

## Last Time:

- SAT is NP-complete.
- 3SAT is NP-complete.

Recipe: To show  $L$  is NP-complete:

- ①  $L \in NP$
- ②  $L_0 \leq_p L$  for some known NP-complete  $L_0$ .

## Independent Set

Input:  $G = (V, E)$ , integer  $k$

Output: yes iff  $\exists$  indep set<sup>s</sup> of size  $(\geq) k$ .

Thm Indep-Set is NP-complete.

Pf: ① Indep-Set  $\in NP$ :

Certificate: subset  $S \subseteq V$ . } poly size

Certifier: checks  $|S| \geq k$   
&  $\forall u, v \in S, uv \notin E$ . } poly time

② 3SAT  $\leq_p$  Indep-Set:

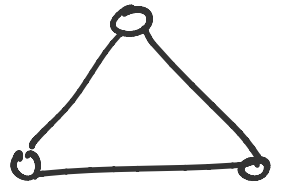
Given input to 3SAT: a 3CNF formula  $F$  with  $n$  vars,  $m$  clauses,

construct input to Indep Set: graph  $G = (V, E)$ , & integer  $k$

Construct input to Indep Set: graph  $G=(V,E)$ , & integer  $k$ .

as follows:

for each clause  $\alpha_{i1} \vee \alpha_{i2} \vee \alpha_{i3}$ ,  
 create 3 vertices  $v_{i1}, v_{i2}, v_{i3}$   
 & 3 edges  $v_{i1}v_{i2}, v_{i2}v_{i3},$   
 $v_{i3}v_{i1}$



Whenever  $\alpha_{ij} = \overline{\alpha_{i'j'}}$ ,  
 add edge  $v_{ij}v_{i'j'}$  ← "cross" edges

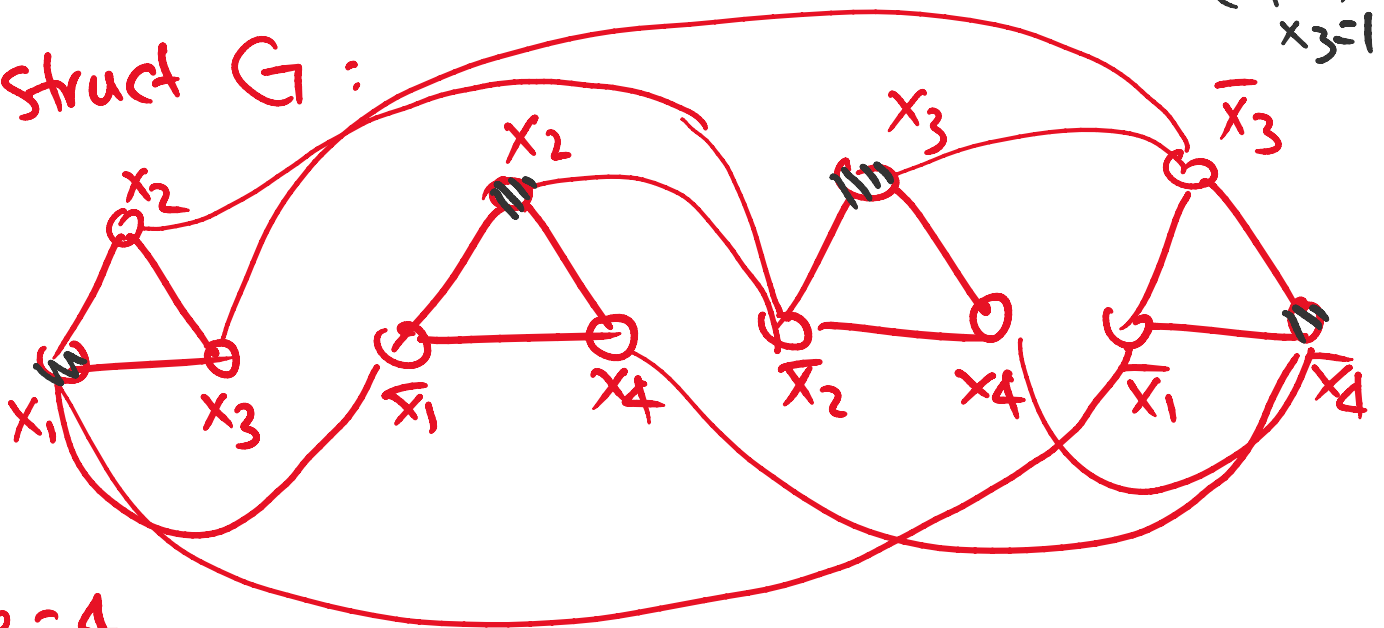
Set  $k = m$ .

Construction  $F \rightarrow (G, k)$  takes poly time.  
 ( $O(m)$  vertices in  $G$ ,  $O(m^2)$  edges).

e.g. given  $F = (x_1 \vee x_2 \vee x_3) \wedge (\overline{x_1} \vee x_2 \vee x_4)$   
 $\wedge (\overline{x_2} \vee x_3 \vee x_4) \wedge (\overline{x_1} \vee \overline{x_3} \vee \overline{x_4})$

( $x_1=1, x_2=1,$   
 $x_3=1, \overline{x_4}=1$ )

Construct  $G$ :



$k=4$

Correctness:  $\exists$  assignment that makes  $F$  true  
 $\Leftrightarrow \exists$  indep set  $S$  for  $G$  of size  $\geq k$ .

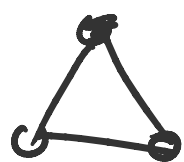
Pf: ( $\Rightarrow$ ) Let  $\alpha$  be a sat. assignment for  $F$ .  
 Then  $S$  is a subset  $S$  as follows:

Define a subset  $S$  as follows:  
 for each clause  $\alpha_{i1} \vee \alpha_{i2} \vee \alpha_{i3}$ ,  
 pick some  $j$  s.t.  $\alpha_{ij}$  is true.  
 put  $v_{ij}$  in  $S$ .

Then  $|S| = m = k$ .

&  $S$  is an indep set

(check triangle edges ✓  
 cross edge ✓)



( $\Leftarrow$ ) Let  $S$  be an indep set of  $G$  of size  $\geq k$ .

Define an assignment  $\mathcal{A}$  as follows:

whenever  $v_{ij}$  is in  $S$ ,

Set  $\alpha_{ij}$  to true.

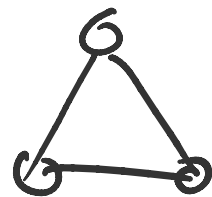
(Set all remaining vars arbitrarily.)

Then  $\mathcal{A}$  is consistent:

if  $\alpha_{ij} = \overline{\alpha_{i'j'}}$ , can't have  $v_{ij}, v_{i'j'}$  both in  $S$   
 because of cross edge  
 so can't set both  $\alpha_{ij}, \alpha_{i'j'}$  true

$\mathcal{A}$  is satisfying:

for each triangle,  
 at most one  $v_{ij}$  is in  $S$ .



but since  $|S| \geq k = m$ ,

exactly one  $v_{ij}$  is in  $S$

so  $\alpha_{i1} \vee \alpha_{i2} \vee \alpha_{i3}$  is true for  $\mathcal{A}$   
 $\forall i$ .  $\square$

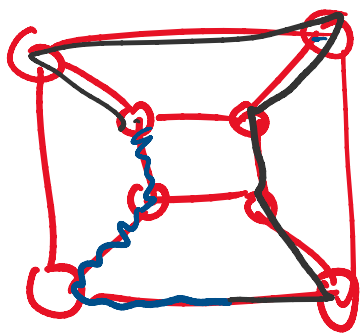
Cor Vertex-Cover is NP-complete.  
 Set-Cover " " .

## Hamiltonian Cycle (HC)

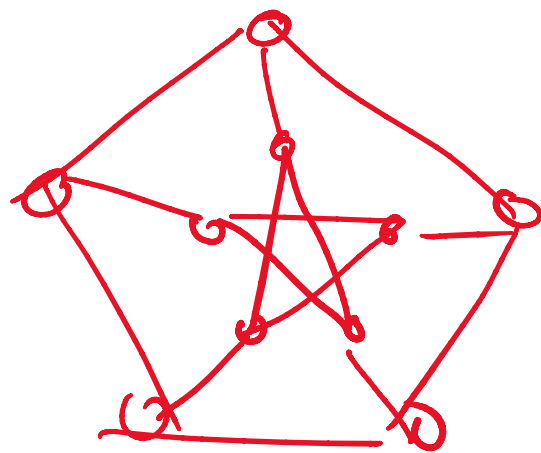
Input: graph  $G = (V, E)$

Output: yes iff  $\exists$  cycle visiting every vertex exactly once

e.g.



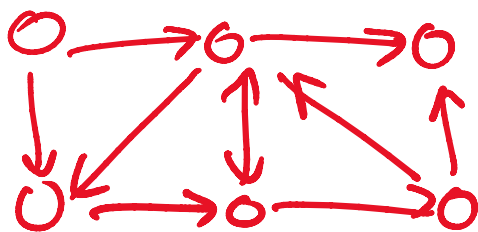
yes



no

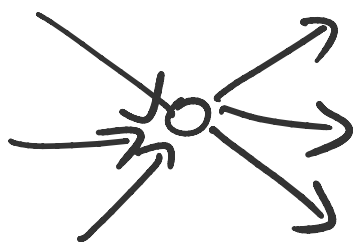
variants:

dir.

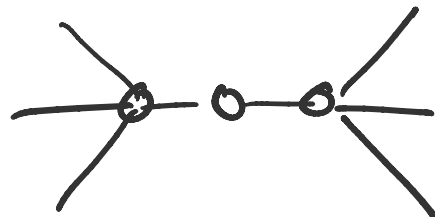


Ham path

Note:  $\text{dir-HC} \leq_p \text{undir-HC}$



$\Rightarrow$



Thm (Karp '72) HC is NP-complete.

PF:

①  $HC \in NP$  ✓

② Vertex-Cover  $\leq_p$  dir-HC:

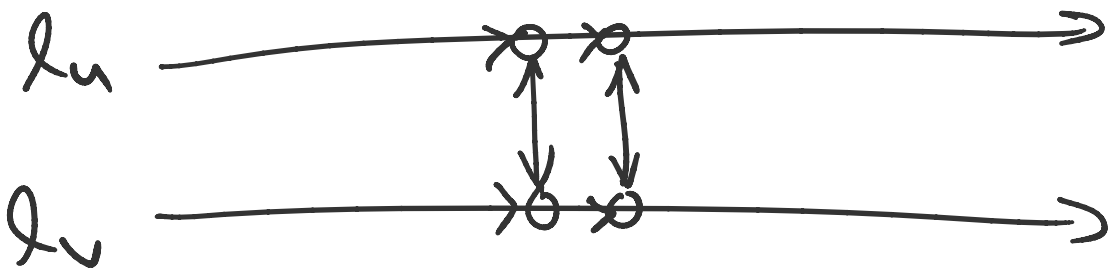
Given input to Vertex Cover: undir graph  $G=(V,E)$ ,  
integer  $k$ ,

Construct input to dir-HC: dir graph  $G'$ .  
as follows:

for each vertex  $v \in V$ ,  
draw a "line"  $l_v$



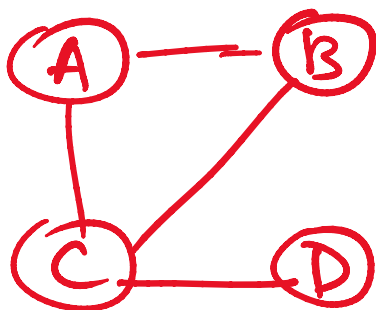
for each edge  $uv \in E$ ,  
add a gadget between  $l_u$  &  $l_v$



(TO BE CONT'D)

⋮

e.g.  $G$



$k=2$

$\{B, C\}$

✓

$G'$

$l_A$

$l_B$

$l_C$

$l_D$

