

Pre-lecture brain teaser

Find the regular expression for the language containing all binary strings that do not contain the subsequence 111000

CS/ECE-374: Lecture 4 - NFAs

Lecturer: Nickvash Kani

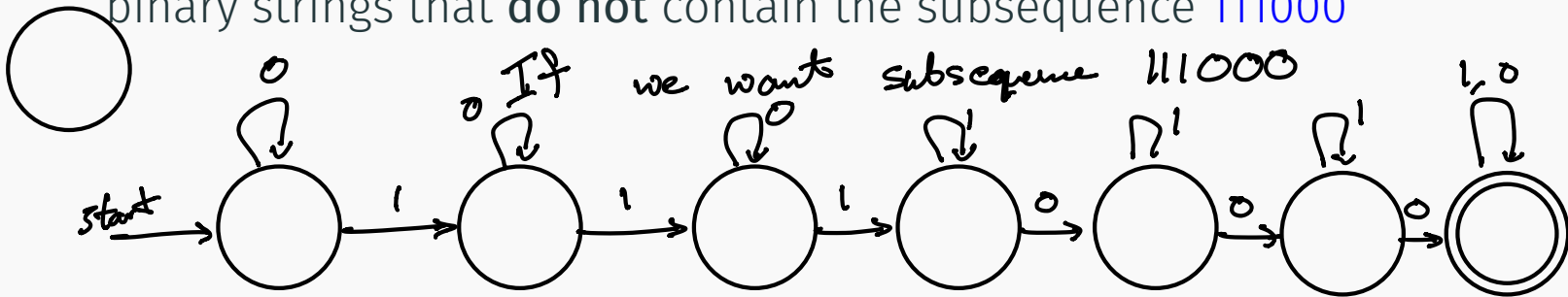
Chat moderator: Samir Khan

February 04, 2021

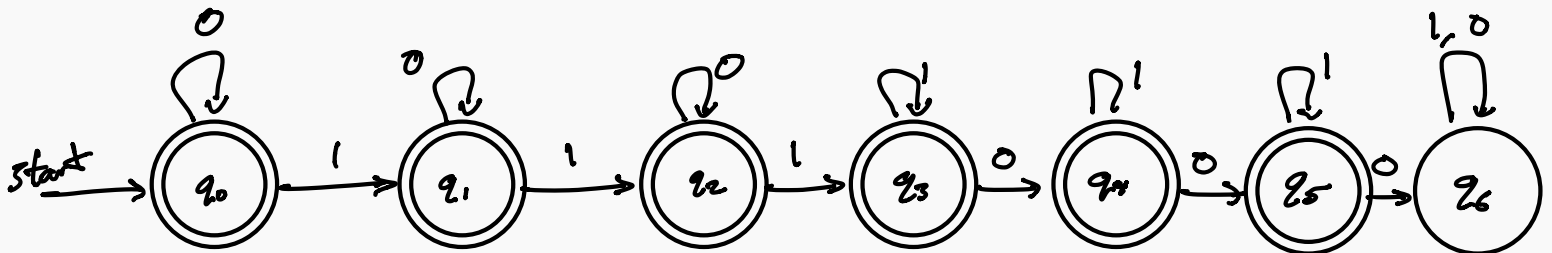
University of Illinois at Urbana-Champaign

Pre-lecture brain teaser

Find the regular expression for the language containing all binary strings that do not contain the subsequence 111000

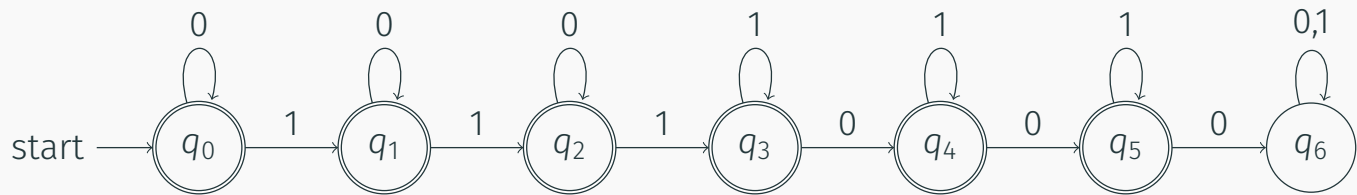


If don't want ss, 111000

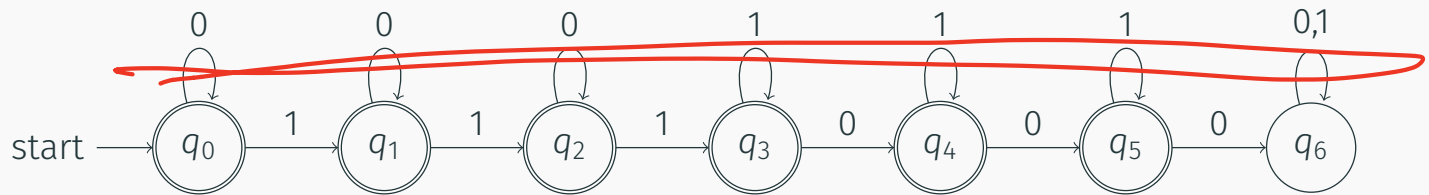


$$0^* + 0^*10^* + 0^*10^*10^* + 0^*10^*10^*1^* + 0^*10^*10^*1^*01^* + 0^*10^*10^*1^*01^*01^*$$

Simplifying DFAs

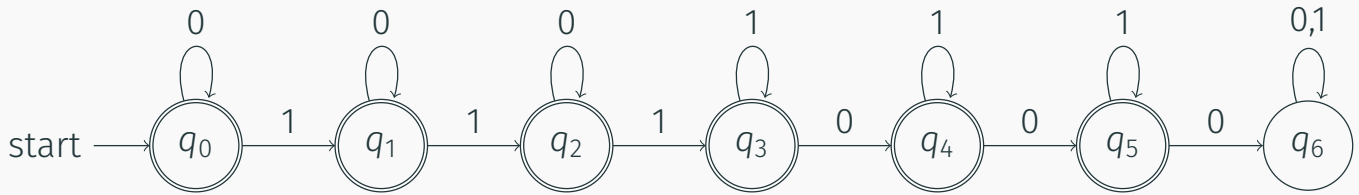


Simplifying DFAs

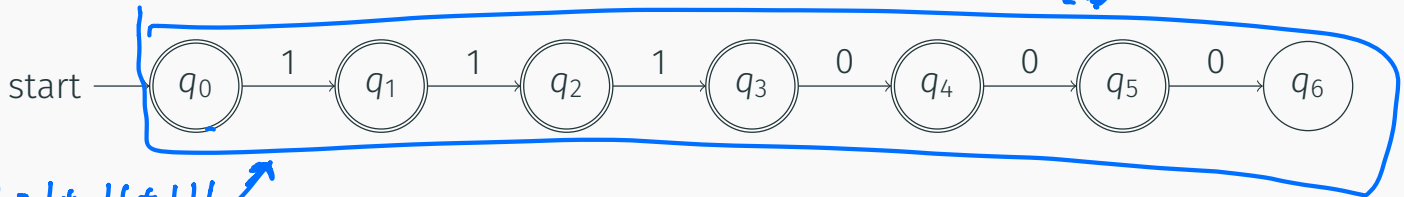


What if we draw the above figure as:

Simplifying DFAs



What if we draw the above figure as:



different Languages

$$L = l + ll + lll + \dots + llll + \dots$$

$$w = \underbrace{0}_{\text{start}} 11 0 11 000 1 1 0 \dots$$

What does this mean?

Non-deterministic finite automata (NFA) Introduction

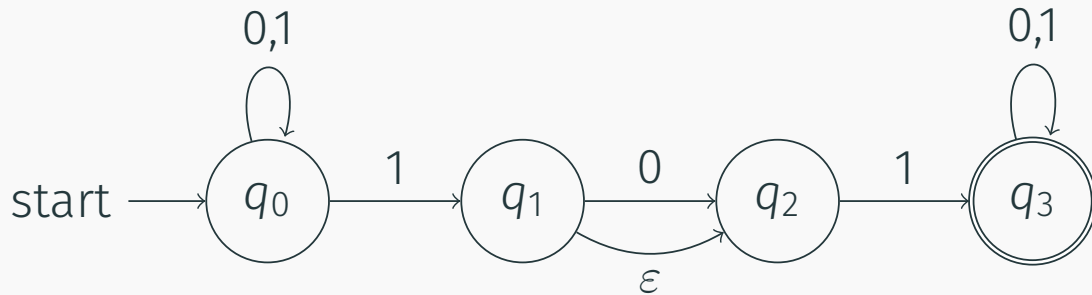
Non-deterministic Finite State Automata by example

When you come to a fork in the road, take it.

Non-deterministic Finite State Automata by example

When you come to a fork in the road, take it.

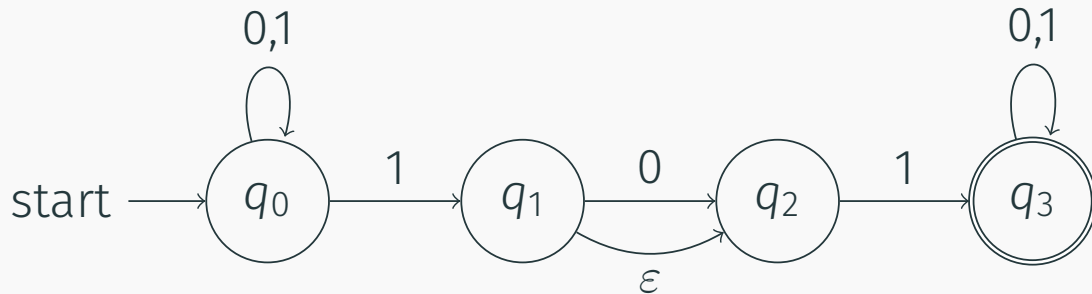
Today we'll talk about automata whose logic **is not** deterministic.



Non-deterministic Finite State Automata by example

When you come to a fork in the road, take it.

Today we'll talk about automata whose logic **is not** deterministic.



But first... what the heck is non-determinism?

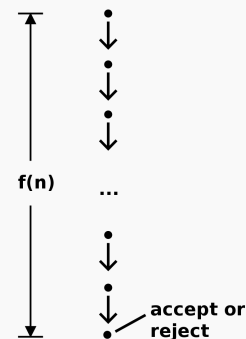
Non-determinism in computing

Non-determinism is a special property of algorithms.

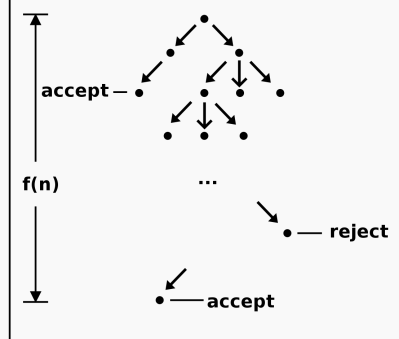
An algorithm that is capable of taking multiple states concurrently. Whenever it reaches a choice, it takes both paths.

If there is a path for the string to be accepted by the machine, then the string is part of the language.

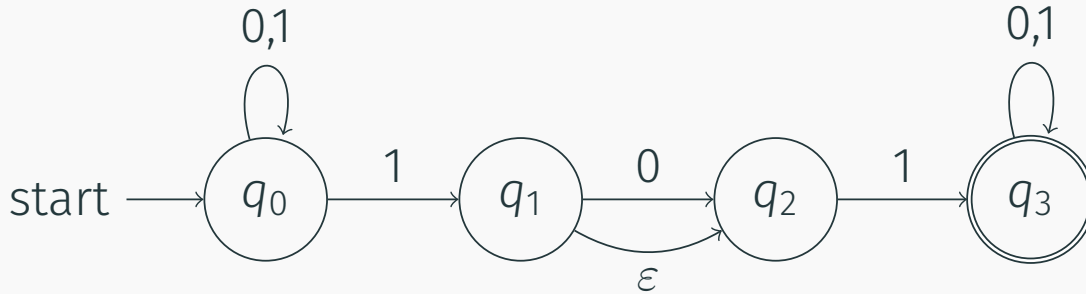
Deterministic



Non-Deterministic

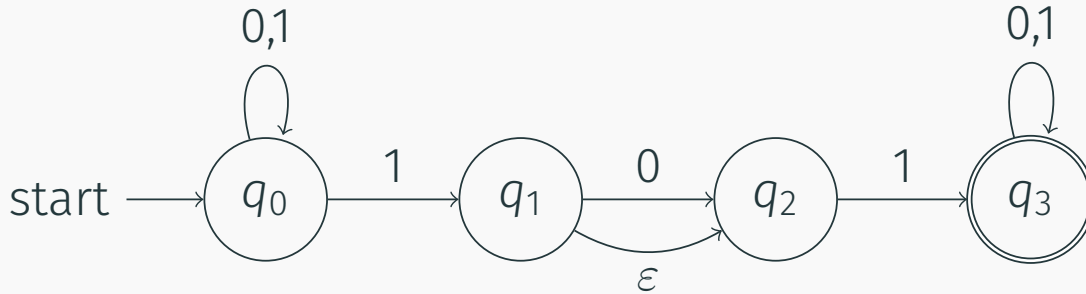


NFA acceptance: Informal



Informal definition: An NFA N **accepts a string** w iff some accepting state is reached by N from the start state on input w .

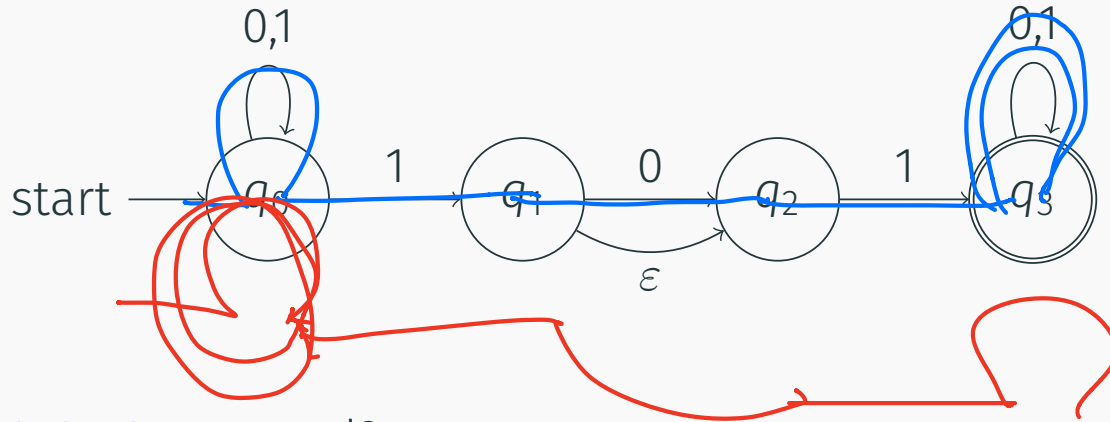
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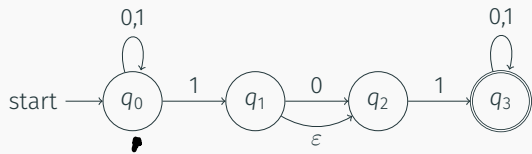
The **language accepted** (or recognized) by a NFA N is denoted by $L(N)$ and defined as: $L(N) = \{w \mid N \text{ accepts } w\}$.

NFA acceptance: Example

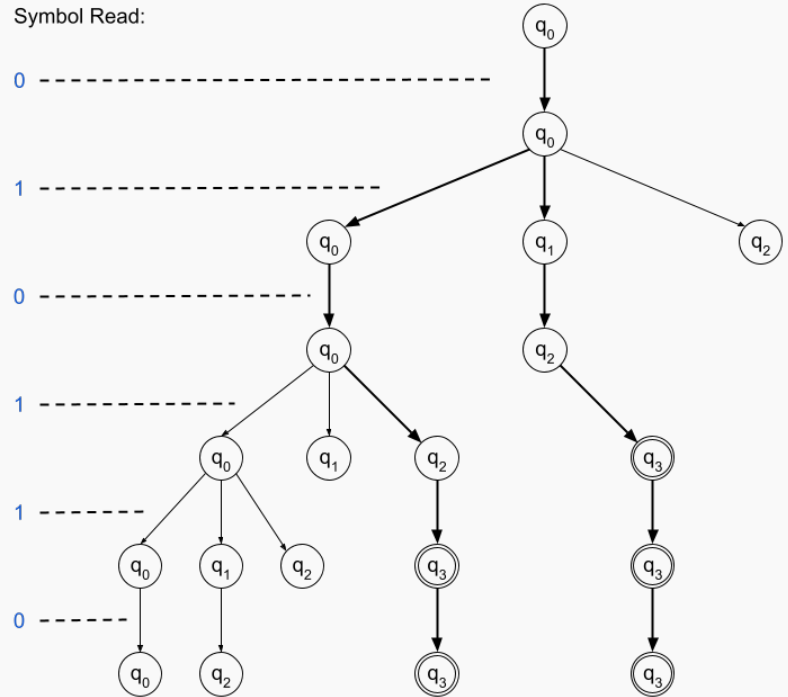


- Is 010110 accepted?

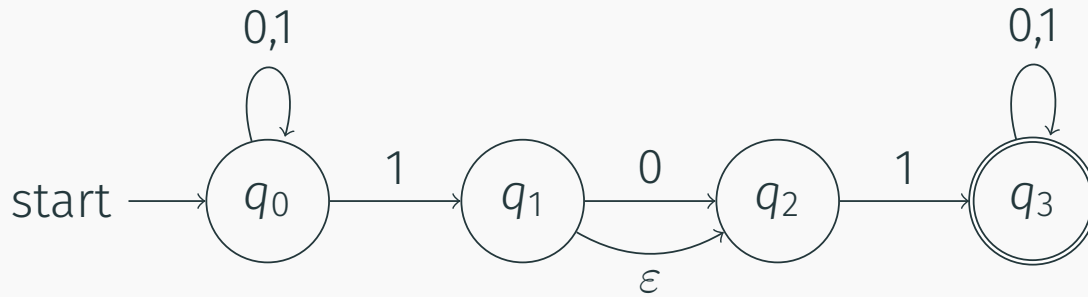
NFA acceptance: Example



Is **010110** accepted?

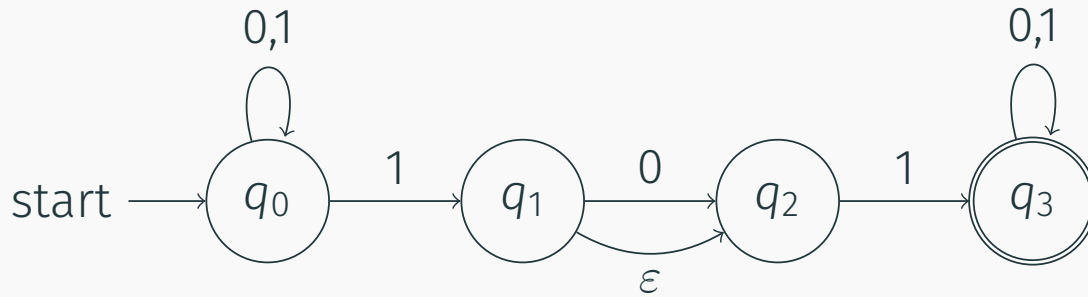


NFA acceptance: Example



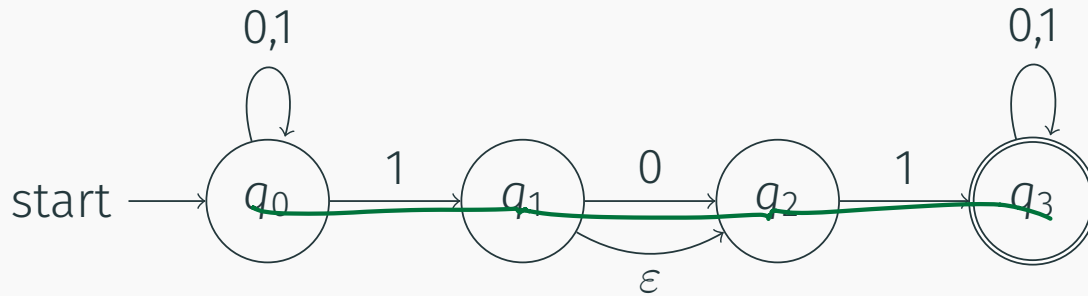
- Is **010110** accepted? *Yes*

NFA acceptance: Example



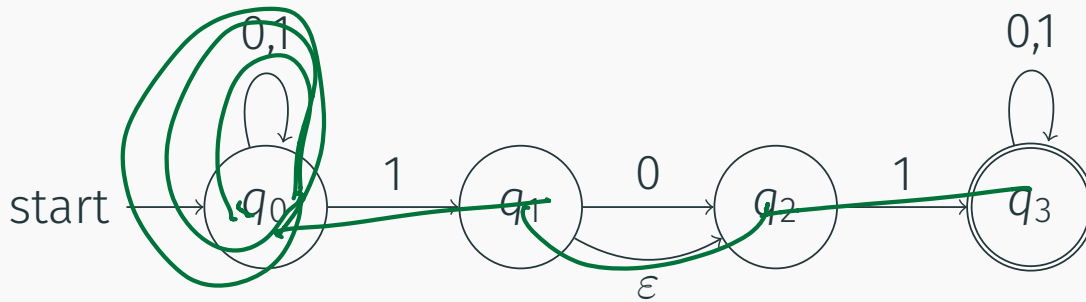
- Is **010110** accepted?
- Is **010** accepted? **No**

NFA acceptance: Example



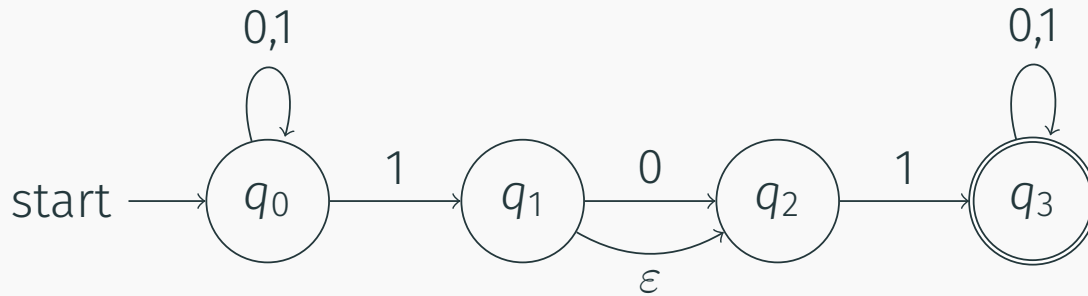
- Is **010110** accepted?
- Is **010** accepted?
- Is **101** accepted? *Yes*

NFA acceptance: Example



- Is 010110 accepted?
- Is 010 accepted?
- Is 101 accepted?
- Is 10011 accepted? *Yes*

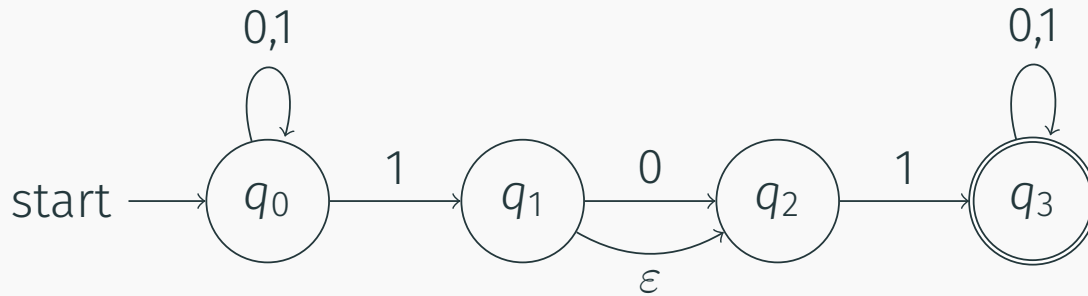
NFA acceptance: Example



- Is **010110** accepted?
- Is **010** accepted?
- Is **101** accepted?
- Is **10011** accepted?
- What is the language accepted by N ?

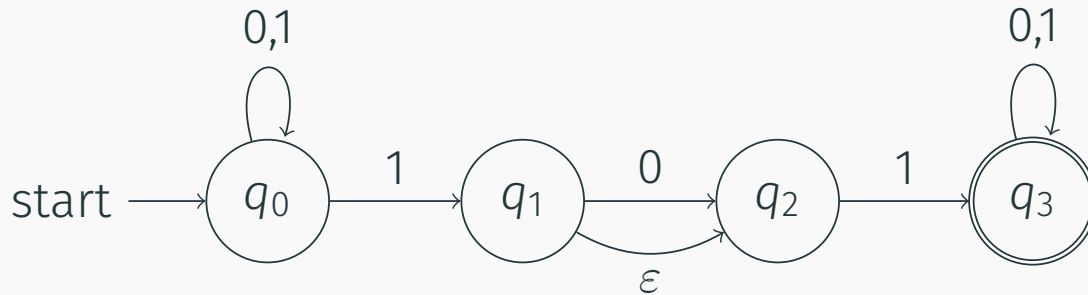
All w with '11' or '101' as substring

NFA acceptance: Example



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NFA acceptance: Example



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- Is **010** accepted?
- Is **101** accepted?
- Is **10011** accepted?
- What is the language accepted by N ?

Comment: Unlike DFAs, it is easier in NFAs to show that a string is accepted than to show that a string is **not** accepted.

Formal definition of NFA

Formal Tuple Notation

Definition

A non-deterministic finite automata (NFA) $N = (Q, \Sigma, \delta, s, A)$ is a five tuple where

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$\mathcal{P}(Q)$?

Reminder: Power set

Q : a set. Power set of Q is: $\mathcal{P}(Q) = 2^Q = \{X \mid X \subseteq Q\}$ is set of all subsets of Q .

Example

$$Q = \{1, 2, 3, 4\}$$

$$\mathcal{P}(Q) = \left\{ \begin{array}{c} \{1, 2, 3, 4\}, \\ \{2, 3, 4\}, \{1, 3, 4\}, \{1, 2, 4\}, \{1, 2, 3\}, \\ \{1, 2\}, \{1, 3\}, \{1, 4\}, \{2, 3\}, \{2, 4\}, \{3, 4\}, \\ \{1\}, \{2\}, \{3\}, \{4\}, \\ \{\} \end{array} \right\}$$

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$$\delta(q, a) = \{q_0, \dots, q_n\}$$

a is character

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Formal Tuple Notation

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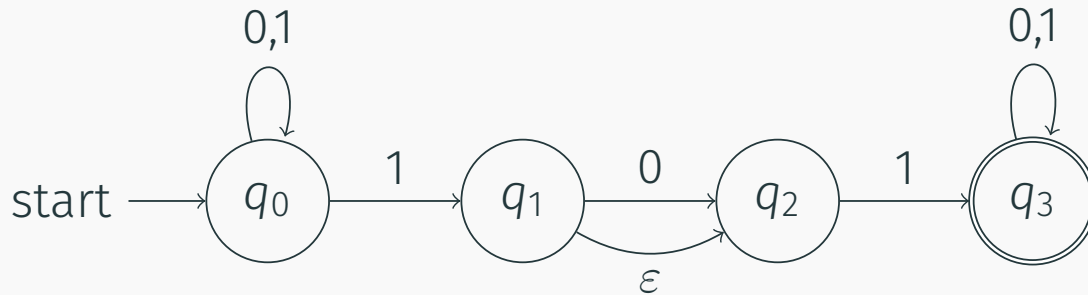
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- $\delta : Q \times \Sigma \cup \{\varepsilon\} \rightarrow \mathcal{P}(Q)$ is the **transition function** (here $\mathcal{P}(Q)$ is the power set of Q),
- $s \in Q$ is the **start state**,
- $A \subseteq Q$ is the set of **accepting/final** states.

$\delta(q, a)$ for $a \in \Sigma \cup \{\varepsilon\}$ is a subset of Q — a set of states.



Example



- $Q = \{q_0, q_1, q_2, q_3\}$

- $\Sigma = \{0, 1\}$

- $\delta =$

	ϵ	0	1
q_0	$\{q_0\}$	$\{q_0\}$	$\{q_0, q_1\}$
q_1	$\{q_1, q_2\}$	$\{q_2\}$	$\{\}$
q_2	$\{q_2\}$	$\{\}$	$\{q_3\}$
q_3	$\{q_3\}$	$\{q_3\}$	$\{q_3\}$

- $S = q_0$

- $A = \{q_3\}$

Extending the transition function to strings

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- NFA $N = (Q, \Sigma, \delta, s, A)$

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- $\delta(q, a)$: set of states that N can go to from q on reading $a \in \Sigma \cup \{\epsilon\}$.

Extending the transition function to strings

- NFA $N = (Q, \Sigma, \delta, s, A)$
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- Want transition function $\delta^* : Q \times \Sigma^* \rightarrow \mathcal{P}(Q)$

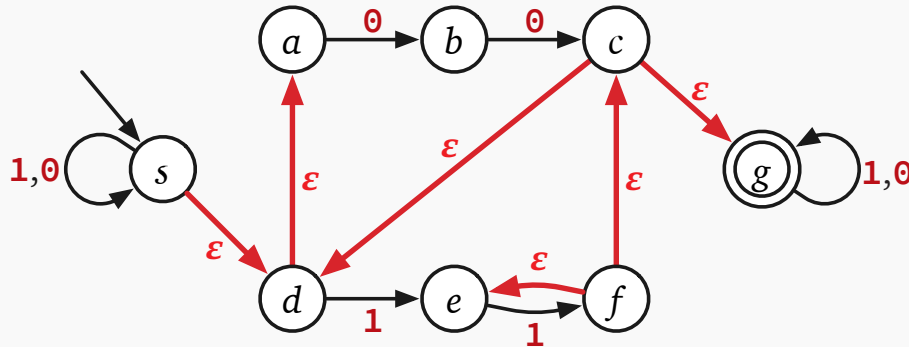
Extending the transition function to strings

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- Want transition function $\delta^* : Q \times \Sigma^* \rightarrow \mathcal{P}(Q)$
- $\delta^*(q, w)$: set of states reachable on input w starting in state q .

Extending the transition function to strings

Definition

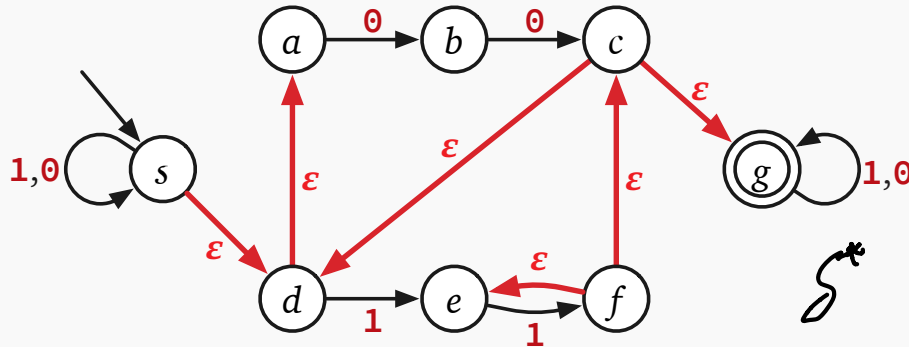
For NFA $N = (Q, \Sigma, \delta, s, A)$ and $q \in Q$ the $\epsilon\text{reach}(q)$ is the set of all states that q can reach using only ϵ -transitions.



Extending the transition function to strings

Definition

For NFA $N = (Q, \Sigma, \delta, s, A)$ and $q \in Q$ the $\epsilon\text{reach}(q)$ is the set of all states that q can reach using only ϵ -transitions.



Definition

For $X \subseteq Q$: $\epsilon\text{reach}(X) = \bigcup_{x \in X} \epsilon\text{reach}(x)$.



Extending the transition function to strings

$\epsilon\text{reach}(q)$: set of all states that q can reach using only ϵ -transitions.

Definition

Inductive definition of $\delta^* : Q \times \Sigma^* \rightarrow \mathcal{P}(Q)$:

- if $w = \epsilon$, $\delta^*(q, w) = \epsilon\text{reach}(q)$

Extending the transition function to strings

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Definition

Inductive definition of $\delta^* : Q \times \Sigma^* \rightarrow \mathcal{P}(Q)$:

- if $w = \epsilon$, $\delta^*(q, w) = \epsilon\text{reach}(q)$
- if $w = a$ where $a \in \Sigma$:

$$\delta^*(q, a) = \epsilon\text{reach} \left(\bigcup_{p \in \epsilon\text{reach}(q)} \delta(p, a) \right)$$

Extending the transition function to strings

$\epsilon\text{reach}(q)$: set of all states that q can reach using only ϵ -transitions.

Definition

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- if $w = ax$:

$$\delta^*(q, w) = \epsilon\text{reach} \left(\bigcup_{p \in \epsilon\text{reach}(q)} \left(\bigcup_{r \in \delta^*(p, a)} \delta^*(r, x) \right) \right)$$

Transition for strings: $w = ax$

$$\delta^*(q, w) = \epsilon\text{reach} \left(\bigcup_{p \in \epsilon\text{reach}(q)} \left(\bigcup_{r \in \delta^*(p, a)} \delta^*(r, x) \right) \right)$$

• $R = \epsilon\text{reach}(q) \implies w = ax$

$$\delta^*(q, w) = \epsilon\text{reach} \left(\bigcup_{p \in R} \bigcup_{r \in \delta^*(p, a)} \delta^*(r, x) \right)$$

- $N = \bigcup_{p \in R} \delta^*(p, a)$: All the states reachable from q with the letter a .

• $\delta^*(q, w) = \epsilon\text{reach} \left(\bigcup_{r \in N} \delta^*(r, x) \right)$

Formal definition of language accepted by **N**

Definition

A string w is accepted by NFA N if $\delta_N^*(s, w) \cap A \neq \emptyset$.

Definition

The language $L(N)$ accepted by a NFA $N = (Q, \Sigma, \delta, s, A)$ is

$$\{w \in \Sigma^* \mid \delta^*(s, w) \cap A \neq \emptyset\}.$$

Formal definition of language accepted by **N**

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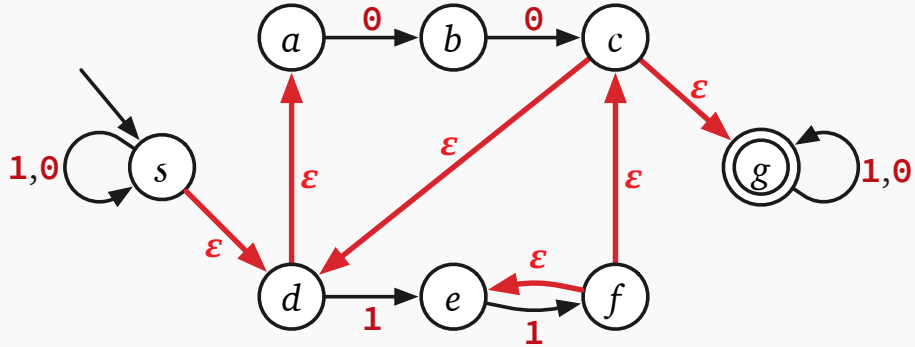
Definition

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Important: Formal definition of the language of NFA above uses δ^* and not δ . As such, one does not need to include ε -transitions closure when specifying δ , since δ^* takes care of that.

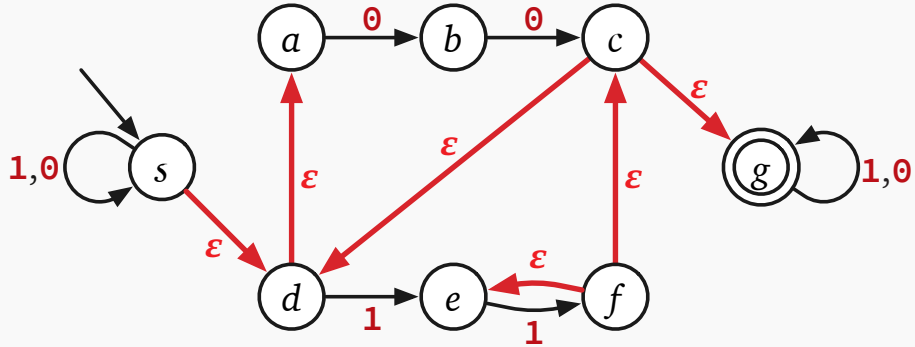
Example



What is:

$$\cdot \delta^*(s, \epsilon) = \{d, a, s\}$$

Example

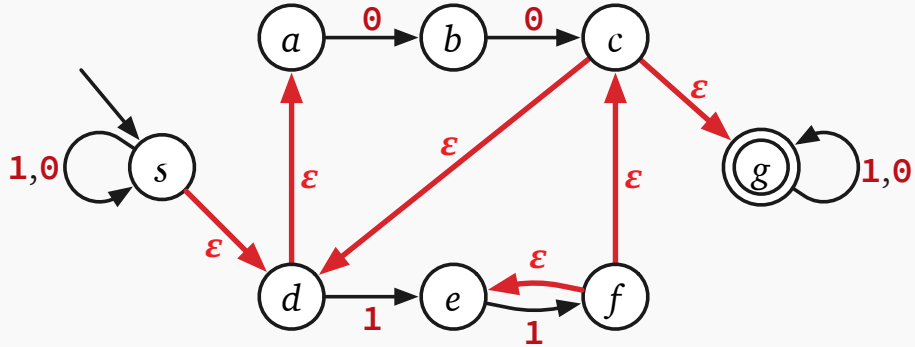


What is:

- $\delta^*(s, \epsilon) =$

- $\delta^*(s, 0) = \{s, b\}$

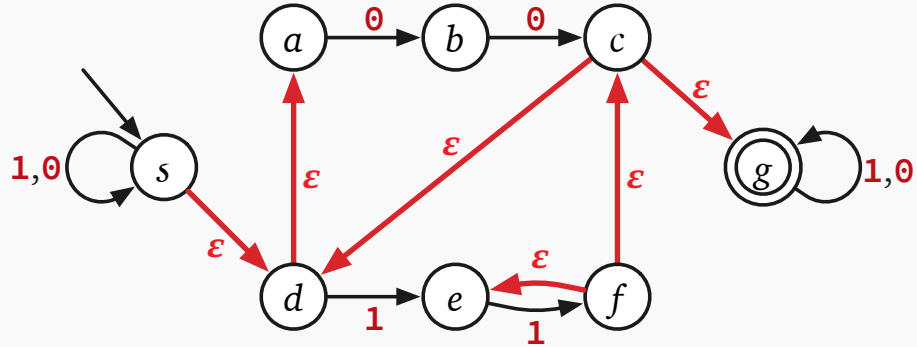
Example



What is:

- $\delta^*(s, \epsilon) =$
- $\delta^*(s, 0) =$
- $\delta^*(b, 0) = \{c, d, a, g\}$

Example



What is:

- $\delta^*(s, \epsilon) =$
- $\delta^*(s, 0) =$
- $\delta^*(b, 0) =$
- $\delta^*(b, 00) = \{b, g\}$

Why non-determinism?

- Non-determinism adds power to the model; richer programming language and hence (much) easier to “design” programs
- Fundamental in **theory** to prove many theorems
- Very important in **practice** directly and indirectly
- Many deep connections to various fields in Computer Science and Mathematics

Many interpretations of non-determinism. Hard to understand at the outset. Get used to it and then you will appreciate it slowly.

Constructing NFAs

DFAs and NFAs

- Every DFA is a NFA so NFAs are at least as powerful as DFAs.
- NFAs prove ability to “guess and verify” which simplifies design and reduces number of states
- Easy proofs of some closure properties

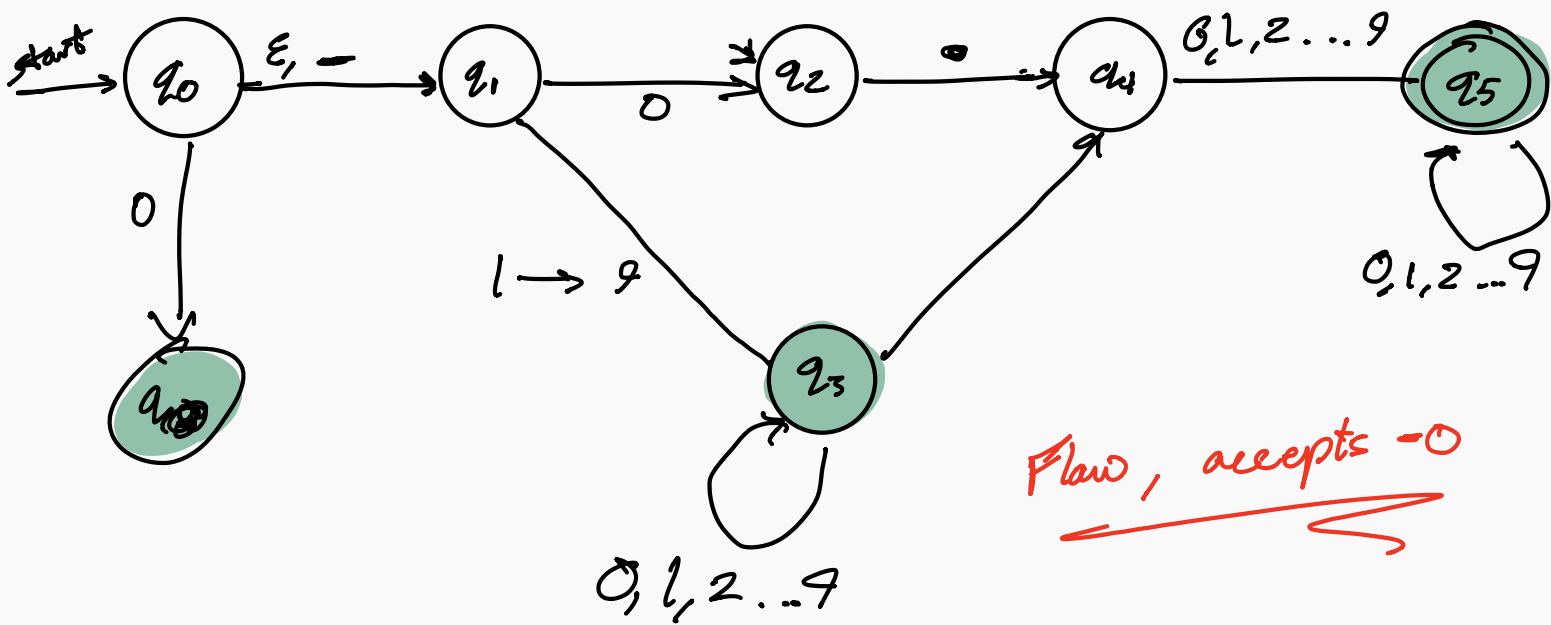
Example

Strings that represent decimal numbers.

Examples: 154, 345.75332, 534677567.1, -1, 0.1234

-0

~~01.12321~~



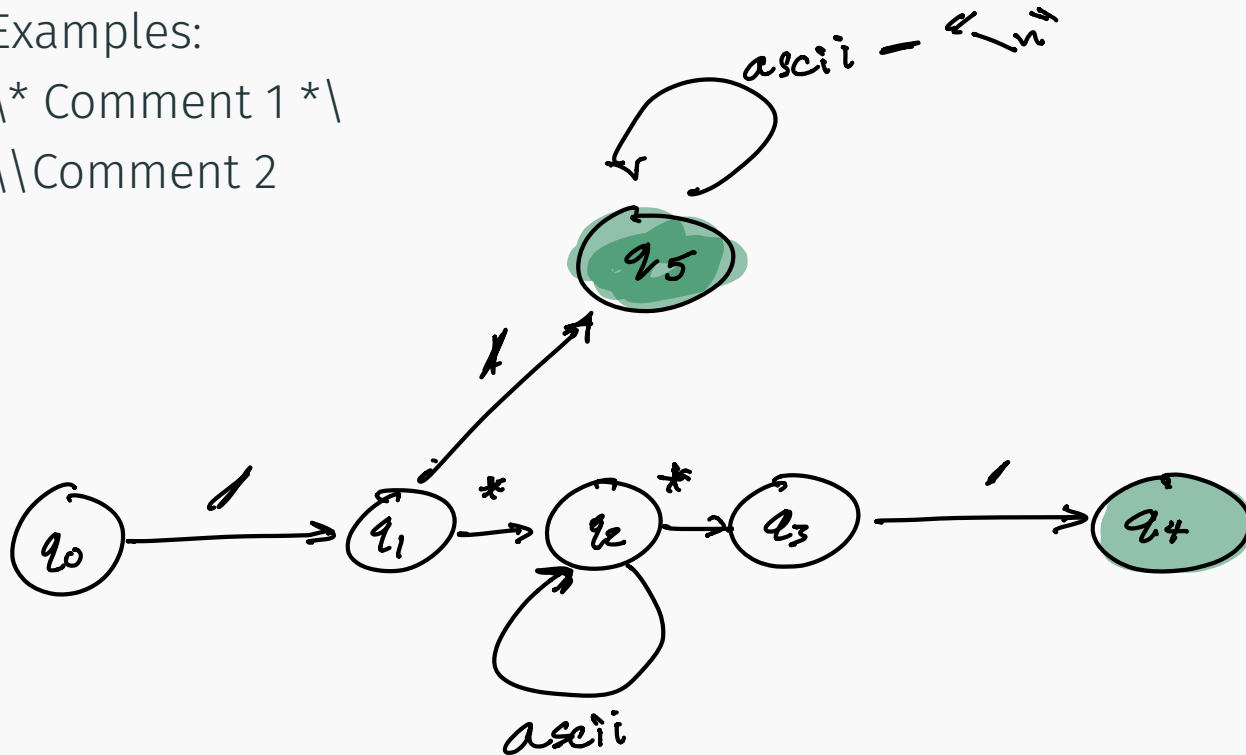
Example

Strings that represent valid C comments.

Examples:

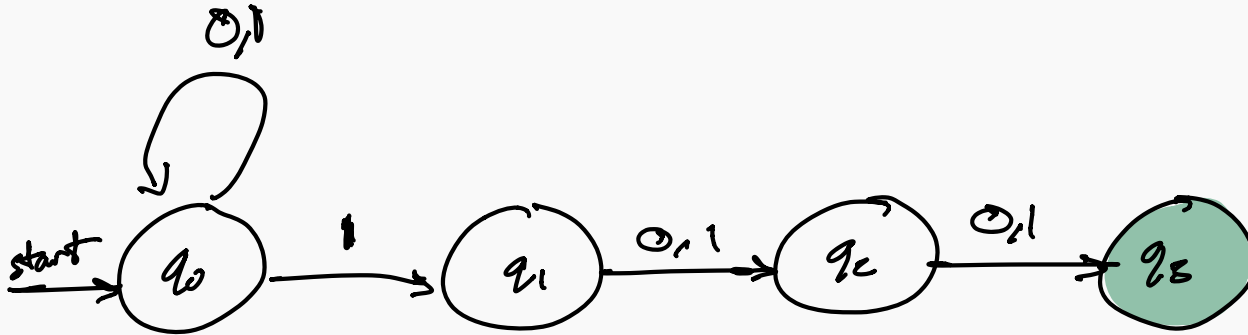
```
\* Comment 1 *\
```

```
\\Comment 2
```



Example

$L_3 = \{\text{bitstrings that have a } 1 \text{ three positions from the end}\}$



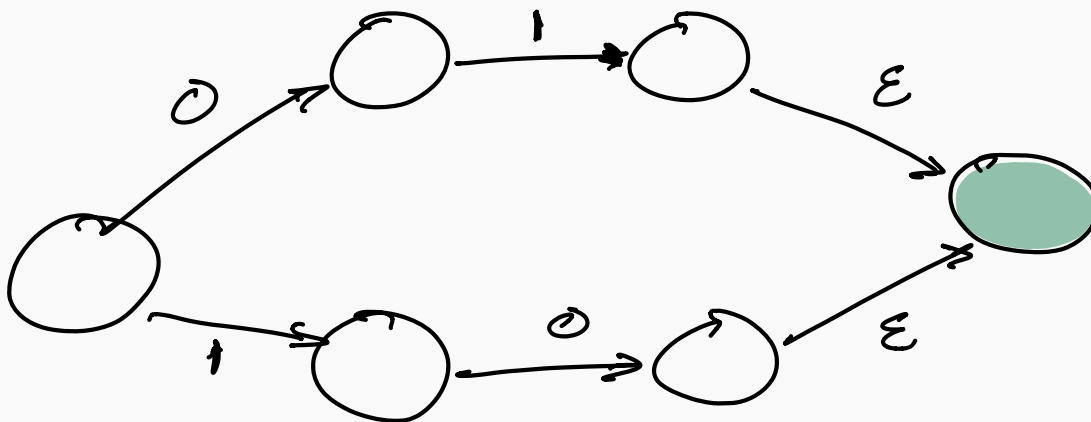
A simple transformation

Theorem

For every NFA N there is another NFA N' such that $L(N) = L(N')$ and such that N' has the following two properties:

- N' has single final state f that has no outgoing transitions
- The start state s of N is different from f

$$L = 01 + 10$$



A simple transformation

Theorem

For every NFA N there is another NFA N' such that $L(N) = L(N')$ and such that N' has the following two properties:

- N' has single final state f that has no outgoing transitions*
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Why couldn't we say this for DFA's?

A simple transformation

Hint: Consider the $L = 01 + 10$.

Closure Properties of NFAs

Closure properties of NFAs

Are the class of languages accepted by NFAs closed under the following operations?

- union
- intersection
- concatenation
- Kleene star
- complement

Closure under union

Theorem

For any two NFAs N_1 and N_2 there is a NFA N such that

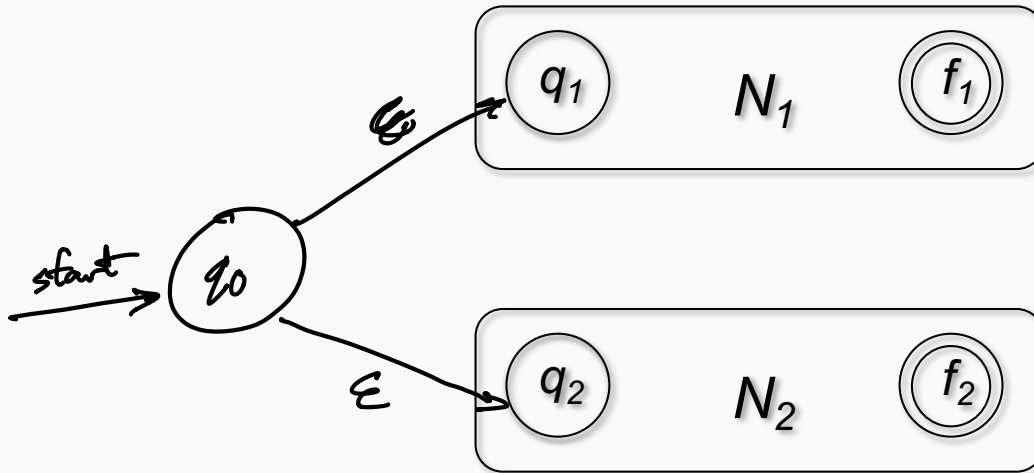
$$L(N) = L(N_1) \cup L(N_2).$$

Closure under union

Theorem

For any two NFAs N_1 and N_2 there is a NFA N such that

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Closure under concatenation

Theorem

For any two NFAs N_1 and N_2 there is a NFA N such that

$$L(N) = L(N_1) \cdot L(N_2).$$

wy

z

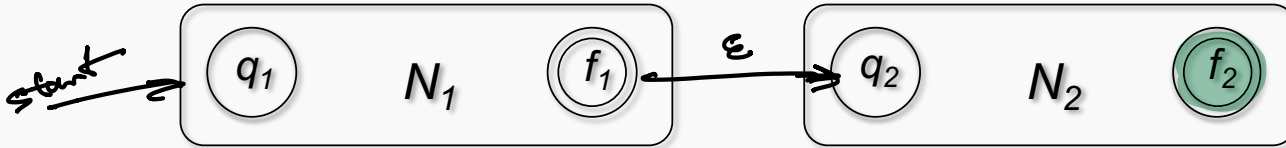
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Closure under concatenation

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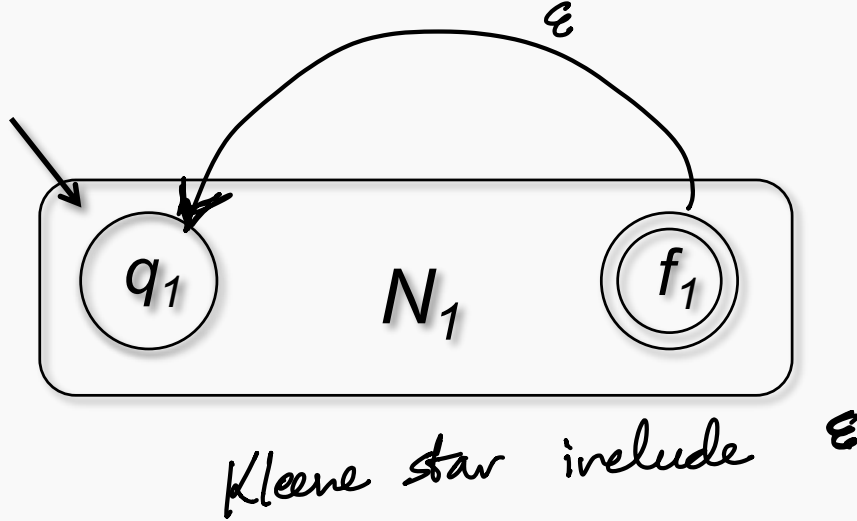
$$L(N) = L(N_1) \cdot L(N_2).$$



Closure under Kleene star

Theorem

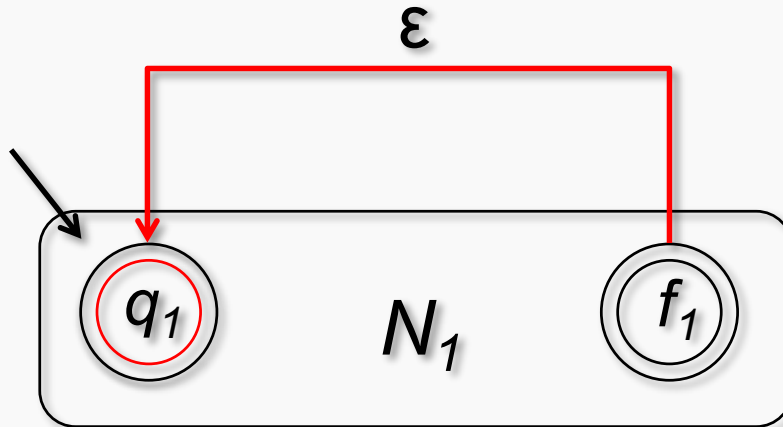
For any NFA N_1 there is a NFA N such that $L(N) = (L(N_1))^*$.



Closure under Kleene star

Theorem

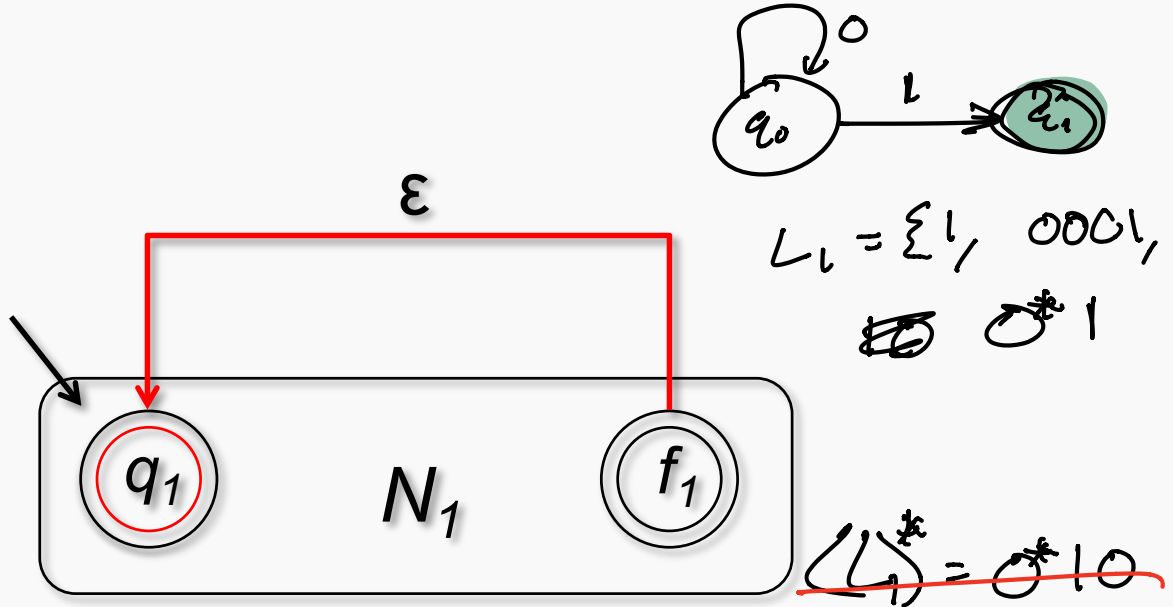
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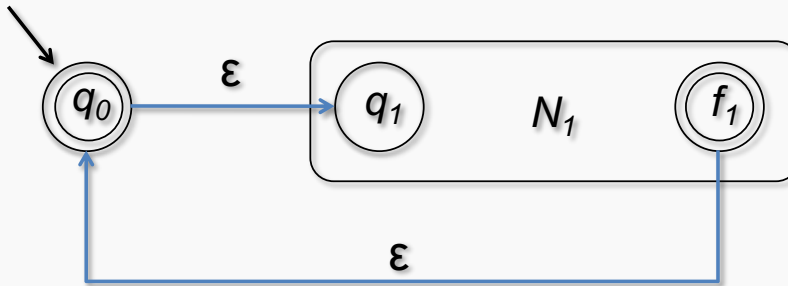


Does not work! Why?

Closure under Kleene star

Theorem

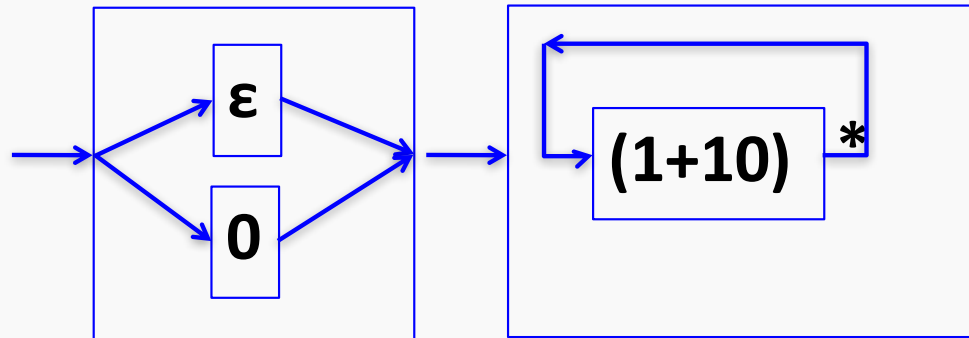
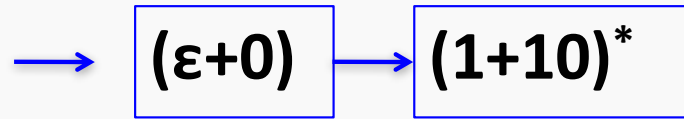
For any NFA N_1 there is a NFA N such that $L(N) = (L(N_1))^*$.



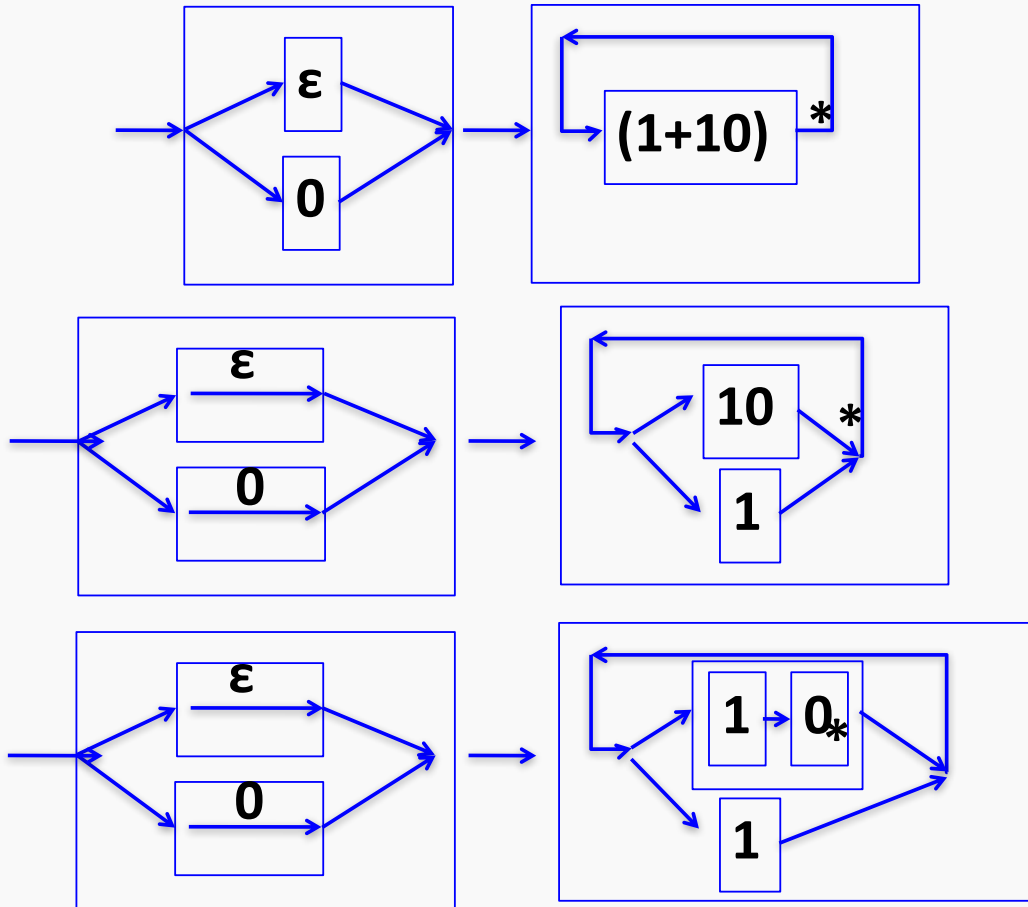
NFAs capture Regular Languages

Example

$(\epsilon+0)(1+10)^*$

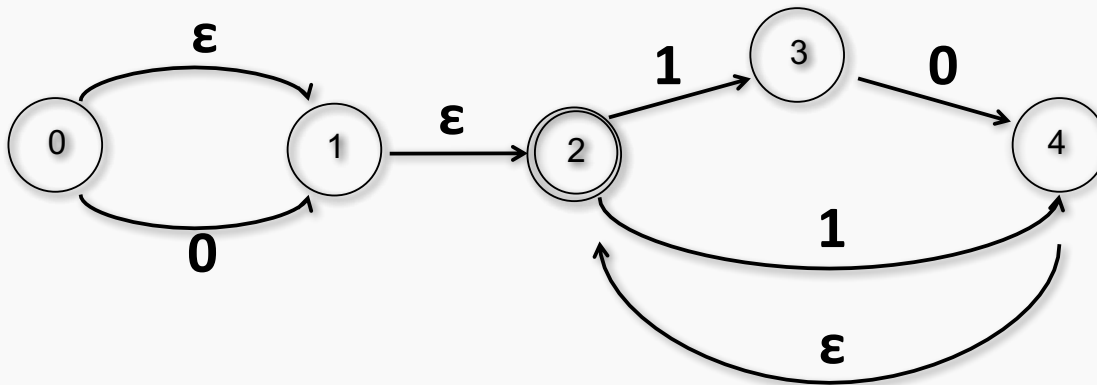
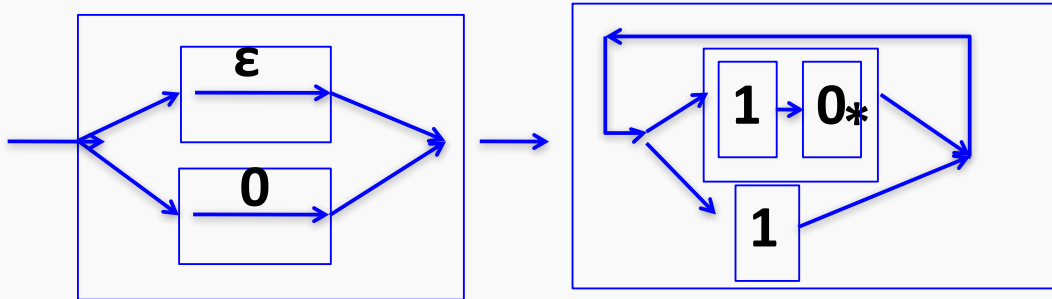


Example



Example

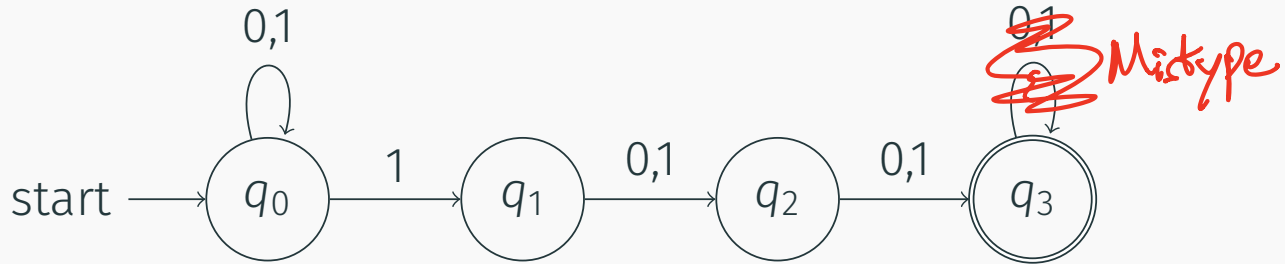
Final NFA simplified slightly to reduce states



Last thought

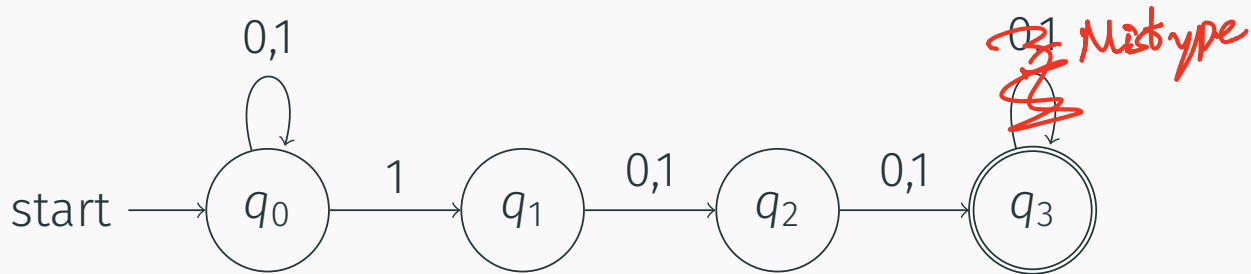
Equivalence

Do all NFAs have a corresponding DFA?



Equivalence

Do all NFAs have a corresponding DFA?



Yes but it likely won't be pretty.

