

Independent Sets in Trees and Graph Basics

Lecture 15

How to design DP algorithms

- 1 Find a “smart” recursion (**The hard part**)
 - 1 Formulate the sub-problem
 - 2 so that the number of distinct subproblems is small; polynomial in the original problem size.

How to design DP algorithms

- 1 Find a “smart” recursion (**The hard part**)
 - 1 Formulate the sub-problem
 - 2 so that the number of distinct subproblems is small; polynomial in the original problem size.
- 2 Memoization
 - 1 Identify distinct subproblems
 - 2 Choose a memoization data structure
 - 3 Identify dependencies and find a good evaluation order
 - 4 An iterative algorithm replacing recursive calls with array lookups

Which data structure?

So far our memoization uses multi-dimensional arrays:

- Fibonacci numbers, 1-D array
- Text segmentation, suffix, 1-D array
- Longest increasing subsequence, suffix+index, 2-D array
- Edit distance, two prefixes, 2-D array

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Not always true.

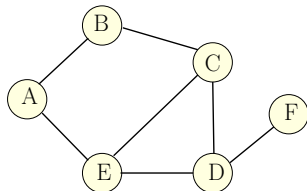
Part I

Maximum Weight Independent Set in Trees

Independent Set in a Graph

Definition

Given undirected graph $G = (V, E)$ a subset of nodes $S \subseteq V$ is an **independent set** if there are no edges between nodes in S . That is, if $u, v \in S$ then $(u, v) \notin E$.

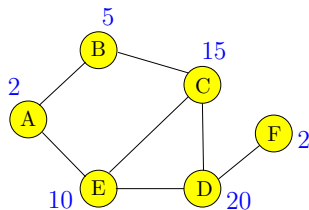


Some independent sets in graph above: $\{D\}$, $\{A, C\}$, $\{B, E, F\}$

Maximum Weight Independent Set Problem

Input Graph $G = (V, E)$ and weights $w(v) \geq 0$ for each $v \in V$

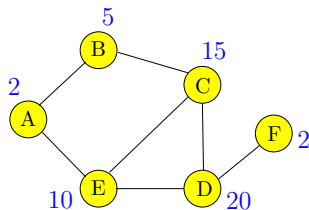
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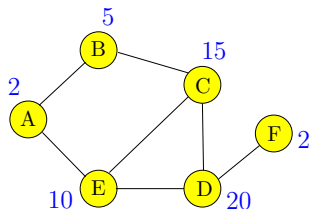


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Maximum weight independent set in above graph: $\{B, D\}$

Maximum Weight Independent Set Problem

- Finding the largest independent set in an arbitrary graph is extremely hard
- the canonical NP-hard problem

Backtracking

Convert into a sequence of decision problems.

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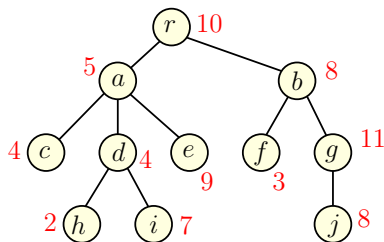
Maximum Weight Independent Set Problem

- Finding the largest independent set in an arbitrary graph is extremely hard
- the canonical NP-hard problem
- But in some special classes of graphs, we can find largest independent sets quickly
- when the input graph is a tree with n vertices, we can compute in $O(n)$ time

Maximum Weight Independent Set in a Tree

Input Tree $T = (V, E)$ and weights $w(v) \geq 0$ for each $v \in V$

Goal Find maximum weight independent set in T



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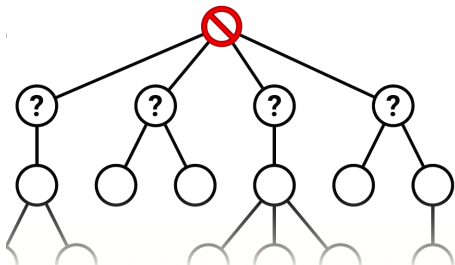
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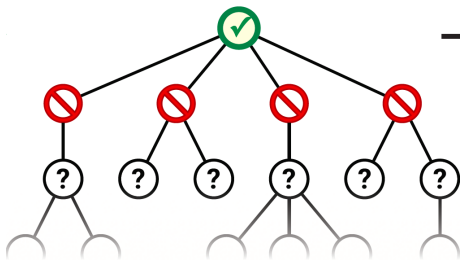
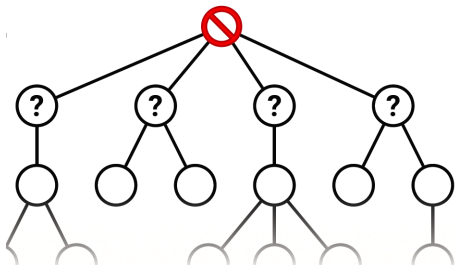
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What is special about a tree?

Optimal substructure



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$OPT(u)$: max weighted independent set value in $T(u)$

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What data structure to memoize this recurrence? **A tree**

Order of evaluation

- ① Compute $OPT(u)$ bottom up. To evaluate $OPT(u)$ need to have computed values of all children and grandchildren of u
- ② What is an ordering of nodes of a tree T to achieve above?

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- 1 Compute $OPT(u)$ bottom up. To evaluate $OPT(u)$ need to have computed values of all children and grandchildren of u
- 2 What is an ordering of nodes of a tree T to achieve above?
Post-order traversal of a tree.

Iterative Algorithm

MIS-Tree(T):

Let v_1, v_2, \dots, v_n be a post-order traversal of nodes of T
for $i = 1$ to n **do**

$$M[v_i] = \max \left(w(v_i) + \sum_{v_j \text{ grandchild of } v_i} M[v_j], \sum_{v_j \text{ child of } v_i} M[v_j] \right)$$

return $M[v_n]$ (* Note: v_n is the root of T *)

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- 2 Better bound: $O(n)$. A value $M[v_j]$ is accessed only by its parent and grand parent.

Part II

Graph Basics

Why Graphs?

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- 1 Many important and useful optimization problems are graph problems
- 2 Two levels of resolution:
 - 1 Classic graph algorithms
 - 2 How to model a problem as a graph problem and solve it using the classic algorithms

Example: Medieval road network



Example: Modeling Problems as Search

State Space Search

Many search problems can be modeled as search on a graph. The trick is figuring out what the vertices and edges are.

Missionaries and Cannibals

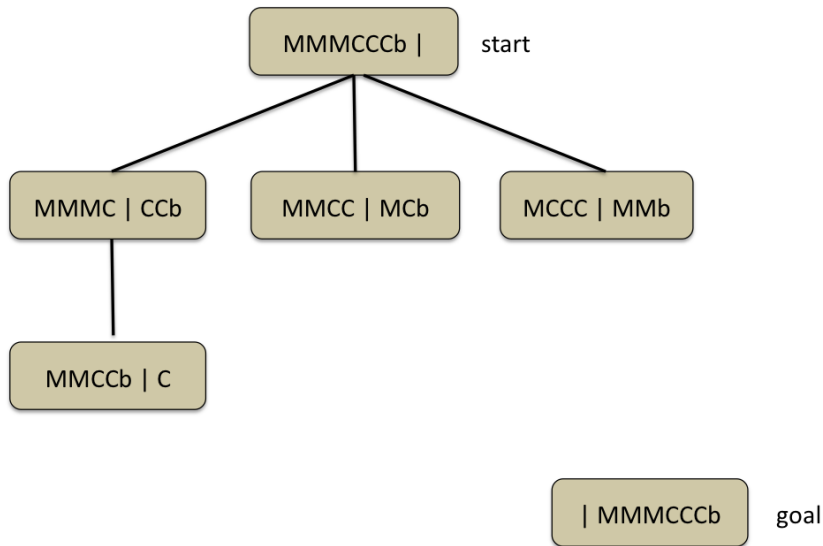
- Three missionaries, three cannibals, one boat, one river
- Boat carries two people, must have at least one person
- Must all get across
- At no time can cannibals outnumber missionaries

How is this a graph search problem?

What are the vertices?

What are the edges?

Example: Missionaries and Cannibals Graph



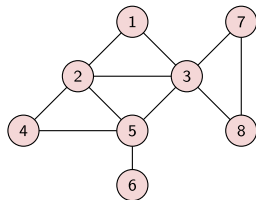
Graph

Definition

An undirected (simple) graph

$G = (V, E)$ is a 2-tuple:

- 1 V is a set of vertices (also referred to as nodes)
- 2 E is a set of edges where each edge $e \in E$ is a set of the form $\{u, v\}$ with $u, v \in V$ and $u \neq v$.



Example

In figure, $G = (V, E)$ where $V = \{1, 2, 3, 4, 5, 6, 7, 8\}$ and $E = \{\{1, 2\}, \{1, 3\}, \{2, 3\}, \{2, 4\}, \{2, 5\}, \{3, 5\}, \{3, 7\}, \{3, 8\}, \{4, 5\}, \{5, 6\}, \{7, 8\}\}$.

Notation and Convention

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Notation

An edge in an undirected graphs is an *unordered* pair of nodes and hence it is a set. Conventionally we use (u, v) for $\{u, v\}$ when it is clear from the context that the graph is undirected.

- 1 u and v are the **end points** of an edge $\{u, v\}$

Graph Representation I

Adjacency Matrix

Represent $G = (V, E)$ with n vertices and m edges using a $n \times n$ adjacency matrix A where

- 1 $A[i, j] = A[j, i] = 1$ if $\{i, j\} \in E$ and $A[i, j] = A[j, i] = 0$ if $\{i, j\} \notin E$.

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- 3 Disadvantage: needs $\Omega(n^2)$ space even when $m \ll n^2$

Graph Representation II

Adjacency Lists

Represent $G = (V, E)$ with n vertices and m edges using adjacency lists:

- 1 For each $u \in V$, $\text{Adj}(u) = \{v \mid \{u, v\} \in E\}$, that is neighbors of u . Sometimes $\text{Adj}(u)$ is the list of edges incident to u .

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- 3 Disadvantage: cannot “easily” determine in $O(1)$ time whether $\{i, j\} \in E$
 - 1 By sorting each list, one can achieve $O(\log n)$ time
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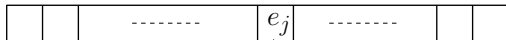
Note: In this class we will assume that by default, graphs are represented using plain vanilla (unsorted) adjacency lists.

A Concrete Representation

- Assume vertices are numbered arbitrarily as $\{1, 2, \dots, n\}$.
- Edges are numbered arbitrarily as $\{1, 2, \dots, m\}$.
- Edges stored in an array/list of size m . $E[j]$ is j 'th edge with info on end points which are integers in range 1 to n .
- Array Adj of size n for adjacency lists. $Adj[i]$ points to adjacency list of vertex i . $Adj[i]$ is a list of edge indices in range 1 to m .

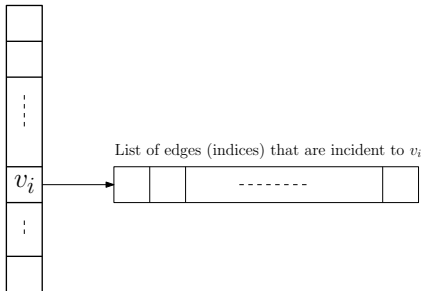
A Concrete Representation

Array of edges E



information including end point indices

Array of adjacency lists



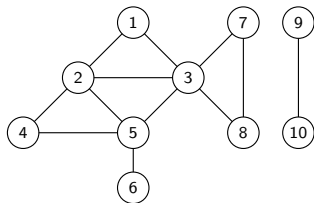
Connectivity Problems

Algorithmic Problems

- 1 Given graph G and nodes u and v , is u *connected* to v ?
- 2 Given G and node u , find all nodes that are connected to u .
- 3 Find all connected components of G .

Connectivity on Undirected Graphs

Given a graph $G = (V, E)$:

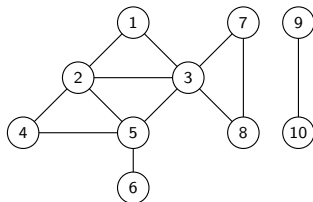


A **path** is a sequence of *distinct* vertices v_1, v_2, \dots, v_k such that $\{v_i, v_{i+1}\} \in E$ for $1 \leq i \leq k - 1$. The length of the path is $k - 1$ (the number of edges in the path) and the path is from v_1 to v_k .

Note: a single vertex u is a path of length **0**.

Connectivity on Undirected Graphs

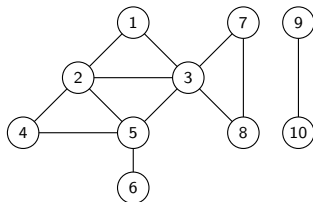
Given a graph $G = (V, E)$:



A **cycle** is a sequence of *distinct* vertices v_1, v_2, \dots, v_k such that $\{v_i, v_{i+1}\} \in E$ for $1 \leq i \leq k-1$ and $\{v_1, v_k\} \in E$. Single vertex not a cycle according to this definition.

Connectivity on Undirected Graphs

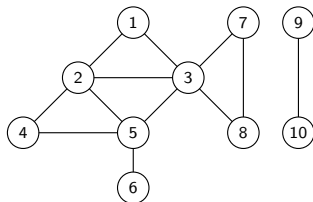
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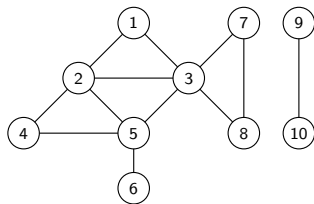
A vertex u is **connected** to v if there is a path from u to v .

The **connected component** of u , $\text{con}(u)$, is the set of all vertices connected to u .

Connectivity on Undirected Graphs

Define a relation C on $V \times V$ as uCv if u is connected to v

- 1 In undirected graphs, connectivity is a reflexive, symmetric, and transitive relation. Connected components are the equivalence classes.
- 2 Graph is **connected** if only one connected component.



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Can be accomplished in $O(m + n)$ time using **BFS** or **DFS**.

BFS and **DFS** are refinements of a basic search procedure which is good to understand on its own.