clear.

Observation

For second case we want to find a subsequence in A[1..(n-1)] that is restricted to numbers less than A[n]. This suggests that a more general problem is $LIS_smaller(A[1..n], x)$ which gives the longest increasing subsequence in A where each number in the sequence is less than x.

LIS(A[1..n]): the length of longest increasing subsequence in **A**

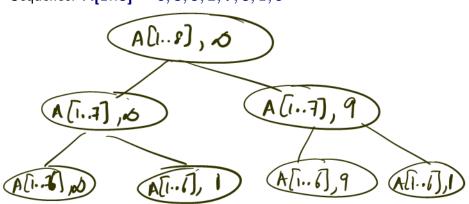
LIS_smaller(A[1..n], x): length of longest increasing subsequence in A[1..n] with all numbers in subsequence less than x

```
\begin{split} & \text{LIS\_smaller}(A[1..n], x) : \\ & \text{if } (n=0) \text{ then return } 0 \\ & \text{m} = \text{LIS\_smaller}(A[1..(n-1)], x) \\ & \text{if } (A[n] < x) \text{ then} \\ & \text{m} = \text{max}(m, 1 + \text{LIS\_smaller}(A[1..(n-1)], A[n])) \\ & \text{Output } m \end{split}
```

```
 \begin{array}{c} \mathsf{LIS}(\mathsf{A}[1..\mathsf{n}]) \colon \\ \mathsf{return} \ \mathsf{LIS\_smaller}(\mathsf{A}[1..\mathsf{n}], \infty) \end{array}
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Example

Sequence: A[1..8] = 6, 3, 5, 2, 7, 8, 1, 9



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- How much space for memoization?

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- What is the running time if we memoize recursion? O(n²) since each call takes O(1) time to assemble the answers from to recursive calls and no other computation.
- How much space for memoization? $O(n^2)$

Definition

LISEnding(A[1..n]): length of longest increasing sub-sequence that ends in A[n].

Question: can we obtain a recursive expression?

$$(3,3,5,2,7,8,1,9)$$

LISE $(A[i..8]) = 45$ $(3,5,7,8,9)$
LISE $(A[i..7]) = 1$ (1)
 $(A[i..(]) = 24$ $(3,5,7,8)$

Definition

LISEnding(A[1..n]): length of longest increasing sub-sequence that ends in A[n].

Question: can we obtain a recursive expression?

Example

Sequence: A[1..8] = 6, 3, 5, 2, 7, 8, 1, 9LIST (A[1..8]) =

```
\begin{split} & \text{LIS\_ending\_alg}\left(\textbf{A}[1..n]\right): \\ & \text{if } (n=0) \text{ return } 0 \\ & m=1 \\ & \text{for } i=1 \text{ to } n-1 \text{ do} \\ & \text{if } (\textbf{A}[i] < \textbf{A}[n]) \text{ then} \\ & m = \text{max}\Big(m, \ 1 + \text{LIS\_ending\_alg}(\textbf{A}[1..i])\Big) \\ & \text{return } m \end{split}
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- What is the running time if we memoize recursion? $O(n^2)$ since each call takes O(n) time
- How much space for memoization? O(n)

Typically, after finding a dynamic programming recursion, we often convert the recursive algorithm into an *iterative* algorithm via *explicit* memoization and *bottom up* computation.

Why?

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How?

- First, allocate a data structure (usually an array or a multi-dimensional array that can hold values for each of the subproblems)
- Figure out a way to order the computation of the sub-problems starting from the base case.

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How?

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- Figure out a way to order the computation of the sub-problems starting from the base case.

Caveat: Dynamic programming is not about filling tables. It is about finding a smart recursion. First, find the correct

Iterative Algorithm via Memoization

Compute the values **LIS_ending_alg(A[1..i])** iteratively in a bottom up fashion.

```
 \begin{split} & \text{LIS\_ending\_alg}(A[1..n]): \\ & \text{Array L}[1..n] \quad (* \text{ L}[i] = \text{ value of LIS\_ending\_alg}(A[1..i]) \ *) \\ & \text{for } i = 1 \text{ to n do} \\ & \text{L}[i] = 1 \\ & \text{for } j = 1 \text{ to } i - 1 \text{ do} \\ & \text{if } (A[j] < A[i]) \text{ do} \\ & \text{L}[i] = \text{max}(\text{L}[i], 1 + \text{L}[j]) \\ & \text{return L}  \end{split}
```

```
LIS(A[1..n]):

L = LIS_ending_alg(A[1..n])

return the maximum value in L
```

Iterative Algorithm via Memoization

Simplifying:

Iterative Algorithm via Memoization

Simplifying:

Correctness: Via induction following the recursion Running time:

Iterative Algorithm via Memoization

Simplifying:

Correctness: Via induction following the recursion

Running time: O(n²)

Space:

Iterative Algorithm via Memoization

Simplifying:

Correctness: Via induction following the recursion

Running time: $O(n^2)$ Space: $\Theta(n)$

Iterative Algorithm via Memoization

Simplifying:

Correctness: Via induction following the recursion

Running time: $O(n^2)$ Space: $\Theta(n)$

 $O(n \log n)$ run-time achievable via better data structures.

Example

Example

- Sequence: 6, 3, 5, 2, 7, 8, 1, 9
- Longest increasing subsequence: 3, 5, 7, 8, 9

L[1.8] L[i]= LISenday (A[1..i])

L[i]= 1

L[i]=
$$\max ((0), 1 \neq 1+0) = 1$$

L[i]= $\max (1, 1+L[i], 1+0) = 1$

L[i]= $\max (1, 1+0)$

L[i]=

Example

Example

- **1** Sequence: 6, 3, 5, 2, 7, 8, 1
- 2 Longest increasing subsequence: 3, 5, 7, 8

- L[i] is value of longest increasing subsequence ending in A[i]
- f 2 Recursive algorithm computes f L[i] from f L[1] to f L[i-1]
- lacktriangle Iterative algorithm builds up the values from lacktriangle to lacktriangle lacktriangle Iterative algorithm builds up the values from lacktriangle

Computing Solutions

- Memoization + Recursion/Iteration allows one to compute the optimal value. What about the actual sub-sequence?
- Two methods
 - **Explicit:** For each subproblem find an optimum solution for that subproblem while computing the optimum value for that subproblem. Typically slow but automatic.
 - Implicit: For each subproblem keep track of sufficient information (decision) on how optimum solution for subproblem was computed. Reconstruct optimum solution later via stored information. Typically much more efficient but requires more thought.

```
LIS(A[1..n]):
    Array L[1..n] (* L[i] stores the value LISEnding(A[1..i]) *)
    Array S[1..n] (* S[i] stores the sequence achieving L[i] *)
    m = 0
    h = 0
    for i = 1 to n do
        L[i] = 1
        S[i] = [i]
        for i = 1 to i - 1 do
             if (A[i] < A[i]) and (L[i] < 1 + L[i]) do
                 L[i] = 1 + L[i]
                 S[i] = concat(S[i], [i])
        if (m < L[i]) m = L[i], h = i
    return m, S[h]
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```

Running time: $O(n^3)$ Space: $O(n^2)$. Extra time/space to store, copy

```
LIS(A[1..n]):
    Array L[1..n] (* L[i] stores the value LISEnding(A[1..i]) *)
    Array D[1..n] (* D[i] stores how L[i] was computed *)
    m = 0
    h = 0
    for i = 1 to n do
         L[i] = 1
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         for i = 1 to i - 1 do
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    \mathbf{m} = \mathbf{L}[\mathbf{h}] is optimum value
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```

Question: Can we obtain solution from stored **D** values and **h**?

```
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                 L[i] = 1 + L[i], D[i] = i
         if (m < L[i]) m = L[i], h = i
    S = empty sequence
    while (h > 0) do
         add L[h] to front of S
        h = D[h]
    Output optimum value \mathbf{m}, and an optimum subsequence \mathbf{S}
```

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    S = empty sequence
    while (h > 0) do
         add L[h] to front of S
         h = D[h]
    Output optimum value \mathbf{m}, and an optimum subsequence \mathbf{S}
```

Running time: $O(n^2)$ Space: O(n).

Dynamic Programming

- Find a "smart" recursion for the problem in which the number of distinct subproblems is small; polynomial in the original problem size.
- Estimate the number of subproblems, the time to evaluate each subproblem and the space needed to store the value. This gives an upper bound on the total running time if we use automatic memoization.
- Seliminate recursion and find an iterative algorithm to compute the problems bottom up by storing the intermediate values in an appropriate data structure; need to find the right way or order the subproblem evaluation. This leads to an explicit algorithm.
- Optimize the resulting algorithm further

Part II

Checking if string in (





Problem

- Input A string $\mathbf{w} \in \mathbf{\Sigma}^*$ and access to a language $\mathbf{L} \subseteq \mathbf{\Sigma}^*$ via function IsStringinL(string x) that decides whether x is in \mathbf{L}
 - Goal Decide if $\mathbf{w} \in \mathbf{L}^*$ using IsStringinL(string x) as a black box sub-routine

Example

Suppose **L** is **English** and we have a procedure to check whether a string/word is in the **English** dictionary.

- Is the string "isthisanenglishsentence" in English*?
- Is "stampstamp" in English*?
- Is "zibzzzad" in English*?



When is $\mathbf{w} \in \mathbf{L}^*$?

When is $\mathbf{w} \in \mathbf{L}^*$?

 $\mathbf{w} \in \mathbf{L}^*$ if $\mathbf{w} \in \mathbf{L}$ or if $\mathbf{w} = \mathbf{u}\mathbf{v}$ where $\mathbf{u} \in \mathbf{L}$ and $\mathbf{v} \in \mathbf{L}^*$

```
When is \mathbf{w} \in \mathbf{L}^*? \mathbf{w} \in \mathbf{L}^* if \mathbf{w} \in \mathbf{L} or if \mathbf{w} = \mathbf{u}\mathbf{v} where \mathbf{u} \in \mathbf{L} and \mathbf{v} \in \mathbf{L}^* Assume \mathbf{w} is stored in array \mathbf{A[1..n]}
```

```
\begin{split} & \text{IsStringinLstar}(A[1..n]): \\ & \text{If } (\text{IsStringinL}(A[1..n])) \\ & \text{Output YES} \\ & \text{Else} \\ & \text{For } (i=1 \text{ to } n-1) \text{ do} \\ & \text{If } (\text{IsStringinL}(A[1..i]) \text{ and } \text{IsStringinLstar}(A[i+1..n])) \\ & \text{Output YES} \\ & \text{Output NO} \end{split}
```

Assume \mathbf{w} is stored in array $\mathbf{A}[1..n]$

How many distinct sub-problems does IsStringinLstar(A[1..n]) generate?

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- How many distinct sub-problems does IsStringinLstar(A[1..n]) generate? O(n)
- What is running time of memoized version of IsStringinLstar(A[1..n])? O(n²)
- What is space requirement of memoized version of IsStringinLstar(A[1..n])? O(n)

A variation

- Input A string $\mathbf{w} \in \mathbf{\Sigma}^*$ and access to a language $\mathbf{L} \subseteq \mathbf{\Sigma}^*$ via function IsStringinL(string x) that decides whether x is in \mathbf{L} , and non-negative integer \mathbf{k}
 - Goal Decide if $\mathbf{w} \in \mathbf{L}^k$ using IsStringinL(string x) as a black box sub-routine

Example

Suppose L is **English** and we have a procedure to check whether a string/word is in the **English** dictionary.

- Is the string "isthisanenglishsentence" in **English**⁵?
- Is the string "isthisanenglishsentence" in **English**⁴?
- Is "asinineat" in **English**²?
- Is "asinineat" in English⁴?
- Is "zibzzzad" in English¹?

When is $\mathbf{w} \in \mathbf{L}^{k}$?

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```
When is \mathbf{w} \in \mathbf{L}^k?

\mathbf{k} = \mathbf{0}: \mathbf{w} \in \mathbf{L}^k iff \mathbf{w} = \epsilon

\mathbf{k} = \mathbf{1}: \mathbf{w} \in \mathbf{L}^k iff \mathbf{w} \in \mathbf{L}

\mathbf{k} > \mathbf{1}: \mathbf{w} \in \mathbf{L}^k if \mathbf{w} = \mathbf{u}\mathbf{v} with \mathbf{u} \in \mathbf{L} and \mathbf{v} \in \mathbf{L}^{k-1}
```

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```
When is \mathbf{w} \in \mathbf{L}^k? \mathbf{k} = \mathbf{0}: \mathbf{w} \in \mathbf{L}^k iff \mathbf{w} = \epsilon \mathbf{k} = \mathbf{1}: \mathbf{w} \in \mathbf{L}^k iff \mathbf{w} \in \mathbf{L} \mathbf{k} > \mathbf{1}: \mathbf{w} \in \mathbf{L}^k if \mathbf{w} = \mathbf{u}\mathbf{v} with \mathbf{u} \in \mathbf{L} and \mathbf{v} \in \mathbf{L}^{k-1} Assume \mathbf{w} is stored in array \mathbf{A}[\mathbf{1}..\mathbf{n}]

IsStringinLk(\mathbf{A}[\mathbf{1}..\mathbf{n}], \mathbf{k}):

If (\mathbf{k} = \mathbf{0})
```

```
\begin{split} & \text{IsStringinLk}(A[1..n], k) \colon \\ & \text{If } (k=0) \\ & \text{If } (n=0) \text{ Output YES} \\ & \text{Else Ouput NO} \\ & \text{If } (k=1) \\ & \text{Output IsStringinL}(A[1..n]) \\ & \text{Else} \\ & \text{For } (i=1 \text{ to } n-1) \text{ do} \\ & \text{If } (\text{IsStringinL}(A[1..i]) \text{ and IsStringinLk}(A[i+1..n], k-1)) \\ & \text{Output YES} \end{split}
```

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```
IsStringinLk(A[1..n], k):
    If (k = 0)
         If (n = 0) Output YES
         Else Ouput NO
    If (k = 1)
         Output IsStringinL(A[1..n])
    Else
         For (i = 1 \text{ to } n - 1) do
             If (IsStringinL(A[1..i])) and IsStringinLk(A[i+1..n], k-1))
                  Output YES
    Output NO
```

How many distinct sub-problems are generated by IsStringinLk(A[1..n], k)?

```
IsStringinLk(A[1..n], k):
    If (k = 0)
         If (n = 0) Output YES
         Else Ouput NO
    If (k = 1)
         Output IsStringinL(A[1..n])
    Else
         For (i = 1 \text{ to } n - 1) do
             If (IsStringinL(A[1..i])) and IsStringinLk(A[i+1..n], k-1))
                  Output YES
    Output NO
```

 How many distinct sub-problems are generated by IsStringinLk(A[1..n], k)? O(nk)

```
IsStringinLk(A[1..n], k):
    If (k = 0)
         If (n = 0) Output YES
         Else Ouput NO
    If (k = 1)
         Output IsStringinL(A[1..n])
    Else
         For (i = 1 \text{ to } n - 1) do
             If (IsStringinL(A[1..i])) and IsStringinLk(A[i+1..n], k-1))
                  Output YES
    Output NO
```

- How many distinct sub-problems are generated by IsStringinLk(A[1..n], k)? O(nk)
- How much space?

```
IsStringinLk(A[1..n], k):
    If (k = 0)
         If (n = 0) Output YES
         Else Ouput NO
    If (k = 1)
         Output IsStringinL(A[1..n])
    Else
         For (i = 1 \text{ to } n - 1) do
             If (IsStringinL(A[1..i])) and IsStringinLk(A[i+1..n], k-1))
                  Output YES
    Output NO
```

- How many distinct sub-problems are generated by IsStringinLk(A[1..n], k)? O(nk)
- How much space? O(nk) pause
- Running time?

```
IsStringinLk(A[1..n], k):
    If (k = 0)
         If (n = 0) Output YES
         Else Ouput NO
    If (k = 1)
         Output IsStringinL(A[1..n])
    Else
         For (i = 1 \text{ to } n - 1) do
             If (IsStringinL(A[1..i])) and IsStringinLk(A[i+1..n], k-1))
                  Output YES
    Output NO
```

- How many distinct sub-problems are generated by IsStringinLk(A[1..n], k)? O(nk)
- How much space? O(nk) pause
- Running time? O(n²k)

Another variant

Question: What if we want to check if $\mathbf{w} \in L^i$ for some $0 \le i \le k$? That is, is $\mathbf{w} \in \cup_{i=0}^k L^i$?

Exercise

Definition

A string is a palindrome if $\mathbf{w} = \mathbf{w}^{\mathsf{R}}$.

Examples: I, RACECAR, MALAYALAM, DOOFFOOD

Exercise

Definition

A string is a palindrome if $\mathbf{w} = \mathbf{w}^{\mathsf{R}}$.

Examples: I, RACECAR, MALAYALAM, DOOFFOOD

Problem: Given a string **w** find the *longest subsequence* of **w** that is a palindrome.

Example

MAHDYNAMICPROGRAMZLETMESHOWYOUTHEM has MHYMRORMYHM as a palindromic subsequence

Exercise

Assume w is stored in an array A[1..n]

LPS(A[1..n]): length of longest palindromic subsequence of **A**.

Recursive expression/code?