

## Undecidability II: More problems via reductions

### Lecture 21

Thursday, April 4, 2019

⌘TeXed: April 11, 2019 14:43

# Turing machines...

TM = Turing machine = program.

# Undecidability

## Definition 1

Language  $L \subseteq \Sigma^*$  is undecidable if no program  $P$ , given  $w \in \Sigma^*$  as input, can **always stop** and output whether  $w \in L$  or  $w \notin L$ .

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Decide if given a program  $M$ , and an input  $w$ , does  $M$  accept  $w$ .  
Formally, the corresponding language is

$$A_{\text{TM}} = \left\{ \langle \underline{M}, \underline{w} \rangle \mid M \text{ is a TM and } \underline{M} \text{ accepts } \underline{w} \right\}.$$

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A **decider** for a language  $L$ , is a program (or a **TM**) that always stops, and outputs for any input string  $w \in \Sigma^*$  whether or not  $w \in L$ .

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Turing proved the following:

## Theorem 3

$A_{\text{TM}}$  is undecidable.



# The following language is undecidable

$$A_{\text{TM}} = \{ \langle M, w \rangle \mid M \text{ is a TM and } M \text{ accepts } w \}.$$

Assume there is a program  $\text{Decide-}A_{\text{TM}}(\langle \underline{M} \rangle, w)$   
 $\langle M, w \rangle \in A_{\text{TM}}$  or  $\langle M, w \rangle \notin A_{\text{TM}}$   
if  $\underline{M}$  accepts  $\underline{w}$

$\rightarrow M_{\text{bad}}$ :  
Input:  $\langle M \rangle$   
IF  $\text{Decide-}A_{\text{TM}}(\langle M \rangle, \langle M \rangle)$  accepts  
rejects  
else accepts

Contradiction!

$\rightarrow \text{Decide-}A_{\text{TM}}(\langle M_{\text{bad}} \rangle, \langle M_{\text{bad}} \rangle)$  accept  $\Leftrightarrow M_{\text{bad}}$  reject  $\langle M_{\text{bad}} \rangle$   
 $\text{Decide-}A_{\text{TM}}(\langle M_{\text{bad}} \rangle, \langle M_{\text{bad}} \rangle)$  rejects  $\Leftrightarrow M_{\text{bad}}$  accepts  $\langle M_{\text{bad}} \rangle$

# Part I

## Reductions

# Reduction

**Meta definition:** Problem A **reduces** to problem B, if given a solution to **B**, then it implies a solution for **A**. Namely, we can solve **B** then we can solve **A**. We will denote this by  $\underline{A} \Rightarrow B$ .

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## Definition 4

**oracle ORAC** for language  $L$  is a function that receives as a word  $w$ , returns **TRUE**  $\iff w \in L$ .

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## Definition 5

A language  $X$  **reduces** to a language  $Y$ , if one can construct a **TM** decider for  $X$  using a given oracle **ORAC<sub>Y</sub>** for  $Y$ .

We will denote this fact by  $X \implies Y$ .

# Reduction proof technique

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- ⑤ Create a decider for known undecidable problem **A** using **M**.

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- 5 Create a decider for known undecidable problem **A** using **M**.
- 6 Result in decider for **A** (i.e.,  $A_{TM}$ ).
- 7 Contradiction **A** is not decidable.
- 8 Thus, **L** must be not decidable.

# Reduction implies decidability

## Lemma 6

Let  $X$  and  $Y$  be two languages, and assume that  $X \implies Y$ . If  $Y$  is decidable then  $X$  is decidable.

## Proof.

Let  $T$  be a decider for  $Y$  (i.e., a program or a **TM**). Since  $X$  reduces to  $Y$ , it follows that there is a procedure  $T_{X|Y}$  (i.e., decider) for  $X$  that uses an oracle for  $Y$  as a subroutine. We replace the calls to this oracle in  $T_{X|Y}$  by calls to  $T$ . The resulting program  $T_X$  is a decider and its language is  $X$ . Thus  $X$  is decidable (or more formally **TM** decidable).  $\square$

# The contrapositive...

## Lemma 7

Let  $X$  and  $Y$  be two languages, and assume that  $X \implies Y$ . If  $X$  is undecidable then  $Y$  is undecidable.

# Part II

## Halting



# The halting problem

Language of all pairs  $\langle M, w \rangle$  such that  $M$  halts on  $w$ :

$$A_{\text{Halt}} = \left\{ \langle \underline{M}, \underline{w} \rangle \mid M \text{ is a TM and } M \text{ stops on } w \right\}.$$

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Language of all pairs  $\langle M, w \rangle$  such that  $M$  halts on  $w$ :

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---

Similar to language already known to be undecidable:

$$A_{\text{TM}} = \left\{ \langle M, w \rangle \mid M \text{ is a TM and } M \text{ accepts } \underline{w} \right\}.$$

# On way to proving that Halting is undecidable...

## Lemma 8

*The language  $A_{TM}$  reduces to  $A_{Halt}$ . Namely, given an oracle for  $A_{Halt}$  one can build a decider (that uses this oracle) for  $A_{TM}$ .*

# One way to proving that Halting is undecidable...

## Proof of lemma

### Proof.

Let  $\text{ORAC}_{\text{Halt}}$  be the given oracle for  $A_{\text{Halt}}$ . We build the following decider for  $A_{\text{TM}}$ .

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$$\begin{aligned} \text{Decider-}A_{\text{TM}}(\langle M, w \rangle) \\ \text{res} \leftarrow \text{ORAC}_{\text{Halt}}(\langle \underline{M}, \underline{w} \rangle) \end{aligned}$$

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Let  $\text{ORAC}_{\text{Halt}}$  be the given oracle for  $A_{\text{Halt}}$ . We build the following decider for  $A_{\text{TM}}$ .

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Decider- $A_{\text{TM}}$ ( $\langle M, w \rangle$ )  
   $res \leftarrow \text{ORAC}_{\text{Halt}}(\langle M, w \rangle)$   
  // if  $M$  does not halt on  $w$  then reject.  
  if  $res = \text{reject}$  then  
    halt and reject.
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✓ → Decider- $A_{\text{TM}}(\langle M, w \rangle)$   
    res ←  $\text{ORAC}_{\text{Halt}}(\langle M, w \rangle)$   
    // if  $M$  does not halt on  $w$  then reject.  
    if res = reject then  
        halt and reject.  
    → //  $M$  halts on  $w$  since res = accept.  
    // Simulating  $M$  on  $w$  terminates in finite time.  
    res2 ← Simulate  $M$  on  $w$ . ←  
    → return res2.
```

This procedure always return and as such its a decider for  $A_{\text{TM}}$ .  $\square$

# The Halting problem is not decidable

## Theorem 9

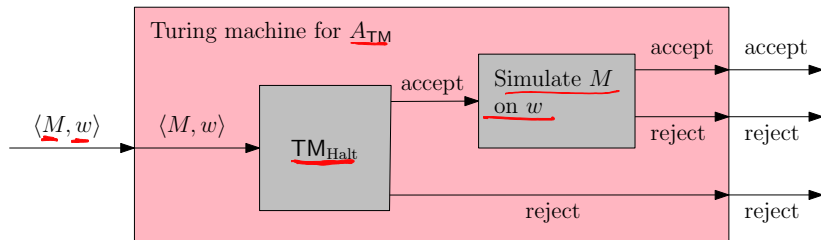
*The language  $A_{\text{Halt}}$  is not decidable.*

## Proof.

Assume, for the sake of contradiction, that  $A_{\text{Halt}}$  is decidable. As such, there is a TM, denoted by  $\text{TM}_{\text{Halt}}$ , that is a decider for  $A_{\text{Halt}}$ . We can use  $\text{TM}_{\text{Halt}}$  as an implementation of an oracle for  $A_{\text{Halt}}$ , which would imply by Lemma 8 that one can build a decider for  $A_{\text{TM}}$ . However,  $A_{\text{TM}}$  is undecidable. A contradiction. It must be that  $A_{\text{Halt}}$  is undecidable.  $\square$



# The same proof by figure...



... if  $A_{Halt}$  is decidable, then  $A_{TM}$  is decidable, which is impossible.

# Part III

## Emptiness

# The language of empty languages

$$① E_{\text{TM}} = \{ \langle M \rangle \mid M \text{ is a TM and } L(M) = \emptyset \}.$$

$M(x)$   
ignore  $x$   
reject  
 $L(M) = \emptyset$

# The language of empty languages

- ①  $E_{TM} = \{ \langle M \rangle \mid M \text{ is a TM and } L(M) = \emptyset \}$ .
- ②  $TM_{ETM}$ : Assume we are given this decider for  $E_{TM}$ .
- ③ Need to use  $TM_{ETM}$  to build a decider for  $A_{TM}$ .
- ④ Decider for  $A_{TM}$  is given  $M$  and  $\underline{w}$  and must decide whether  $M$  accepts  $w$ .

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- 4 Decider for  $A_{TM}$  is given  $M$  and  $w$  and must decide whether  $M$  accepts  $w$ .
- 5 Idea: hard-code  $w$  into  $M$ , creating a TM  $M_w$  which runs  $M$  on the fixed string  $w$ .
- 6 TM  $M_w$ :
  - 1 Input =  $x$  (which will be ignored)
  - 2 Simulate  $M$  on  $w$ .
  - 3 If the simulation accepts, accept. If the simulation rejects, reject.

# Embedding strings...

- 1 Given program  $\langle M \rangle$  and input  $w$ ...
- 2 ...can output a program  $\langle M_w \rangle$ .
- 3 The program  $M_w$  simulates  $M$  on  $w$ . And accepts/rejects accordingly.
- 4 **EmbedString**( $\langle \underline{M}, \underline{w} \rangle$ ) input two strings  $\langle M \rangle$  and  $w$ , and output a string encoding (TM)  $\langle \underline{M_w} \rangle$ .

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- 6 Since  $M_w$  ignores input  $x$ .. language  $M_w$  is either  $\Sigma^*$  or  $\emptyset$ . It is  $\Sigma^*$  if  $M$  accepts  $w$ , and it is  $\emptyset$  if  $M$  does not accept  $w$ .



# Emptiness is undecidable

## Theorem 10

The language  $E_{TM}$  is undecidable.

- 1 Assume (for contradiction), that  $E_{TM}$  is decidable.
- 2  $TM_{ETM}$  be its decider.
- 3 Build decider **AnotherDecider- $A_{TM}$**  for  $A_{TM}$ :

```
→ AnotherDecider- $A_{TM}$ ( $\langle \underline{M}, \underline{w} \rangle$ )  
   $\langle \underline{M_w} \rangle \leftarrow \text{EmbedString}(\langle \underline{M}, \underline{w} \rangle)$   
   $r \leftarrow \underline{TM_{ETM}}(\langle \underline{M_w} \rangle)$ .  
  if  $r = \underline{\text{accept}}$  then if  $M_w$  rejects all input  
    return reject if  $L(M_w) = \emptyset$   
  //  $TM_{ETM}(\langle \underline{M_w} \rangle)$  rejected its input  
  return accept
```

# Emptiness is undecidable...

## Proof continued

Consider the possible behavior of **AnotherDecider- $A_{TM}$**  on the input  $\langle M, w \rangle$ .

- If  $TM_{ETM}$  accepts  $\langle M_w \rangle$ , then  $L(M_w)$  is empty. This implies that  $M$  does not accept  $w$ . As such, **AnotherDecider- $A_{TM}$**  rejects its input  $\langle M, w \rangle$ .
- If  $TM_{ETM}$  accepts  $\langle M_w \rangle$ , then  $L(M_w)$  is not empty. This implies that  $M$  accepts  $w$ . So **AnotherDecider- $A_{TM}$**  accepts  $\langle M, w \rangle$ .

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$\implies$  **AnotherDecider- $A_{TM}$**  is decider for  $A_{TM}$ .

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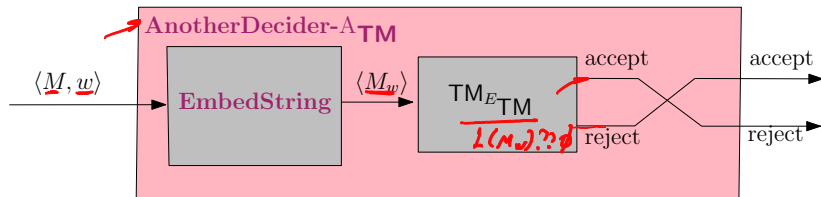
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$\implies$  **AnotherDecider- $A_{TM}$**  is decider for  $A_{TM}$ .

But  $A_{TM}$  is undecidable...

...must be assumption that  $E_{TM}$  is decidable is false. ■

# Emptiness is undecidable via diagram



**AnotherDecider- $A_{TM}$**  never actually runs the code for  $M_w$ . It hands the code to a function  $TM_{ETM}$  which analyzes what the code would do if run it. So it does not matter that  $M_w$  might go into an infinite loop.

# Part IV

## Equality

# Equality is undecidable

$$\rightarrow EQ_{TM} = \left\{ \langle \underline{M}, \underline{N} \rangle \mid M \text{ and } N \text{ are TM's and } \underline{L(M)} = \underline{L(N)} \right\}.$$

## Lemma 11

*The language  $EQ_{TM}$  is undecidable.*

## Proof.

Suppose that we had a decider **DeciderEqual** for  $EQ_{TM}$ . Then we can build a decider for  $E_{TM}$  as follows:

TM  $R$ :

- 1 Input =  $\langle M \rangle$
- 2 Include the (constant) code for a TM  $T$  that rejects all its input. We denote the string encoding  $T$  by  $\langle T \rangle$ .  $L(T) = \emptyset$
- 3 Run DeciderEqual on  $\langle M, T \rangle$ .  $L(M) = ? L(T)$
- 4 If DeciderEqual accepts, then accept.  $L(M) = \emptyset$
- 5 If DeciderEqual rejects, then reject.  $L(M) \neq \emptyset$





# Part V

## Regularity

# Many undecidable languages

- 1 Almost any property defining a **TM** language induces a language which is undecidable.
- 2 proofs all have the same basic pattern.

# Many undecidable languages

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② proofs all have the same basic pattern.

③ Regularity language:

  $\text{Regular}_{\text{TM}} = \{ \langle M \rangle \mid M \text{ is a TM and } \underline{L(M)} \text{ is regular} \}.$

④ **DeciderRegL**: Assume **TM** decider for **Regular**<sub>TM</sub>.

⑤ Reduction from halting requires to turn problem about deciding whether a **TM**  $M$  accepts  $w$  (i.e., is  $\underline{w \in A_{\text{TM}}}$ ) into a problem about whether some **TM** accepts a regular set of strings.

# Proof continued...

① Given  $M$  and  $w$ , consider the following TM  $M'_w$ :

TM  $M'_w$ :

- (i) Input =  $x$
- (ii) If  $x$  has the form  $a^n b^n$ , halt and accept.

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- (i) Input =  $x$
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- (iii) Otherwise, simulate  $\underline{M}$  on  $\underline{w}$ .
- (iv) If the simulation accepts, then accept. ✓
- (v) If the simulation rejects, then reject. ✗

Assume there is a decider that can tell me if  $L(M'_w)$  is reg.

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② not executing  $M'_w$ !

③ feed string  $\langle M'_w \rangle$  into **DeciderRegL**

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- ④ EmbedRegularString: program with input  $\langle M \rangle$  and  $w$ , and outputs  $\langle M'_w \rangle$ , encoding the program  $M'_w$ .

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- ② **not** executing  $M'_w$ !
- ③ feed string  $\langle M'_w \rangle$  into **DeciderRegL**
- ④ **EmbedRegularString**: program with input  $\langle M \rangle$  and  $w$ , and outputs  $\langle M'_w \rangle$ , encoding the program  $M'_w$ .
- ⑤ If  $M$  accepts  $w$ , then any  $x$  accepted by  $M'_w$ :  $L(M'_w) = \Sigma^*$ . ✓
- ⑥ If  $M$  does not accept  $w$ , then  $L(M'_w) = \{a^n b^n \mid n \geq 0\}$ . ✗



# Proof continued...

- 1  $a^n b^n$  is not regular...
- 2 Use **DeciderRegL** on  $M'_w$  to distinguish these two cases.
- 3 Note - cooked  $M'_w$  to the decider at hand.
- 4 A decider for  $A_{TM}$  as follows.

```
→ YetAnotherDecider- $A_{TM}(\langle M, w \rangle)$   
   $\langle M'_w \rangle \leftarrow \text{EmbedRegularString}(\langle M, w \rangle)$   
   $r \leftarrow \text{DeciderRegL}(\langle M'_w \rangle).accept$   
  return  $r$ .
```

- 5 If **DeciderRegL** accepts  $\implies L(M'_w)$  regular (its  $\Sigma^*$ )

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  return  $r$ 
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- 5 If **DeciderRegL** accepts  $\implies L(M'_w)$  regular (its  $\Sigma^*$ )  $\implies M$  accepts  $w$ . So **YetAnotherDecider- $A_{TM}$**  should accept  $\langle M, w \rangle$ .

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YetAnotherDecider- $A_{TM}$ ( $\langle M, w \rangle$ )  
   $\langle M'_w \rangle \leftarrow$  EmbedRegularString( $\langle M, w \rangle$ )  
   $r \leftarrow$  DeciderRegL( $\langle M'_w \rangle$ ).  
  return  $r$ 
```

- 5 If **DeciderRegL** accepts  $\implies L(M'_w)$  regular (its  $\Sigma^*$ )  $\implies M$  accepts  $w$ . So **YetAnotherDecider- $A_{TM}$**  should accept  $\langle M, w \rangle$ .
- 6 If **DeciderRegL** rejects  $\implies L(M'_w)$  is not regular  $\implies L(M'_w) = a^n b^n$

# Proof continued...

- 1  $a^n b^n$  is not regular...
- 2 Use **DeciderRegL** on  $M'_w$  to distinguish these two cases.
- 3 Note - cooked  $M'_w$  to the decider at hand.
- 4 A decider for  $A_{TM}$  as follows.

```
YetAnotherDecider- $A_{TM}$ ( $\langle M, w \rangle$ )  
   $\langle M'_w \rangle \leftarrow \text{EmbedRegularString}(\langle M, w \rangle)$   
   $r \leftarrow \text{DeciderRegL}(\langle M'_w \rangle)$ .  
  return  $r$ 
```

- 5 If **DeciderRegL** accepts  $\implies L(M'_w)$  regular (its  $\Sigma^*$ )  $\implies M$  accepts  $w$ . So **YetAnotherDecider- $A_{TM}$**  should accept  $\langle M, w \rangle$ .
- 6 If **DeciderRegL** rejects  $\implies L(M'_w)$  is not regular  $\implies L(M'_w) = a^n b^n \implies M$  does not accept  $w \implies \text{YetAnotherDecider- $A_{TM}$  should reject  $\langle M, w \rangle$ .$

# Rice theorem

The above proofs were somewhat repetitious...  
...they imply a more general result.

## Theorem 12 (Rice's Theorem.)

Suppose that  $L$  is a language of Turing machines; that is, each word in  $L$  encodes a TM. Furthermore, assume that the following two properties hold.

- (a) Membership in  $L$  depends only on the Turing machine's language, i.e. if  $L(M) = L(N)$  then  $\langle M \rangle \in L \Leftrightarrow \langle N \rangle \in L$ .
- (b) The set  $L$  is "non-trivial," i.e.  $L \neq \emptyset$  and  $L$  does not contain all Turing machines.

Then  $L$  is undecidable.

$$\rightarrow \underline{A} = \{ \langle M \rangle \mid L(M) \text{ has a property } P \} \checkmark$$

# Rice theorem

→  $A = \{ \langle M \rangle \mid M \text{ is TM} \wedge \underline{L(M) \text{ has property } P} \}$

eng to show  $\exists \text{ eg. } \langle M \rangle \notin A$   
 $\exists \text{ eg. } \langle M \rangle \in A \quad \checkmark$

$E_{TM} = \{ \langle M \rangle \mid M \text{ is TM} \wedge L(M) = \emptyset \}$

$M: x$   
ignore input  
reject

$M: x$   
ignore input  
accept

$L_{TM} = \{ \langle M \rangle \mid M \text{ is TM} \wedge L(M) = \{0^{374}\} \}$

$M: x$   
if  $x = 0^{374}$   
accept  
else reject

# Rice theorem

decidable  $\left\{ \begin{array}{l} A = \{ \langle M \rangle \mid M \text{ runs in at most } 374 \text{ steps} \} \\ A = \{ \langle M \rangle \mid M \text{ runs in at least } 374 \text{ steps} \} \\ A = \{ \langle M \rangle \mid M \text{ has } 374 \text{ states} \} \\ A = \{ \langle M \rangle \mid M \text{ moves head to left} \} \end{array} \right.$