

## CS/ECE 374 ✧ Spring 2017

### 🌀 Homework 2 🌀

Due Wednesday, February 8, 2017 at 10am

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**Groups of up to three people can submit joint solutions.** Each problem should be submitted by exactly one person, and the beginning of the homework should clearly state the Gradescope names and email addresses of each group member. In addition, whoever submits the homework must tell Gradescope who their other group members are.

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The following unnumbered problems are not for submission or grading. No solutions for them will be provided but you can discuss them on Piazza.

- Suppose  $N_1 = (Q_1, \Sigma, \delta_1, s_1, A_1)$  and  $N_2 = (Q_2, \Sigma, \delta_2, s_2, A_2)$  are NFAs. Formally describe a DFA that accepts the language  $L(N_1) \setminus L(N_2)$ . This combines subset construction and product construction to give you practice with formalism.
- Suppose  $M = (Q, \Sigma, \delta, s, A)$  is a DFA. For states  $p, q \in Q$  ( $p$  can be same as  $q$ ) argue that  $L_{p,q} = \{w \mid \delta^*(p, w) = q\}$  is regular. Recall that  $\text{PREFIX}(L) = \{w \mid wx \in L, x \in \Sigma^*\}$  is the set of all prefixes of strings in  $L$ . Express  $\text{PREFIX}(L(M))$  as  $\cup_{q \in Z} L_{s,q}$  for a suitable set of states  $Z \subseteq Q$ . Why does this prove that  $\text{PREFIX}(L(M))$  is regular whenever  $L$  is regular?
- For a language  $L$  let  $\text{MID}(L) = \{w \mid xwy \in L, x, y \in \Sigma^*\}$ . Prove that  $\text{MID}(L)$  is regular if  $L$  is regular.

1. (a) Draw an NFA that accepts the language  $\{w \mid \text{there is exactly one block of 0s of even length}\}$ . (A “block of 0s” is a maximal substring of 0s.)  
(b) i. Draw an NFA for the regular expression  $(010)^* + (01)^* + 0^*$ .  
ii. Now using the powerset construction (also called the subset construction), design a DFA for the same language. Label the states of your DFA with names that are sets of states of your NFA.
2. This problem is to illustrate proofs of (the many) closure properties of regular languages.
  - (a) For a language  $L$  let  $\text{FUNKY}(L) = \{w \mid w \in L \text{ but no proper prefix of } w \text{ is in } L\}$ . Prove that if  $L$  is regular then  $\text{FUNKY}(L)$  is also regular using the following technique. Let  $M = (Q, \Sigma, \delta, s, A)$  be a DFA accepting  $L$ . Describe a NFA  $N$  in terms of  $M$  that accepts  $\text{FUNKY}(L)$ . Explain the construction of your NFA.
  - (b) In Lab 3 we saw that  $\text{insert1}(L)$  is regular whenever  $L$  is regular. Here we consider a different proof technique. Let  $r$  be a regular expression. We would like to show that there is another regular expression  $r'$  such that  $L(r') = \text{insert1}(L(r))$ .
    - i. For each of the base cases of regular expressions  $\emptyset, \epsilon$  and  $\{a\}, a \in \Sigma$  describe a regular expression for  $\text{insert1}(L(r))$ .

- ii. Suppose  $r_1$  and  $r_2$  are regular expressions, and  $r'_1$  and  $r'_2$  are regular expressions for the languages  $\text{insert1}(L(r_1))$  and  $\text{insert1}(L(r_2))$  respectively. Describe a regular expression for the language  $\text{insert1}(L(r_1 + r_2))$  using  $r_1, r_2, r'_1, r'_2$ .
- iii. Same as the previous part but now consider  $L(r_1 r_2)$ .
- iv. Same as the previous part but now consider  $L((r_1)^*)$ .

3. Recall that for any language  $L$ ,  $\overline{L} = \Sigma^* - L$  is the complement of  $L$ . In particular, for any NFA  $N$ ,  $\overline{L(N)}$  is the complement of  $L(N)$ .

Let  $N = (Q, \Sigma, \delta, s, A)$  be an NFA, and define the NFA  $N_{\text{comp}} = (Q, \Sigma, \delta, s, Q \setminus A)$ . In other words we simply complemented the accepting states of  $N$  to obtain  $N_{\text{comp}}$ . Note that if  $M$  is DFA then  $M_{\text{comp}}$  accepts  $\Sigma^* - L(M)$ . However things are trickier with NFAs.

- (a) Describe a concrete example of a machine  $N$  to show that  $L(N_{\text{comp}}) \neq \overline{L(N)}$ . You need to explain for your machine  $N$  what  $\overline{L(N)}$  and  $L(N_{\text{comp}})$  are.
- (b) Define an NFA that accepts  $\overline{L(N)} - L(N_{\text{comp}})$ , and explain how it works.
- (c) Define an NFA that accepts  $L(N_{\text{comp}}) - \overline{L(N)}$ , and explain how it works.

*Hint:* For all three parts it is useful to classify strings in  $\Sigma^*$  based on whether  $N$  takes them to accepting and non-accepting states from  $s$ .

**Solved problem**

4. Let  $L$  be an arbitrary regular language. Prove that the language  $half(L) := \{w \mid ww \in L\}$  is also regular.

**Solution:** Let  $M = (\Sigma, Q, s, A, \delta)$  be an arbitrary DFA that accepts  $L$ . We define a new NFA  $M' = (\Sigma, Q', s', A', \delta')$  with  $\varepsilon$ -transitions that accepts  $half(L)$ , as follows:

$$Q' = (Q \times Q \times Q) \cup \{s'\}$$

$s'$  is an explicit state in  $Q'$

$$A' = \{(h, h, q) \mid h \in Q \text{ and } q \in A\}$$

$$\delta'(s', \varepsilon) = \{(s, h, h) \mid h \in Q\}$$

$$\delta'((p, h, q), a) = \{(\delta(p, a), h, \delta(q, a))\}$$

$M'$  reads its input string  $w$  and simulates  $M$  reading the input string  $ww$ . Specifically,  $M'$  simultaneously simulates two copies of  $M$ , one reading the left half of  $ww$  starting at the usual start state  $s$ , and the other reading the right half of  $ww$  starting at some intermediate state  $h$ .

- The new start state  $s'$  non-deterministically guesses the “halfway” state  $h = \delta^*(s, w)$  without reading any input; this is the only non-determinism in  $M'$ .
- State  $(p, h, q)$  means the following:
  - The left copy of  $M$  (which started at state  $s$ ) is now in state  $p$ .
  - The initial guess for the halfway state is  $h$ .
  - The right copy of  $M$  (which started at state  $h$ ) is now in state  $q$ .
- $M'$  accepts if and only if the left copy of  $M$  ends at state  $h$  (so the initial non-deterministic guess  $h = \delta^*(s, w)$  was correct) and the right copy of  $M$  ends in an accepting state.

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**Rubric:** 5 points =

- + 1 for a formal, complete, and unambiguous description of a DFA or NFA
  - No points for the rest of the problem if this is missing.
- + 3 for a correct NFA
  - –1 for a single mistake in the description (for example a typo)
- + 1 for a *brief* English justification. We explicitly do *not* want a formal proof of correctness, but we do want one or two sentences explaining how the NFA works.