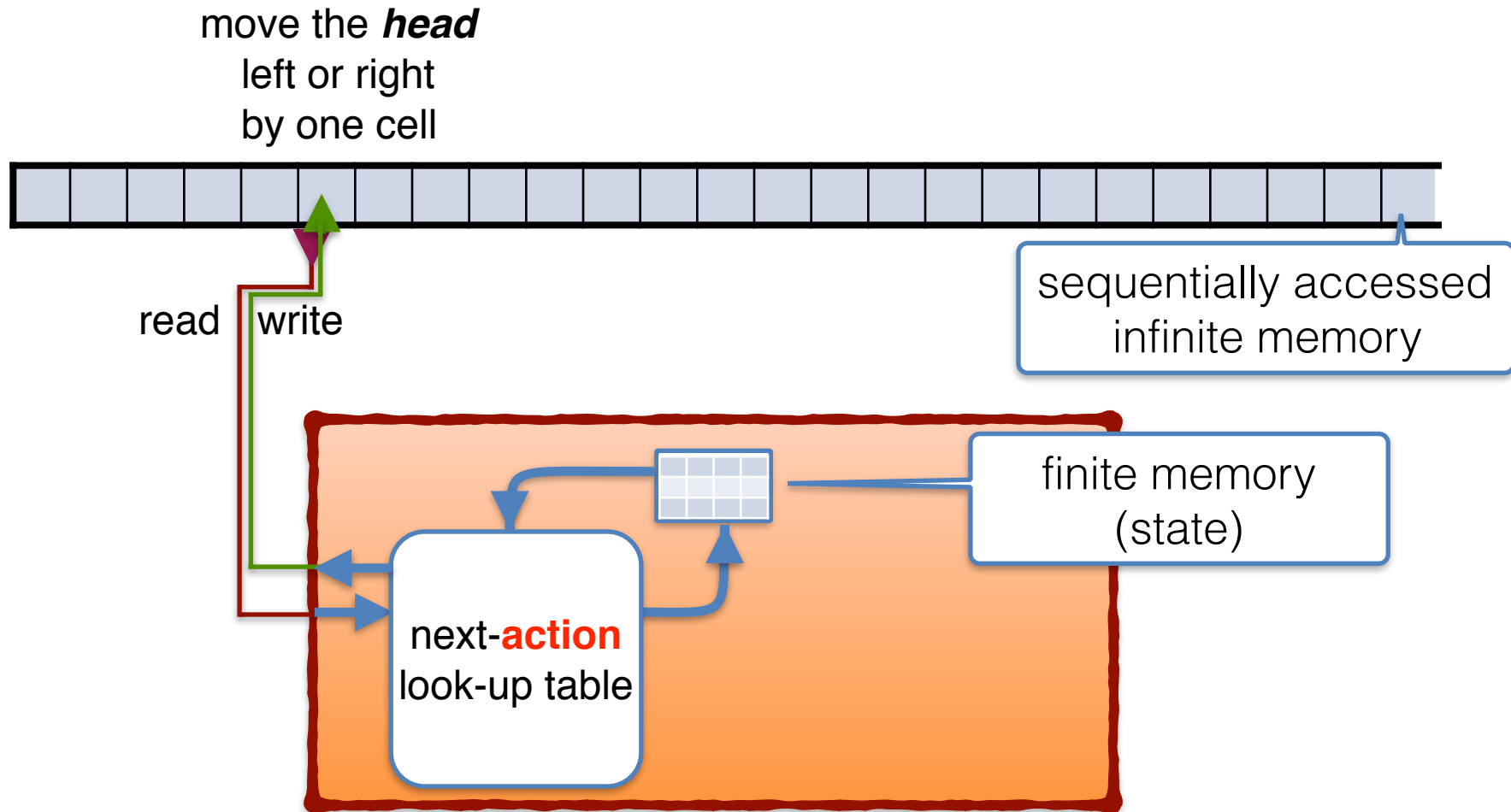


# Universal Turing Machine

Lecture 20

# Turing Machine



Variants don't change which languages are recognizable/decidable



# Today



$k$ -tape TM

Subroutines & Recursion

Universal TM

Simulating a Random Access Machine

Church-Turing Thesis

# Extension: multiple tapes

## $k$ -tape TM

$k$  different (2-way infinite) tapes

$k$  different independently controllable heads

input initially on tape 1; tapes 2, 3, ...,  $k$ , blank.

Single move:

read symbols under all heads

print (possibly different) symbols under heads

move all heads (possibly in different directions)

go to new state



# k-tape TM transition function

$$\delta(q, a_1, a_2, \dots, a_k) = (p, b_1, b_2, \dots, b_k, D_1, D_2, \dots, D_k)$$

Symbols scanned on the  $k$  different tapes      Symbols to be written on the  $k$  different tapes      Directions to move in ( $D_i$  is one of L, R, S)

Utility of multiple tapes:  
makes programming a whole lot easier

Example:  $L = \{ w\#w^R \mid w \in \{0,1\}^* \}$

With single tape, need  $\Omega(n^2)$  steps

|    |   |   |   |   |   |   |   |   |   |   |   |   |  |  |
|----|---|---|---|---|---|---|---|---|---|---|---|---|--|--|
| 1  | 1 | 0 | 0 | 1 | 0 | # | 0 | 1 | 0 | 0 | 1 | 1 |  |  |
| 1' | 1 | 0 | 0 | 1 | 0 | # |   |   |   |   |   |   |  |  |

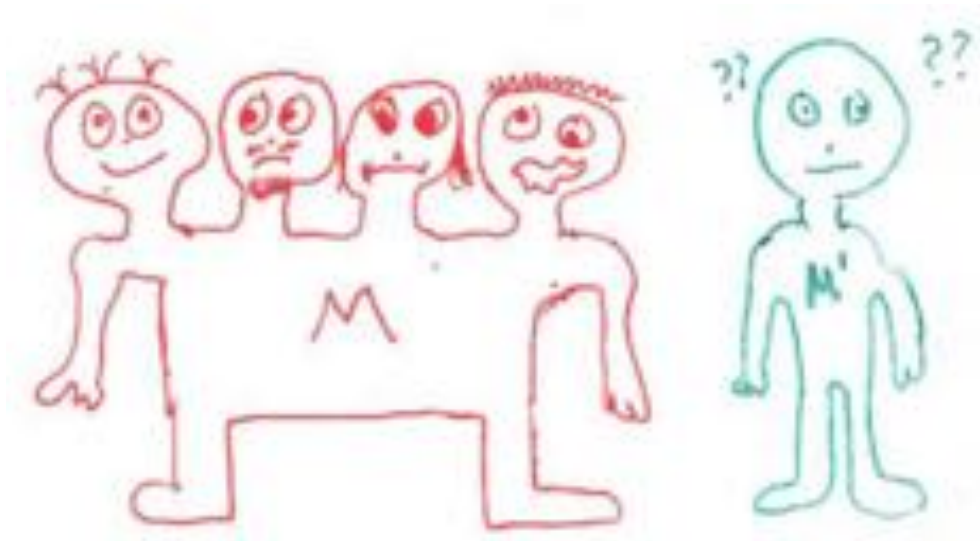
With 2 tapes,  $n+1$  steps:  
copy till # to 2<sup>nd</sup> tape.  
Scan it backwards after that



# Can't compute more with $k$ tapes

Theorem: If  $L$  is accepted by a  $k$ -tape TM  $M$ , then  $L$  is accepted by some 1-tape TM  $M'$ .

Idea:  $M'$  uses  $k$  tracks to simulate tapes of  $M$

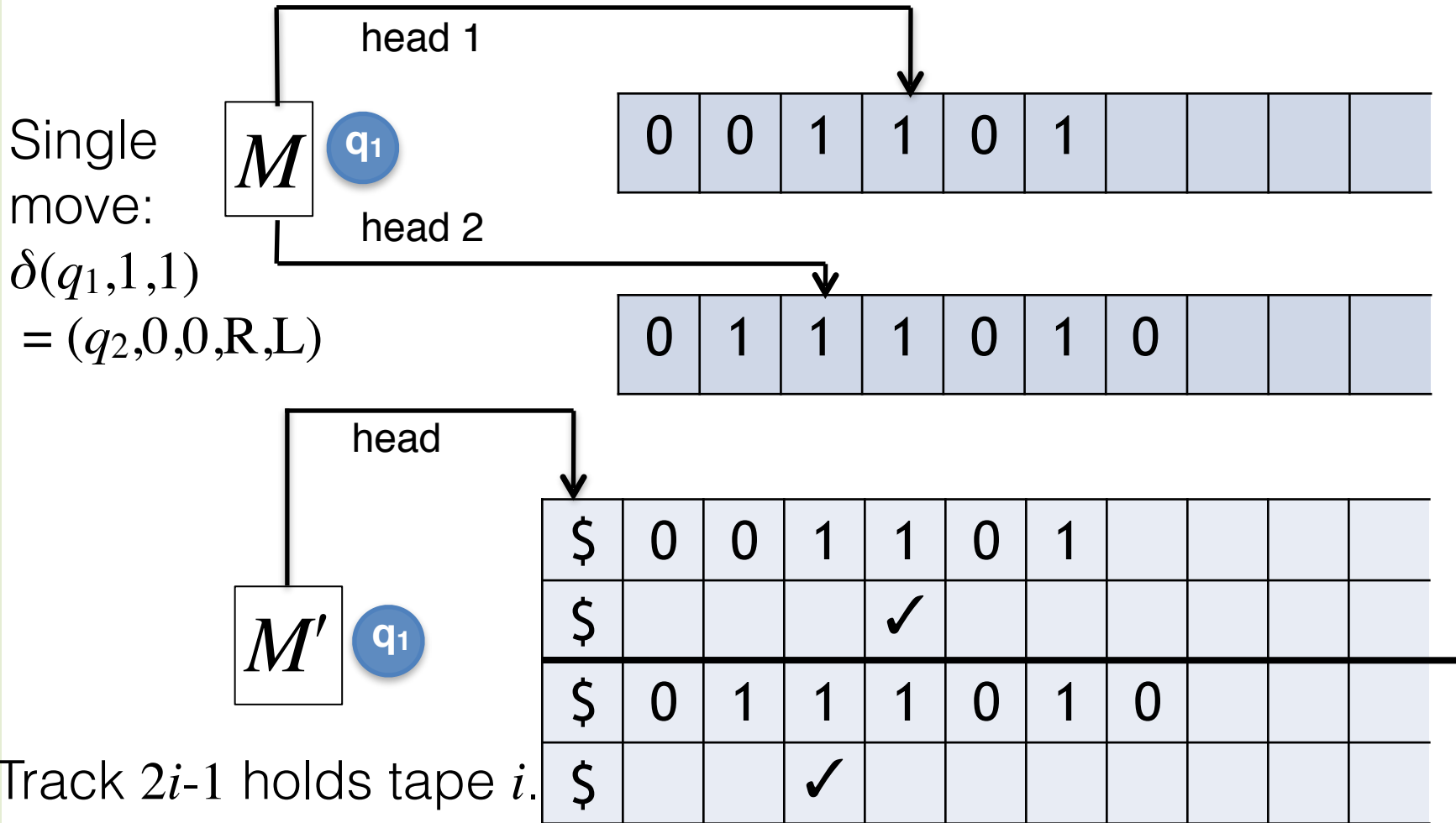


BUT....  
M has  $k$  heads!

How can  $M'$  be in  
 $k$  places at once?

$M'$  will use  $2k$  tracks to simulate tapes+heads of  $M$

# Snapshot of simulation (k = 2)



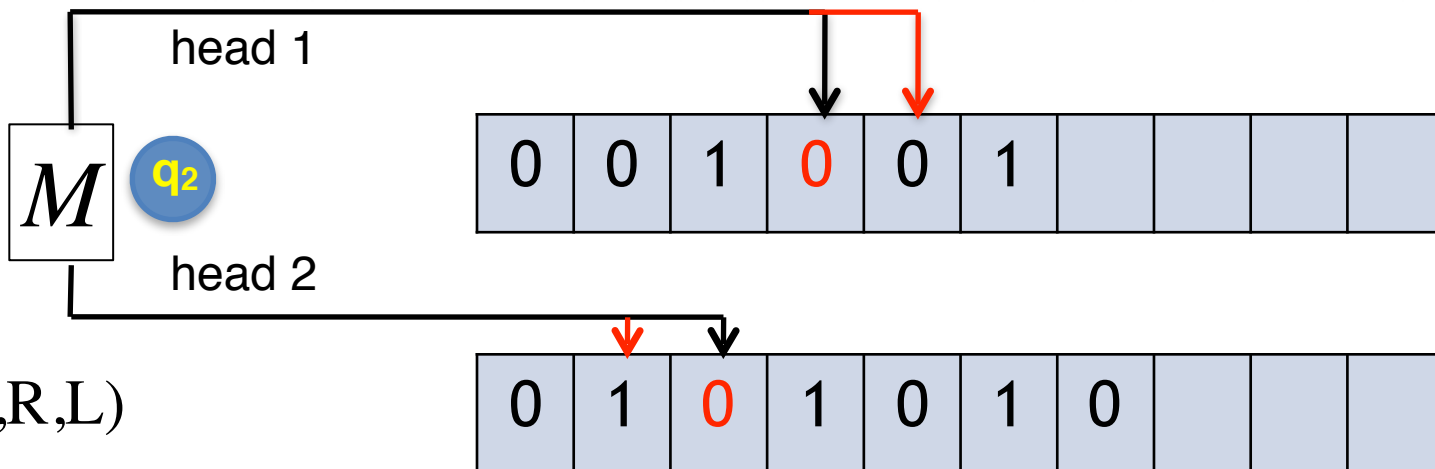
Track  $2i-1$  holds tape  $i$ .  
 Track  $2i$  holds position  
 of head  $i$

# Snapshot of simulation (k = 2)

Single move:

$$\delta(q_1, 1, 1)$$

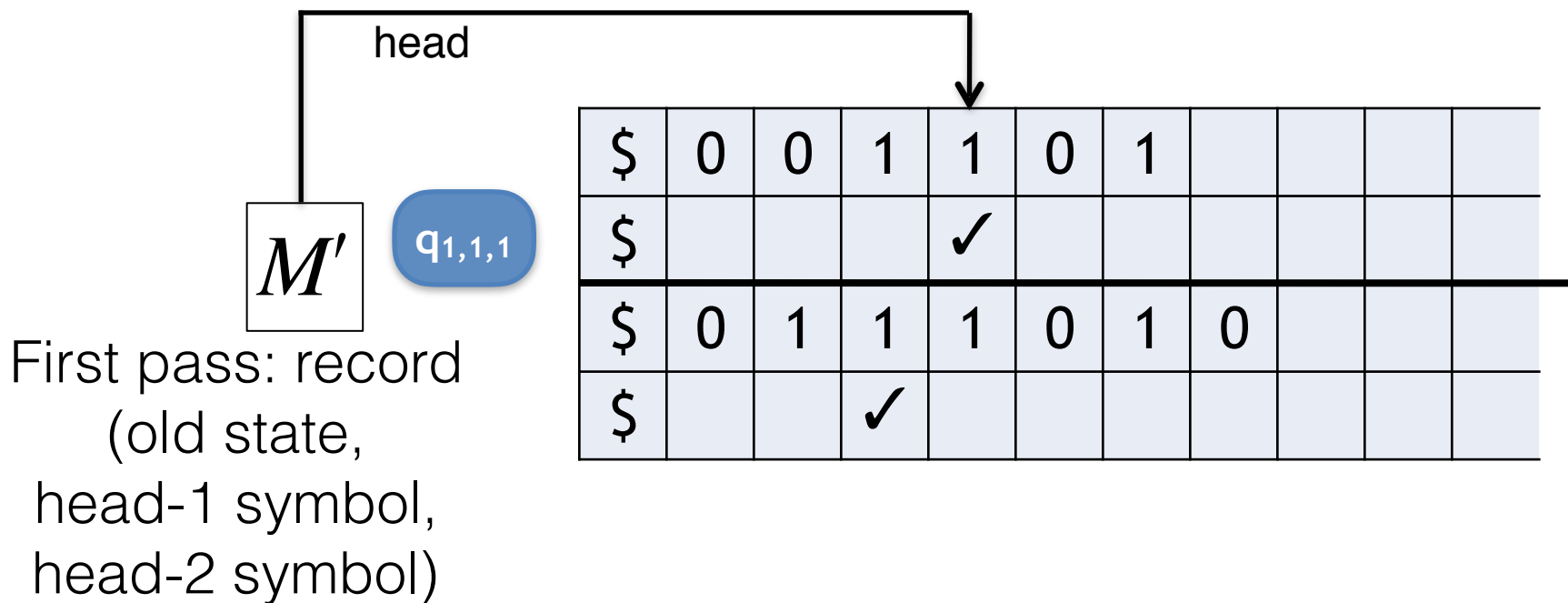
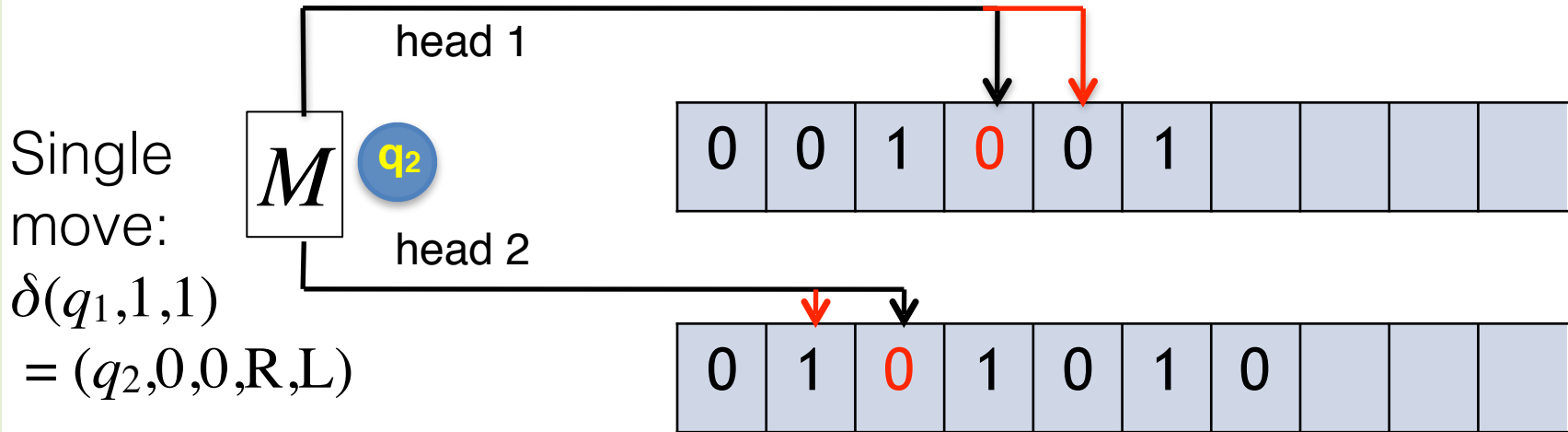
$$= (q_2, 0, 0, R, L)$$



Make two sweeps over the tape (up to the rightmost head)



# Snapshot of simulation (k = 2)

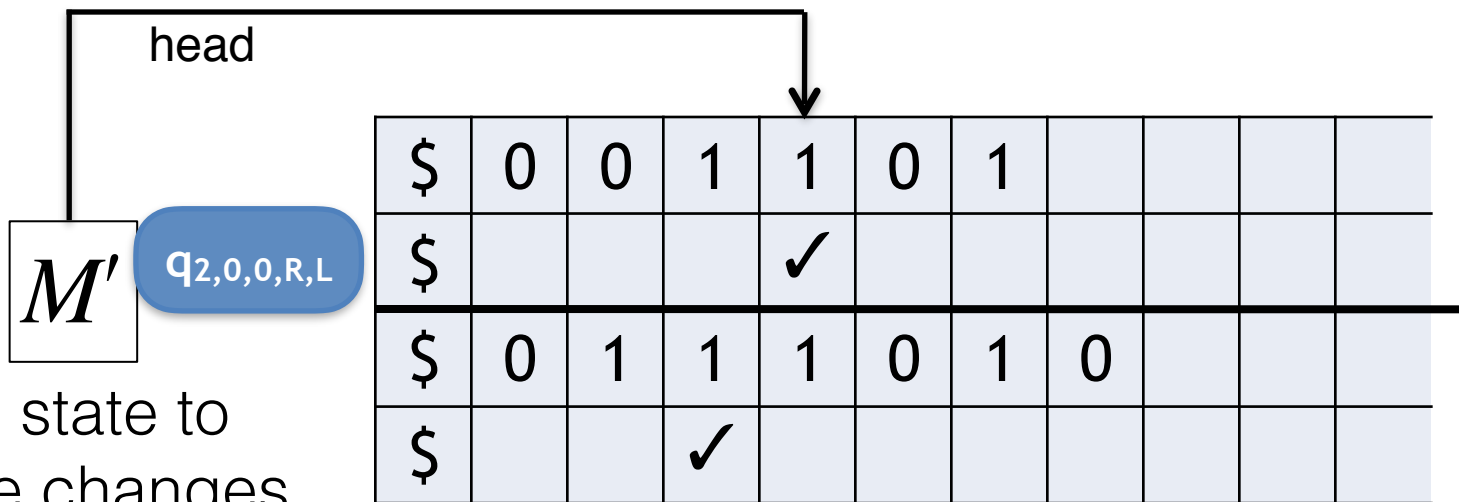
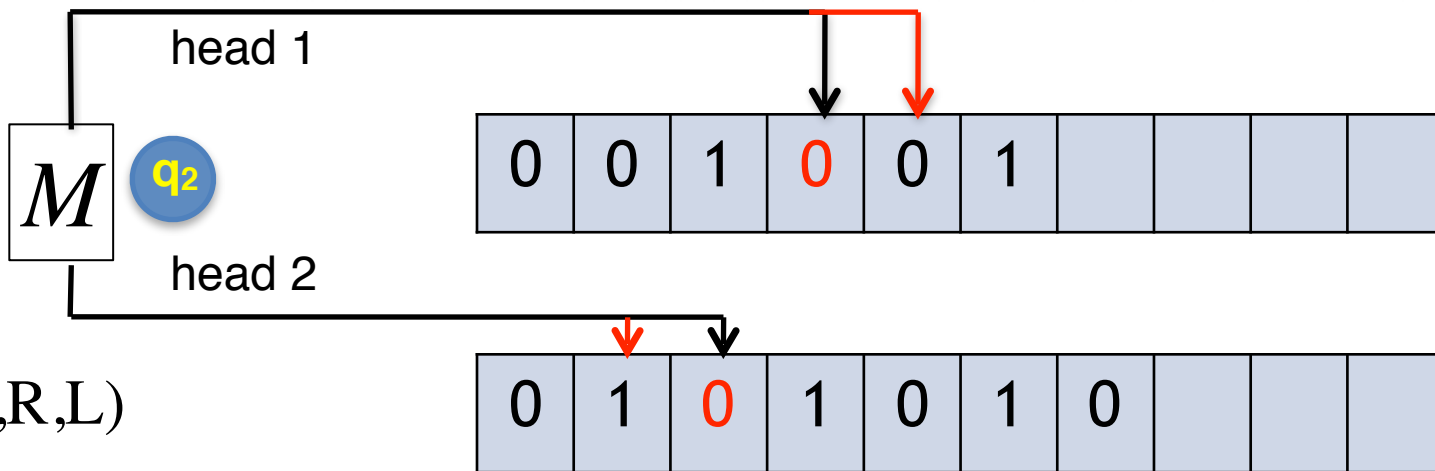


# Snapshot of simulation (k = 2)

Single move:

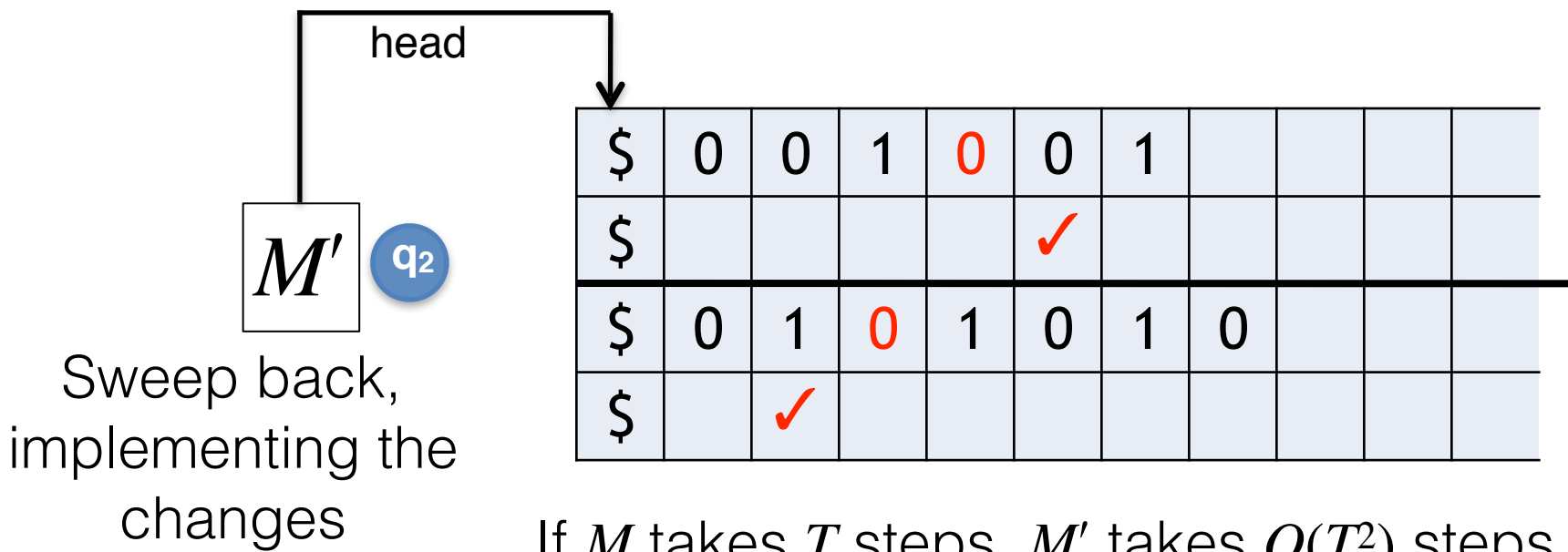
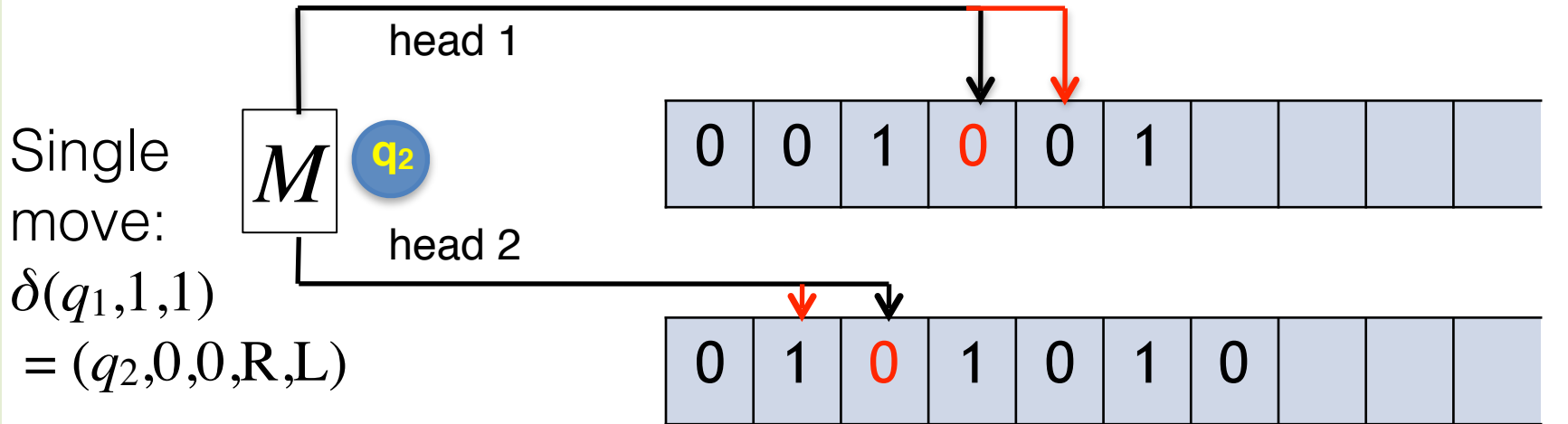
$$\delta(q_1, 1, 1)$$

$$= (q_2, 0, 0, R, L)$$



Update state to record the changes to make

# Snapshot of simulation (k = 2)



If  $M$  takes  $T$  steps,  $M'$  takes  $O(T^2)$  steps

# Subroutine calls



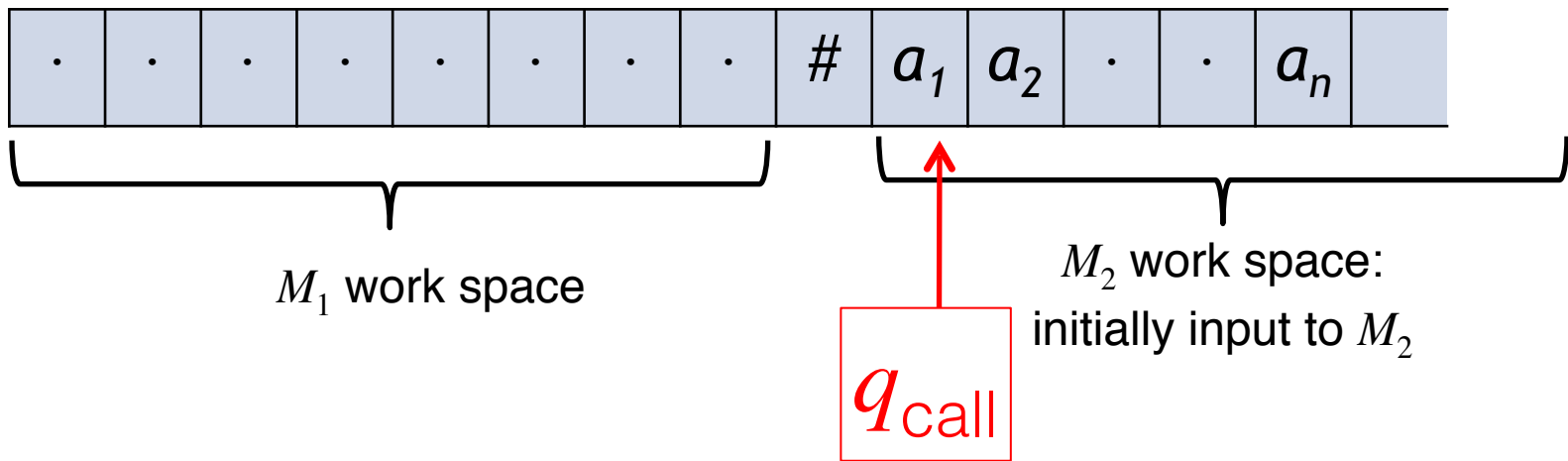
Mechanism for  $M_1$  to “call”  $M_2$  on an argument

Goal:  $M_1$  calls from state  $q_{\text{call}}$  returns to  $q_{\text{return}}$

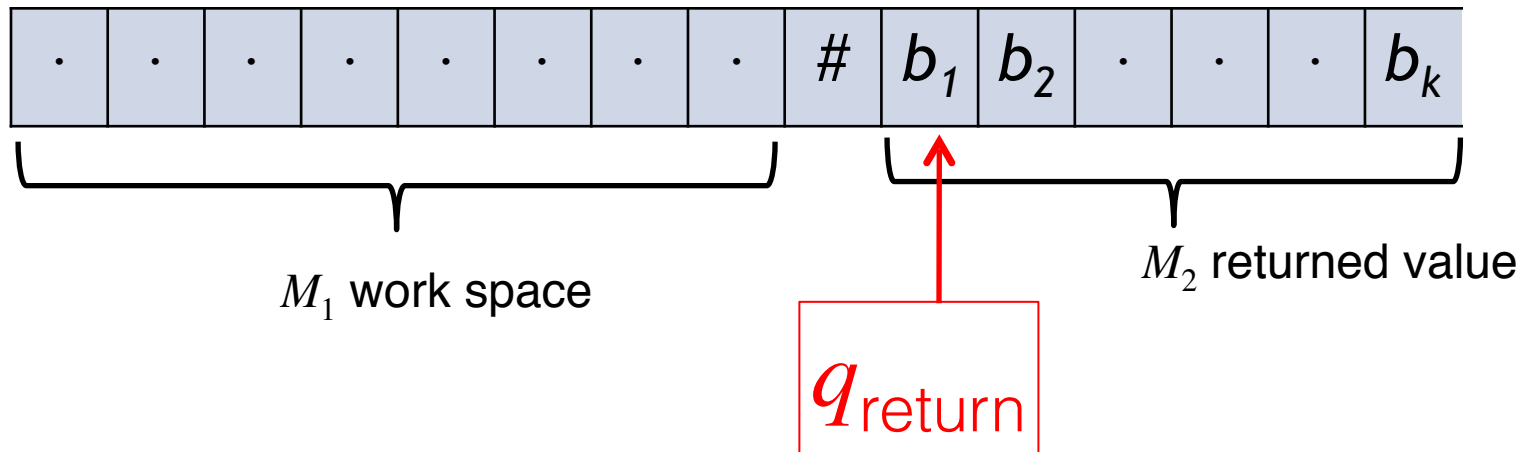
Rename start state of  $M_2$  as  $q_{\text{call}}$  & halt state  $q_{\text{return}}$

$M$  will have state space  $Q = Q_1 \cup Q_2$   
( $Q_1 \cap Q_2 = \{q_{\text{call}}, q_{\text{return}}\}$ )

# Subroutine calls



$M_2$  runs, and when done:



# Subroutine calls



Mechanism for  $M_1$  to “call”  $M_2$  on an argument

Goal:  $M_1$  calls from state  $q_{\text{call}}$  returns to  $q_{\text{return}}$

Rename start state of  $M_2$  as  $q_{\text{call}}$  & halt state  $q_{\text{return}}$

$M$  will have state space  $Q = Q_1 \cup Q_2$   
( $Q_1 \cap Q_2 = \{q_{\text{call}}, q_{\text{return}}\}$ )

## Recursion:

Now  $M_2$  can call itself (without adding more states).

$M_1$  may just be a wrapper (“main” function)

# Alphabet Reduction

For any TM

$$M = (Q, \Gamma, \Sigma, B, q_{\text{start}}, q_{\text{accept}}, q_{\text{reject}})$$

there exists an "equivalent" TM

$$M' = (Q', \Gamma', \Sigma', B', q_{\text{start}}, q_{\text{accept}}, q_{\text{reject}})$$

with  $\Gamma' = \Sigma' = \{0, 1\}$ ,  $B' = 0$

Will need to encode input in  $\Sigma^*$  using  $\{0, 1\}$

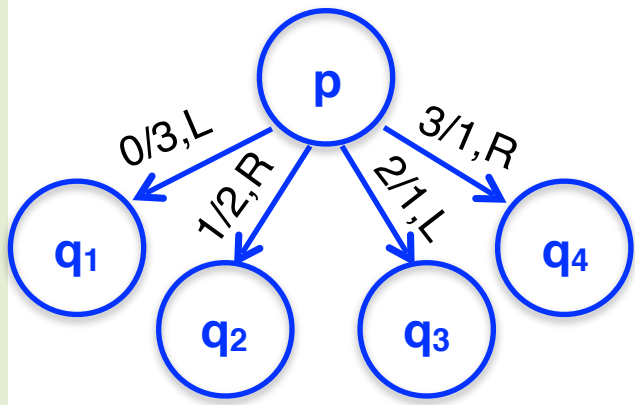
Let  $\Sigma = \{1, 2, \dots, d\}$ ,  $\Gamma = \{0, 1, 2, \dots, k-1\}$  ( $B=0$ )

Encode  $i \in \Gamma$  in binary using  $\lceil \log k \rceil$  bits

$n$  characters on  $M$ 's tape  $\rightarrow O(n \log k)$  bits for  $M'$

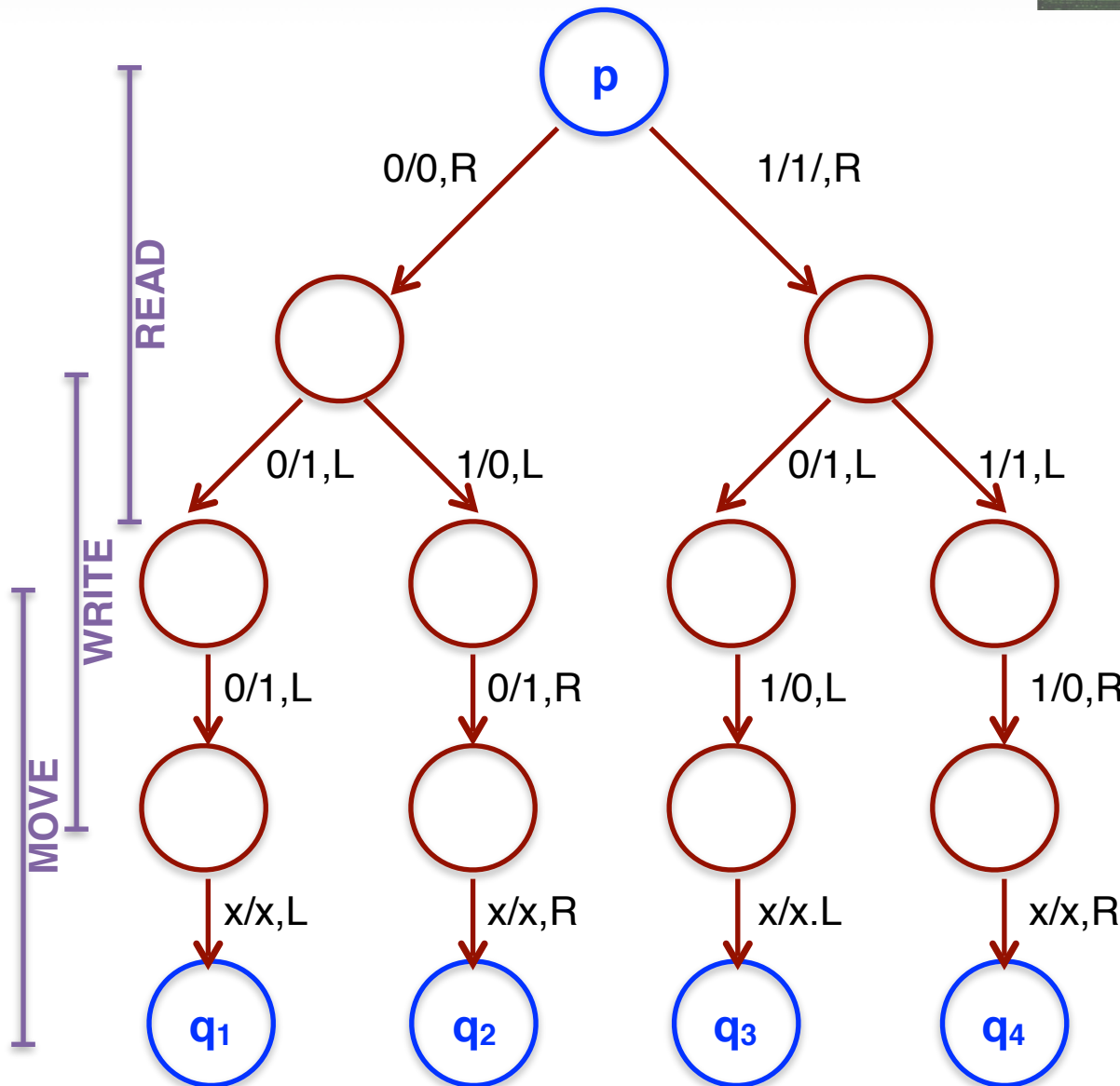


# Alphabet Reduction



$$|Q'| = O(k \log k \cdot |Q|)$$

A single step becomes  $O(\log k)$  steps.





# Universal TM



So far: for each problem we design a new TM

## Early Computer “Programming”



ENIAC  
(1946-1955)  
Programmers:  
Kay McNulty,  
Betty Jennings,  
Betty Snyder,  
Marlyn Wescoff,  
Fran Bilas,  
Ruth Lichterman

Rewire the computer!

# Universal TM

Modern Computers: Program is just data

The computer's finite control remains the same, doing the following in a loop:

**Read an instruction from the address in PC register**

**Carry out that instruction** (possibly reading from/writing to other addresses)

**Update the PC** (as specified by the instruction)

The alphabet of the computer is also the same for all programs



# Universal TM

Modern Computers: Program is just data

Universal TM  $U$ :

Accepts as input  $z\#w$

where  $z$  is interpreted as the description of a TM

(with  $\Sigma = \Gamma = \{0,1\}$ )

and  $w$  as an input to it

*Simulates* the execution of  $M_z$  on  $w$ :

$U(z\#w)$  halts iff  $M_z(w)$  halts

$U(z\#w)$  accepts iff  $M_z(w)$  accepts

Already saw:  
can be  
reduced to 1  
tape and  
binary alphabet

Will use 3 tapes and a larger alphabet  $\Gamma_U$



# Universal TM

Given a string  $z$ , what is the TM  $M_z$ ?

For  $M_z$  we fix  $\Sigma = \Gamma = \{0,1\}$ ,

$q_{\text{start}} = 0$ ,  $q_{\text{accept}} = 1$ ,  $q_{\text{reject}} = 2$ ,

Then  $z$  can just specify the transition function  
(which implicitly specifies  $Q$  as well)

e.g.,  $z$  is of the form  $\# 0^h 1 0^i 1 0^j 1 0^k 1 0^d \# \dots$

indicating  $\delta(q_h, i) = (q_k, j, D_d)$  etc.

with  $d \in \{1,2\}$ , and  $D_1=L$ ,  $D_2=R$

if  $z$  is not of this form,  $M_z$  is the “null TM”  
which rejects all inputs

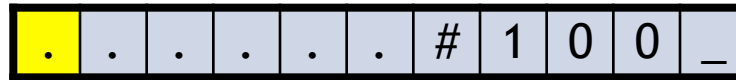




# Universal TM

1. Check syntax of  $z$
2. Copy  $w$  to tape 2, 0 to tape 3
3. In a loop, until a halting state in tape 3: Scan tape 1 to find the correct transition, and update tapes 2 & 3.

A 3 tape Universal TM:



$z\#w$



Tape of  $M_z$   
(initialized to  $w$ ).  
Head where  $M_z$ 's  
head is



State of  $M_z$

# Language of Universal TM

Language recognized by  $U$ :

$$\begin{aligned} L(U) &= \{ z\#w \mid U \text{ accepts } z\#w \} \\ &= \{ z\#w \mid M_z \text{ accepts } w \} \end{aligned}$$

Will later see:

$L(U)$  is undecidable!



# A Higher-Level Model: RAM

## RAM: Random Access Machine

A “CPU” that can directly access any location in an infinite array of integers, by specifying its address

CPU has a finite number of integer registers, including a “program counter” (automatically incremented after each step)

Instructions written in the infinite memory

|                        |                       |
|------------------------|-----------------------|
| Load, <Reg>, <addr>    | LoadI, <Reg>, <addr>  |
| Store, <Reg>, <addr>   | StoreI, <Reg>, <addr> |
| LoadC, <Reg>, <num>    | Add, <Reg>, <Reg>     |
| JmpZero, <Reg>, <addr> | Halt                  |



# A Higher-Level Model: RAM

RAM: Random Access Machine

Input follows code. Rest of memory has 0s.

Program counter initialized to 1 and incremented after each step (unless overwritten by an instruction)

Realistic cost: Executing an instruction costs  $O(\log k)$  steps where  $k$  is max of absolute values of the integers in the instruction

|   |  |
|---|--|
| Load, $\langle \text{Reg} \rangle$ , $\langle \text{addr} \rangle$    | LoadI, $\langle \text{Reg} \rangle$ , $\langle \text{addr} \rangle$  |
| Store, $\langle \text{Reg} \rangle$ , $\langle \text{addr} \rangle$   | StoreI, $\langle \text{Reg} \rangle$ , $\langle \text{addr} \rangle$ |
| LoadC, $\langle \text{Reg} \rangle$ , $\langle \text{num} \rangle$    | Add, $\langle \text{Reg} \rangle$ , $\langle \text{Reg} \rangle$     |
| JmpZero, $\langle \text{Reg} \rangle$ , $\langle \text{addr} \rangle$ | Halt   |





# TM simulating a RAM



Use a tape to hold the register contents, another to hold the memory (array) contents. Also an input tape & work tape.

All integers are encoded in binary

Memory tape is a list of pairs (addr,val) for all the locations addressed by the RAM so far, +code+input locations. Initialized from code built into finite control, and input tape.

For each RAM step, our TM does the following:

- Scan the memory & register tape and copy information for current instruction to the work tape.
- Compute changes to registers & memory.
- Update the register & memory tapes (shifting as necessary)

# TM simulating a RAM



If RAM takes  $T$  time steps then the numbers accessed at any step are  $O(T)$  bits long.

Our TM uses  $O(T)$  tape cells and  $\text{polynomial}(T)$  time.

For this the  $(\text{addr}, \text{val})$  representation of memory tape is important. If memory tape simulated the array contiguously, will incur exponential blow-up.

# Church-Turing Thesis

A “central dogma” of Computer Science:

*A TM can simulate any “physically realizable” model of computation.*

Remains true even with *probabilistic computation* and even *quantum computation*

(Open whether these models allow *polynomial-time* computation of problems which a TM cannot solve in polynomial-time)

