

The following problems ask you to prove some “obvious” claims about recursively-defined string functions. In each case, we want a self-contained, step-by-step induction proof that builds on formal definitions and prior results, *not* on intuition. In particular, your proofs must refer to the formal recursive definitions of string length and string concatenation:

$$|w| := \begin{cases} 0 & \text{if } w = \varepsilon \\ 1 + |x| & \text{if } w = ax \text{ for some symbol } a \text{ and some string } x \end{cases}$$

$$w \cdot z := \begin{cases} z & \text{if } w = \varepsilon \\ a \cdot (x \cdot z) & \text{if } w = ax \text{ for some symbol } a \text{ and some string } x \end{cases}$$

You may freely use the following results, which were proved in the lecture notes:

Lemma 1: $w \cdot \varepsilon = w$ for all strings w .

Lemma 2: $|w \cdot x| = |w| + |x|$ for all strings w and x .

Lemma 3: $(w \cdot x) \cdot y = w \cdot (x \cdot y)$ for all strings w , x , and y .

The *reversal* w^R of a string w is defined recursively as follows:

$$w^R := \begin{cases} \varepsilon & \text{if } w = \varepsilon \\ x^R \cdot a & \text{if } w = ax \text{ for some symbol } a \text{ and some string } x \end{cases}$$

For example, **STRESSED**^R = **DESSERTS** and **WTF374**^R = **473FTW**.

1. Prove that $|w^R| = |w|$ for every string w .

Solution (induction on w):

Let w be an arbitrary string.

Assume for any string x where $|x| < |w|$ that $|x^R| = |x|$.

There are two cases to consider.

- If $w = \varepsilon$, then

$$\begin{aligned} |w^R| &= |\varepsilon| && \text{by definition of } ^R \\ &= |w| && \text{by definition of } |\cdot| \end{aligned}$$

- Otherwise, $w = ax$ for some symbol a and some string x . In that case, we have

$$\begin{aligned} |w^R| &= |x^R \cdot a| && \text{by definition of } w^R \\ &= |x^R| + |a| && \text{by Lemma 2} \\ &= |x^R| + 1 && \text{by definition of } |\cdot| \text{ (twice)} \\ &= |x| + 1 && \text{by the induction hypothesis} = |w| \quad \text{by definition of } |\cdot| \end{aligned}$$

In both cases, we conclude that $|w^R| = |w|$. ■

2. Prove that $(w \cdot z)^R = z^R \cdot w^R$ for all strings w and z .

Solution (induction on w):

Let w and z be arbitrary strings.

Assume for any string x where $|x| < |w|$ that $(x \cdot z)^R = x^R \cdot z^R$.

There are two cases to consider:

- If $w = \varepsilon$, then

$$\begin{aligned} (w \cdot z)^R &= z^R && \text{by definition of } \cdot \\ &= z^R \cdot \varepsilon && \text{by Lemma 1} \\ &= z^R \cdot w^R && \text{by definition of } ^R \end{aligned}$$

- Otherwise, $w = ax$ for some symbol a and some string x .

$$\begin{aligned} (w \cdot z)^R &= (a \cdot (x \cdot z))^R && \text{by definition of } \cdot \\ &= (x \cdot z)^R \cdot a && \text{by definition of } ^R \\ &= (z^R \cdot x^R) \cdot a && \text{by the induction hypothesis, because } |x| < |w| \\ &= z^R \cdot (x^R \cdot a) && \text{by Lemma 3} \\ &= z^R \cdot w^R && \text{by definition of } ^R \end{aligned}$$

In both cases, we conclude that $(w \cdot z)^R = z^R \cdot w^R$. ■

But how did I know that the induction hypothesis needs to change the first string w , but not the second string z ? I wrote down the inductive argument first, and then noticed that in the proof for $w \cdot z$, we needed the inductive hypothesis on $x \cdot z$. Same string z , but w changed to x . Alternatively, in light of Lemma 2, I could have inducted on the **sum** of the string lengths with the inductive hypothesis "Assume for all strings x and y such that $|x| + |y| < |w| + |z|$ that $(x \cdot y)^R = x^R \cdot y^R$."

3. Prove that $(w^R)^R = w$ for every string w .

Solution (induction on w):

Let w be an arbitrary string.

Assume for any string x where $|x| < |w|$ that $(x^R)^R = x$.

There are two cases to consider.

- If $w = \varepsilon$, then $(w^R)^R = \varepsilon^R = \varepsilon$ by definition.
- Otherwise, $w = ax$ for some symbol a and some string x .

$$\begin{aligned} (w^R)^R &= (x^R \cdot a)^R && \text{by definition of } ^R \\ &= a^R \cdot (x^R)^R && \text{by problem 2} \\ &= a \cdot (x^R)^R && \text{by definition of } ^R \\ &= a \cdot (x^R)^R && \text{by definition of } \cdot \\ &= a \cdot x && \text{by the induction hypothesis} \\ &= w && \text{by assumption} \end{aligned}$$

In both cases, we conclude that $(w^R)^R = w$. ■

To think about later: Let $\#(a, w)$ denote the number of times symbol a appears in string w . For example, $\#(\mathbf{X}, \mathbf{WTF374}) = 0$ and $\#(\mathbf{0}, \mathbf{000010101010010100}) = 12$.

4. Give a formal recursive definition of $\#(a, w)$.

Solution:

$$\#(a, w) = \begin{cases} 0 & \text{if } w = \varepsilon \\ 1 + \#(a, x) & \text{if } w = ax \text{ for some string } x \\ \#(a, x) & \text{if } w = bx \text{ for some symbol } b \neq a \text{ and some string } x \end{cases}$$

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5. Prove that $\#(a, w \cdot z) = \#(a, w) + \#(a, z)$ for all symbols a and all strings w and z .

Solution (induction on w):

Let a be an arbitrary symbol, and let w and z be arbitrary strings.

Assume for any string x such that $|x| < |w|$ that $\#(a, x \cdot z) = \#(a, x) + \#(a, z)$.

There are three cases to consider.

- If $w = \varepsilon$, then

$$\begin{aligned} \#(a, w \cdot x) &= \#(a, x) && \text{by definition of } \cdot \\ &= \#(a, w) + \#(a, x) && \text{by definition of } \# \end{aligned}$$

- If $w = ax$ for some string x , then

$$\begin{aligned} \#(a, w \cdot z) &= \#(a, ax \cdot z) && \text{by definition of } \cdot \\ &= \#(a, a \cdot (x \cdot z)) && \text{by definition of } \cdot \\ &= 1 + \#(a, x \cdot z) && \text{by definition of } \# \\ &= 1 + \#(a, x) + \#(a, z) && \text{by the induction hypothesis} \\ &= \#(a, ax) + \#(a, z) && \text{by definition of } \# \\ &= \#(a, w) + \#(a, z) && \text{because } w = ax \end{aligned}$$

- If $w = bx$ for some symbol $b \neq a$ and some string x , then

$$\begin{aligned} \#(a, w \cdot z) &= \#(a, b \cdot (x \cdot z)) && \text{by definition of } \cdot \\ &= \#(a, x \cdot z) && \text{by definition of } \# \\ &= \#(a, x) + \#(a, z) && \text{by the induction hypothesis} \\ &= \#(a, bx) + \#(a, z) && \text{by definition of } \# \\ &= \#(a, w) + \#(a, z) && \text{because } w = bx \end{aligned}$$

In every case, we conclude that $\#(a, w \cdot z) = \#(a, w) + \#(a, z)$.

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6. Prove that $\#(a, w^R) = \#(a, w)$ for all symbols a and all strings w .

Solution (induction on w): Let a be an arbitrary symbol, and let w be an arbitrary string.

Assume for any string x such that $|x| < |w|$ that $\#(a, x^R) = \#(a, x)$.

There are three cases to consider.

- If $w = \varepsilon$, then $w^R = \varepsilon = w$ by definition, so $\#(a, w^R) = \#(a, w)$.
- If $w = ax$ for some string x , then

$$\begin{aligned}
 \#(a, w^R) &= \#(a, x^R \cdot a) && \text{by definition of } ^R \\
 &= \#(a, x^R) + \#(a, a) && \text{by problem 5} \\
 &= \#(a, x^R) + 1 && \text{by definition of } \# \\
 &= \#(a, x) + 1 && \text{by the induction hypothesis} \\
 &= \#(a, w) && \text{by definition of } \#
 \end{aligned}$$

- If $w = bx$ for some symbol $b \neq a$ and some string x , then

$$\begin{aligned}
 \#(a, w^R) &= \#(a, x^R \cdot b) && \text{by definition of } ^R \\
 &= \#(a, x^R) + \#(a, b) && \text{by problem 5} \\
 &= \#(a, x^R) && \text{by definition of } \# \\
 &= \#(a, x) && \text{by the induction hypothesis} \\
 &= \#(a, w) && \text{by definition of } \#
 \end{aligned}$$

In every case, we conclude that $\#(a, w^R) = \#(a, w)$. ■