

## Programming Languages and Compilers (CS 421)

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## BNF Grammars

- Start with a set of characters, **a,b,c**,...
  - We call these *terminals*
- Add a set of different characters, **X,Y,Z**, ...
  - We call these *nonterminals*
- One special nonterminal **S** called *start symbol*

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## BNF Grammars

- BNF rules (aka *productions*) have form  $X ::= y$  where **X** is any nonterminal and **y** is a string of terminals and nonterminals
- BNF *grammar* is a set of BNF rules such that every nonterminal appears on the left of some rule

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## Sample Grammar

- Terminals: 0 1 + ( )
- Nonterminals: <Sum>
- Start symbol = <Sum>
- $\langle \text{Sum} \rangle ::= 0$
- $\langle \text{Sum} \rangle ::= 1$
- $\langle \text{Sum} \rangle ::= \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$
- $\langle \text{Sum} \rangle ::= (\langle \text{Sum} \rangle)$
- Can be abbreviated as  $\langle \text{Sum} \rangle ::= 0 \mid 1 \mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \mid ( )$

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## BNF Derivations

- Given rules  $X ::= yZw$  and  $Z ::= v$  we may replace **Z** by **v** to say  $X \Rightarrow yZw \Rightarrow yvw$
- Sequence of such replacements called *derivation*
- Derivation called *right-most* if always replace the right-most non-terminal

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## BNF Semantics

- The meaning of a BNF grammar is the set of all strings consisting only of terminals that can be derived from the Start symbol

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## BNF Derivations

- Start with the start symbol:

$\langle \text{Sum} \rangle \Rightarrow$

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## BNF Derivations

- Pick a non-terminal

$\langle \text{Sum} \rangle \Rightarrow$

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## BNF Derivations

- Pick a rule and substitute:

- $\langle \text{Sum} \rangle ::= \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

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## BNF Derivations

- Pick a non-terminal:

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

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## BNF Derivations

- Pick a rule and substitute:

- $\langle \text{Sum} \rangle ::= ( \langle \text{Sum} \rangle )$

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\Rightarrow ( \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$

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## BNF Derivations

- Pick a non-terminal:

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\Rightarrow ( \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$

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## BNF Derivations

- Pick a rule and substitute:

- $\langle \text{Sum} \rangle ::= \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$$

$$\Rightarrow ( \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$$

$$\Rightarrow ( \langle \text{Sum} \rangle + \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$$

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## BNF Derivations

- Pick a non-terminal:

$$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$$

$$\Rightarrow ( \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$$

$$\Rightarrow ( \langle \text{Sum} \rangle + \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$$

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## BNF Derivations

- Pick a rule and substitute:

- $\langle \text{Sum} \rangle ::= 1$

$$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$$

$$\Rightarrow ( \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$$

$$\Rightarrow ( \langle \text{Sum} \rangle + \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$$

$$\Rightarrow ( \langle \text{Sum} \rangle + 1 ) + \langle \text{Sum} \rangle$$

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## BNF Derivations

- Pick a non-terminal:

$$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$$

$$\Rightarrow ( \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$$

$$\Rightarrow ( \langle \text{Sum} \rangle + \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$$

$$\Rightarrow ( \langle \text{Sum} \rangle + 1 ) + \langle \text{Sum} \rangle$$

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## BNF Derivations

- Pick a rule and substitute:

- $\langle \text{Sum} \rangle ::= 0$

$$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$$

$$\Rightarrow ( \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$$

$$\Rightarrow ( \langle \text{Sum} \rangle + \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$$

$$\Rightarrow ( \langle \text{Sum} \rangle + 1 ) + \langle \text{Sum} \rangle$$

$$\Rightarrow ( \langle \text{Sum} \rangle + 1 ) + 0$$

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## BNF Derivations

- Pick a non-terminal:

$$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$$

$$\Rightarrow ( \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$$

$$\Rightarrow ( \langle \text{Sum} \rangle + \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$$

$$\Rightarrow ( \langle \text{Sum} \rangle + 1 ) + \langle \text{Sum} \rangle$$

$$\Rightarrow ( \langle \text{Sum} \rangle + 1 ) + 0$$

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## BNF Derivations

- Pick a rule and substitute

- $\langle \text{Sum} \rangle ::= 0$

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$   
 $\Rightarrow ( \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$   
 $\Rightarrow ( \langle \text{Sum} \rangle + \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$   
 $\Rightarrow ( \langle \text{Sum} \rangle + 1 ) + \langle \text{Sum} \rangle$   
 $\Rightarrow ( \langle \text{Sum} \rangle + 1 ) 0$   
 $\Rightarrow ( 0 + 1 ) + 0$

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## BNF Derivations

- $( 0 + 1 ) + 0$  is generated by grammar

$\langle \text{Sum} \rangle \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$   
 $\Rightarrow ( \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$   
 $\Rightarrow ( \langle \text{Sum} \rangle + \langle \text{Sum} \rangle ) + \langle \text{Sum} \rangle$   
 $\Rightarrow ( \langle \text{Sum} \rangle + 1 ) + \langle \text{Sum} \rangle$   
 $\Rightarrow ( \langle \text{Sum} \rangle + 1 ) + 0$   
 $\Rightarrow ( 0 + 1 ) + 0$

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$\langle \text{Sum} \rangle ::= 0 \mid 1 \mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \mid ( \langle \text{Sum} \rangle )$

$\langle \text{Sum} \rangle \Rightarrow$

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## Regular Grammars

- Subclass of BNF
- Only rules of form  
 $\langle \text{nonterminal} \rangle ::= \langle \text{terminal} \rangle \langle \text{nonterminal} \rangle$  or  
 $\langle \text{nonterminal} \rangle ::= \langle \text{terminal} \rangle$  or  
 $\langle \text{nonterminal} \rangle ::= \epsilon$
- Defines same class of languages as regular expressions
- Important for writing lexers (programs that convert strings of characters into strings of tokens)

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## Example

- Regular grammar:  
 $\langle \text{Balanced} \rangle ::= \epsilon$   
 $\langle \text{Balanced} \rangle ::= 0 \langle \text{OneAndMore} \rangle$   
 $\langle \text{Balanced} \rangle ::= 1 \langle \text{ZeroAndMore} \rangle$   
 $\langle \text{OneAndMore} \rangle ::= 1 \langle \text{Balanced} \rangle$   
 $\langle \text{ZeroAndMore} \rangle ::= 0 \langle \text{Balanced} \rangle$
- Generates even length strings where every initial substring of even length has same number of 0's as 1's

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## Extended BNF Grammars

- Alternatives: allow rules of form  $X ::= y/z$ 
  - Abbreviates  $X ::= y, X ::= z$
- Options:  $X ::= y[v]z$ 
  - Abbreviates  $X ::= yvz, X ::= yz$
- Repetition:  $X ::= y\{v\}^*z$ 
  - Can be eliminated by adding new nonterminal  $V$  and rules  $X ::= yz, X ::= yVz, V ::= v, V ::= vV$

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## Parse Trees

- Graphical representation of derivation
- Each node labeled with either non-terminal or terminal
- If node is labeled with a terminal, then it is a leaf (no sub-trees)
- If node is labeled with a non-terminal, then it has one branch for each character in the right-hand side of rule used to substitute for it

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## Example

- Consider grammar:  
 $\langle \text{exp} \rangle ::= \langle \text{factor} \rangle$   
 $\quad \quad \quad | \langle \text{factor} \rangle + \langle \text{factor} \rangle$   
 $\langle \text{factor} \rangle ::= \langle \text{bin} \rangle$   
 $\quad \quad \quad | \langle \text{bin} \rangle * \langle \text{exp} \rangle$   
 $\langle \text{bin} \rangle ::= 0 \mid 1$
- Problem: Build parse tree for  $1 * 1 + 0$  as an  $\langle \text{exp} \rangle$

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## Example cont.

- $1 * 1 + 0$ :  $\langle \text{exp} \rangle$

$\langle \text{exp} \rangle$  is the start symbol for this parse tree

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## Example cont.

- $1 * 1 + 0$ :  $\langle \text{exp} \rangle$   
 $\quad \quad \quad |$   
 $\quad \quad \quad \langle \text{factor} \rangle$

Use rule:  $\langle \text{exp} \rangle ::= \langle \text{factor} \rangle$

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## Example cont.

- $1 * 1 + 0$ :  $\langle \text{exp} \rangle$   
 $\quad \quad \quad |$   
 $\quad \quad \quad \langle \text{factor} \rangle$   
 $\quad \quad \quad / \quad | \quad \backslash$   
 $\quad \quad \quad \langle \text{bin} \rangle \quad * \quad \langle \text{exp} \rangle$

Use rule:  $\langle \text{factor} \rangle ::= \langle \text{bin} \rangle * \langle \text{exp} \rangle$

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## Example cont.

- $1 * 1 + 0$ :  $\langle \text{exp} \rangle$   
 $\quad \quad \quad |$   
 $\quad \quad \quad \langle \text{factor} \rangle$   
 $\quad \quad \quad / \quad | \quad \backslash$   
 $\quad \quad \quad \langle \text{bin} \rangle \quad * \quad \langle \text{exp} \rangle$   
 $\quad \quad \quad | \quad \quad \quad / \quad | \quad \backslash$   
 $\quad \quad \quad 1 \quad \quad \quad \langle \text{factor} \rangle \quad + \quad \langle \text{factor} \rangle$

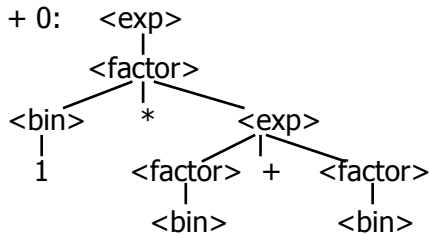
Use rules:  $\langle \text{bin} \rangle ::= 1$  and  
 $\langle \text{exp} \rangle ::= \langle \text{factor} \rangle + \langle \text{factor} \rangle$

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## Example cont.

- 1 \* 1 + 0:



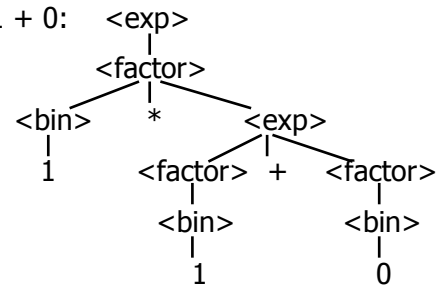
Use rule:  $\langle \text{factor} \rangle ::= \langle \text{bin} \rangle$

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## Example cont.

- 1 \* 1 + 0:



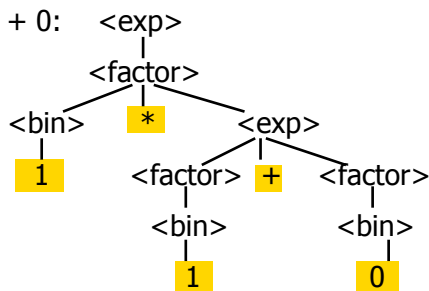
Use rules:  $\langle \text{bin} \rangle ::= 1 \mid 0$

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## Example cont.

- 1 \* 1 + 0:



Fringe of tree is string generated by grammar

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## Your Turn: 1 \* 0 + 0 \* 1

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## Parse Tree Data Structures

- Parse trees may be represented by OCaml datatypes
- One datatype for each nonterminal
- One constructor for each rule
- Defined as mutually recursive collection of datatype declarations

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## Example

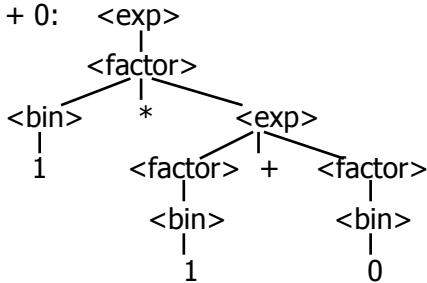
- Recall grammar:  
 $\langle \text{exp} \rangle ::= \langle \text{factor} \rangle \mid \langle \text{factor} \rangle + \langle \text{factor} \rangle$   
 $\langle \text{factor} \rangle ::= \langle \text{bin} \rangle \mid \langle \text{bin} \rangle * \langle \text{exp} \rangle$   
 $\langle \text{bin} \rangle ::= 0 \mid 1$
- type  $\text{exp} = \text{Factor2Exp of factor}$   
|  $\text{Plus of factor * factor}$   
and  $\text{factor} = \text{Bin2Factor of bin}$   
|  $\text{Mult of bin * exp}$   
and  $\text{bin} = \text{Zero} \mid \text{One}$

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## Example cont.

- 1 \* 1 + 0:



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## Example cont.

- Can be represented as

```

Factor2Exp
(Mult(One,
      Plus(Bin2Factor One,
            Bin2Factor Zero)))
  
```

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## Ambiguous Grammars and Languages

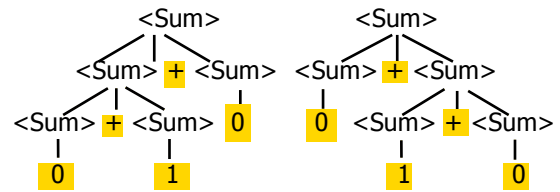
- A BNF grammar is *ambiguous* if its language contains strings for which there is more than one parse tree
- If all BNF's for a language are ambiguous then the language is *inherently ambiguous*

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## Example: Ambiguous Grammar

- 0 + 1 + 0



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## Example

- What is the result for:

$$3 + 4 * 5 + 6$$

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## Example

- What is the result for:

$$3 + 4 * 5 + 6$$

- Possible answers:

- 41 = ((3 + 4) \* 5) + 6
- 47 = 3 + (4 \* (5 + 6))
- 29 = (3 + (4 \* 5)) + 6 = 3 + ((4 \* 5) + 6)
- 77 = (3 + 4) \* (5 + 6)

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## Example

- What is the value of:

$$7 - 5 - 2$$

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## Example

- What is the value of:

$$7 - 5 - 2$$

- Possible answers:
  - In Pascal, C++, SML assoc. left  
 $7 - 5 - 2 = (7 - 5) - 2 = 0$
  - In APL, associate to right  
 $7 - 5 - 2 = 7 - (5 - 2) = 4$

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## Two Major Sources of Ambiguity

- Lack of determination of operator precedence
- Lack of determination of operator associativity
- Not the only sources of ambiguity

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## Disambiguating a Grammar

- Given ambiguous grammar  $G$ , with start symbol  $S$ , find a grammar  $G'$  with same start symbol, such that  
language of  $G =$  language of  $G'$
- Not always possible
- No algorithm in general

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## Disambiguating a Grammar

- Idea: Each non-terminal represents all strings having some property
- Identify these properties (often in terms of things that can't happen)
- Use these properties to inductively guarantee every string in language has a unique parse

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## Steps to Grammar Disambiguation

- Identify the rules and a smallest use that display ambiguity
- Decide which parse to keep; why should others be thrown out?
- What syntactic restrictions on subexpressions are needed to throw out the bad (while keeping the good)?
- Add a new non-terminal and rules to describe this set of restricted subexpressions (called stratifying, or refactoring)
- Replace old rules to use new non-terminals
- Rinse and repeat

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## Example

- Ambiguous grammar:  
 $\langle \text{exp} \rangle ::= 0 \mid 1 \mid \langle \text{exp} \rangle + \langle \text{exp} \rangle$   
 $\quad \quad \quad \mid \langle \text{exp} \rangle * \langle \text{exp} \rangle$
- String with more than one parse:  
 $0 + 1 + 0$   
 $1 * 1 + 1$
- Source of ambiguity: associativity and precedence

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## Two Major Sources of Ambiguity

- Lack of determination of operator precedence
- Lack of determination of operator associativity
- Not the only sources of ambiguity

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## How to Enforce Associativity

- Have at most one recursive call per production
- When two or more recursive calls would be natural leave right-most one for right associativity, left-most one for left associativity

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## Example

- $\langle \text{Sum} \rangle ::= 0 \mid 1 \mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$   
 $\quad \quad \quad \mid (\langle \text{Sum} \rangle)$
- Becomes
  - $\langle \text{Sum} \rangle ::= \langle \text{Num} \rangle \mid \langle \text{Num} \rangle + \langle \text{Sum} \rangle$
  - $\langle \text{Num} \rangle ::= 0 \mid 1 \mid (\langle \text{Sum} \rangle)$

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## Operator Precedence

- Operators of highest precedence evaluated first (bind more tightly).
- Precedence for infix binary operators given in following table
- Needs to be reflected in grammar

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## Precedence Table - Sample

	Fortran	Pascal	C/C++	Ada	SML
highest	**	*, /, div, mod	++, --	**	div, mod, /, *
	*, /	+, -	*, /, %	*, /, mod	+, -, ^
	+, -		+, -	+, -	::

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## First Example Again

- In any above language,  $3 + 4 * 5 + 6 = 29$
- In APL, all infix operators have same precedence
  - Thus we still don't know what the value is (handled by associativity)
- How do we handle precedence in grammar?

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## Precedence in Grammar

- Higher precedence translates to longer derivation chain
- Example:  
 $\langle \text{exp} \rangle ::= 0 \mid 1 \mid \langle \text{exp} \rangle + \langle \text{exp} \rangle \mid \langle \text{exp} \rangle * \langle \text{exp} \rangle$
- Becomes  
 $\langle \text{exp} \rangle ::= \langle \text{mult\_exp} \rangle \mid \langle \text{exp} \rangle + \langle \text{mult\_exp} \rangle$   
 $\langle \text{mult\_exp} \rangle ::= \langle \text{id} \rangle \mid \langle \text{mult\_exp} \rangle * \langle \text{id} \rangle$   
 $\langle \text{id} \rangle ::= 0 \mid 1$

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## Parser Code

- $\langle \text{grammar} \rangle$ .ml defines one parsing function per entry point
- Parsing function takes a lexing function (lexer buffer to token) and a lexer buffer as arguments
- Returns semantic attribute of corresponding entry point

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## Ocamlyacc Input

- File format:  

```
%{  
  <header>  
}%  
  <declarations>  
%%  
  <rules>  
%%  
  <trailer>
```

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## Ocamlyacc $\langle \text{header} \rangle$

- Contains arbitrary Ocaml code
- Typically used to give types and functions needed for the semantic actions of rules and to give specialized error recovery
- May be omitted
- $\langle \text{footer} \rangle$  similar. Possibly used to call parser

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## Ocamlyacc $\langle \text{declarations} \rangle$

- **%token** *symbol ... symbol*
- Declare given symbols as tokens
- **%token**  $\langle \text{type} \rangle$  *symbol ... symbol*
- Declare given symbols as token constructors, taking an argument of type  $\langle \text{type} \rangle$
- **%start** *symbol ... symbol*
- Declare given symbols as entry points; functions of same names in  $\langle \text{grammar} \rangle$ .ml

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## Ocamlyacc <declarations>

- `%type <type> symbol ... symbol`  
Specify type of attributes for given symbols.  
Mandatory for start symbols
- `%left symbol ... symbol`
- `%right symbol ... symbol`
- `%nonassoc symbol ... symbol`  
Associate precedence and associativity to given symbols. Same line, same precedence; earlier line, lower precedence (broadest scope)

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## Ocamlyacc <rules>

- `nonterminal :`  
`symbol ... symbol { semantic_action }`  
| ...  
| `symbol ... symbol { semantic_action }`  
;  
■ Semantic actions are arbitrary Ocaml expressions
- Must be of same type as declared (or inferred) for `nonterminal`
- Access semantic attributes (values) of symbols by position: \$1 for first symbol, \$2 to second ...

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## Example - Base types

```
(* File: expr.ml *)
type expr =
  Term_as_Expr of term
  | Plus_Expr of (term * expr)
  | Minus_Expr of (term * expr)
and term =
  Factor_as_Term of factor
  | Mult_Term of (factor * term)
  | Div_Term of (factor * term)
and factor =
  Id_as_Factor of string
  | Parenthesized_Expr_as_Factor of expr
```

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## Example - Lexer (exprlex.mll)

```
{ (*open Exprparse*) }
let numeric = ['0' - '9']
let letter = ['a' - 'z' 'A' - 'Z']
rule token = parse
  | "+" {Plus_token}
  | "-" {Minus_token}
  | "*" {Times_token}
  | "/" {Divide_token}
  | "(" {Left_parenthesis}
  | ")" {Right_parenthesis}
  | letter (letter|numeric|"_")* as id {Id_token id}
  | [' '\t' '\n'] {token lexbuf}
  | eof {EOL}
```

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## Example - Parser (exprparse.mly)

```
%{ open Expr
%}
%token <string> Id_token
%token Left_parenthesis Right_parenthesis
%token Times_token Divide_token
%token Plus_token Minus_token
%token EOL
%start main
%type <expr> main
%%
```

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## Example - Parser (exprparse.mly)

```
expr:
  term
    { Term_as_Expr $1 }
  | term Plus_token expr
    { Plus_Expr ($1, $3) }
  | term Minus_token expr
    { Minus_Expr ($1, $3) }
```

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## Example - Parser (exprparse.mly)

term:

```
factor
  { Factor_as_Term $1 }
| factor Times_token term
  { Mult_Term ($1, $3) }
| factor Divide_token term
  { Div_Term ($1, $3) }
```

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## Example - Parser (exprparse.mly)

factor:

```
Id_token
  { Id_as_Factor $1 }
| Left_parenthesis expr Right_parenthesis
  { Parenthesized_Expr_as_Factor $2 }
```

main:

```
| expr EOL
  { $1 }
```

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## Example - Using Parser

```
# #use "expr.ml";;
...
# #use "exprparse.ml";;
...
# #use "exprlex.ml";;
...
# let test s =
  let lexbuf = Lexing.from_string (s^"\n") in
  main token lexbuf;;
```

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## Example - Using Parser

```
# test "a + b";;
- : expr =
Plus_Expr
(Factor_as_Term (Id_as_Factor "a"),
Term_as_Expr (Factor_as_Term
(Id_as_Factor "b")))
```

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## LR Parsing

- Read tokens left to right (L)
- Create a rightmost derivation (R)
- How is this possible?
- Start at the bottom (left) and work your way up
- Last step has only one non-terminal to be replaced so is right-most
- Working backwards, replace mixed strings by non-terminals
- Always proceed so that there are no non-terminals to the right of the string to be replaced

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Example:  $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$   
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$

$= (0 + 1) + 0$       shift

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Example:  $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$   
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$

$= (0 + 1) + 0$       shift  
 $= (0 + 1) + 0$       shift

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Example:  $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$   
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$

$\Rightarrow (0 + 1) + 0$       reduce  
 $= (0 + 1) + 0$       shift  
 $= (0 + 1) + 0$       shift

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Example:  $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$   
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$

$= (\langle \text{Sum} \rangle + 1) + 0$       shift  
 $\Rightarrow (0 + 1) + 0$       reduce  
 $= (0 + 1) + 0$       shift  
 $= (0 + 1) + 0$       shift

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Example:  $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$   
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$

$= (\langle \text{Sum} \rangle + 1) + 0$       shift  
 $= (\langle \text{Sum} \rangle + 1) + 0$       shift  
 $\Rightarrow (0 + 1) + 0$       reduce  
 $= (0 + 1) + 0$       shift  
 $= (0 + 1) + 0$       shift

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Example:  $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$   
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$

$\Rightarrow (\langle \text{Sum} \rangle + 1) + 0$       reduce  
 $= (\langle \text{Sum} \rangle + 1) + 0$       shift  
 $= (\langle \text{Sum} \rangle + 1) + 0$       shift  
 $\Rightarrow (0 + 1) + 0$       reduce  
 $= (0 + 1) + 0$       shift  
 $= (0 + 1) + 0$       shift

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Example:  $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$   
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \Rightarrow$

$\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle) + 0$       reduce  
 $\Rightarrow (\langle \text{Sum} \rangle + 1) + 0$       reduce  
 $= (\langle \text{Sum} \rangle + 1) + 0$       shift  
 $= (\langle \text{Sum} \rangle + 1) + 0$       shift  
 $\Rightarrow (0 + 1) + 0$       reduce  
 $= (0 + 1) + 0$       shift  
 $= (0 + 1) + 0$       shift

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Example:  $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$   
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\langle \text{Sum} \rangle \bullet \Rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \bullet$	reduce
$\Rightarrow \langle \text{Sum} \rangle + 0 \bullet$	reduce
$= \langle \text{Sum} \rangle + \bullet 0$	shift
$= \langle \text{Sum} \rangle \bullet + 0$	shift
$\Rightarrow (\langle \text{Sum} \rangle) \bullet + 0$	reduce
$= (\langle \text{Sum} \rangle \bullet) + 0$	shift
$\Rightarrow (\langle \text{Sum} \rangle + \langle \text{Sum} \rangle \bullet) + 0$	reduce
$\Rightarrow (\langle \text{Sum} \rangle + 1 \bullet) + 0$	reduce
$= (\langle \text{Sum} \rangle + \bullet 1) + 0$	shift
$= (\langle \text{Sum} \rangle \bullet + 1) + 0$	shift
$\Rightarrow (0 \bullet + 1) + 0$	reduce
$= (\bullet 0 + 1) + 0$	shift
$= \bullet (0 + 1) + 0$	shift

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Example

( 0 + 1 ) + 0



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Example

( 0 + 1 ) + 0



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Example

( 0 + 1 ) + 0



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Example

(  $\langle \text{Sum} \rangle$   
0 + 1 ) + 0



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Example

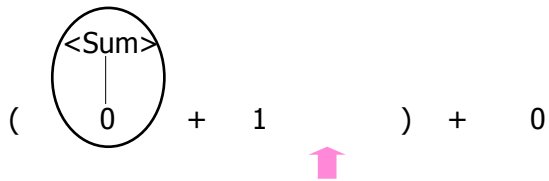
(  $\langle \text{Sum} \rangle$   
0 + 1 ) + 0



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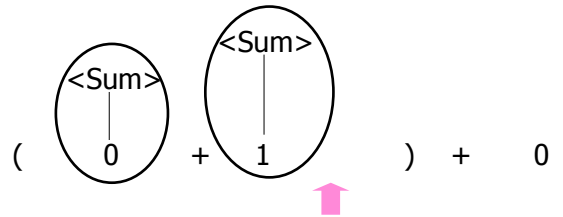
 Example



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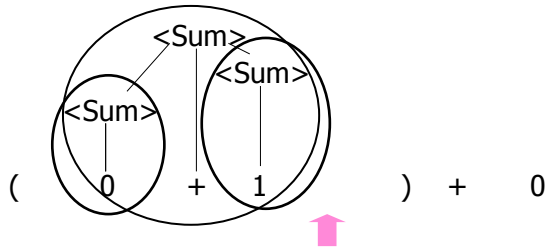
 Example



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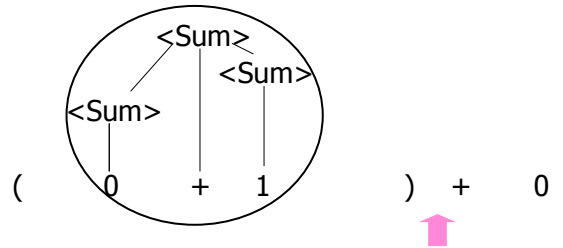
 Example



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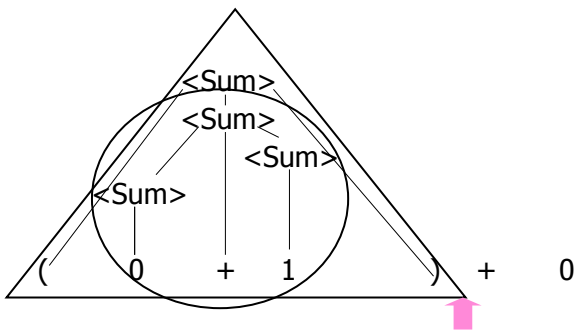
 Example



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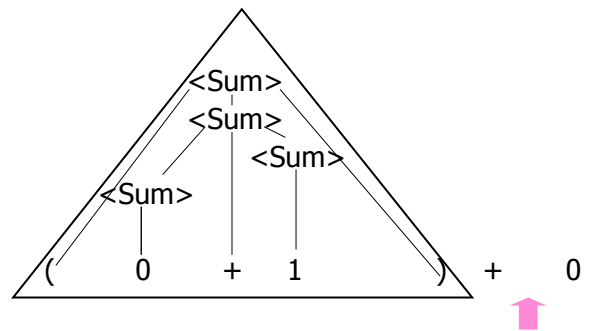
 Example



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 Example

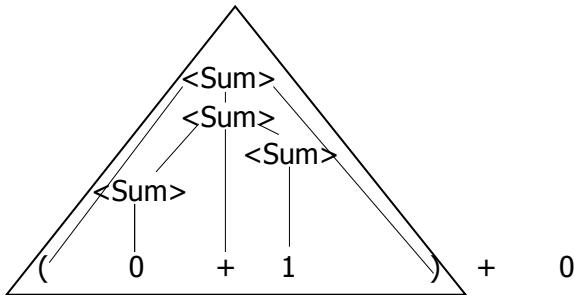


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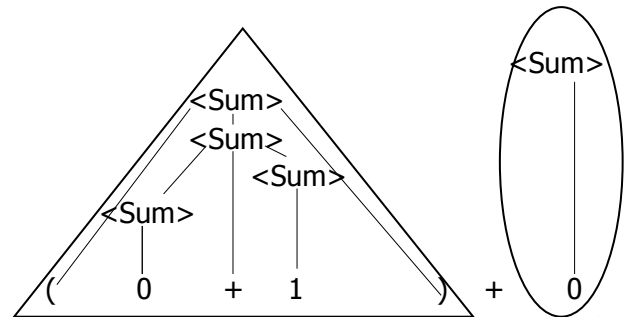
## Example



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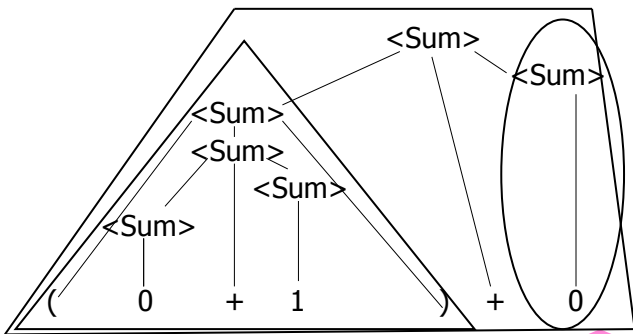
## Example



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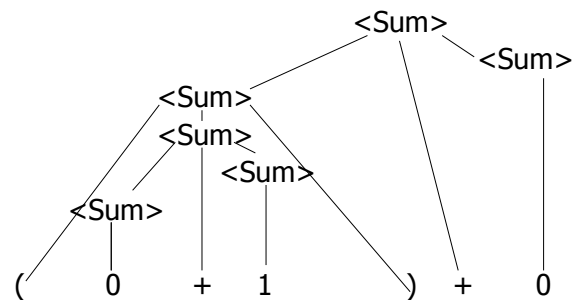
## Example



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## Example



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## LR Parsing Tables

- Build a pair of tables, Action and Goto, from the grammar
  - This is the hardest part, we omit here
  - Rows labeled by states
  - For Action, columns labeled by terminals and "end-of-tokens" marker
    - (more generally strings of terminals of fixed length)
  - For Goto, columns labeled by non-terminals

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## Action and Goto Tables

- Given a state and the next input, Action table says either
  - **shift** and go to state  $n$ , or
  - **reduce** by production  $k$  (explained in a bit)
  - **accept** or **error**
- Given a state and a non-terminal, Goto table says
  - go to state  $m$

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## LR(i) Parsing Algorithm

- Based on push-down automata
- Uses states and transitions (as recorded in Action and Goto tables)
- Uses a stack containing states, terminals and non-terminals

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## LR(i) Parsing Algorithm

0. Insure token stream ends in special “end-of-tokens” symbol
1. Start in state 1 with an empty stack
2. Push **state**(1) onto stack
- 3. Look at next  $i$  tokens from token stream ( $toks$ ) (don't remove yet)
4. If top symbol on stack is **state**( $n$ ), look up action in Action table at ( $n, toks$ )

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## LR(i) Parsing Algorithm

5. If action = **shift**  $m$ ,
  - a) Remove the top token from token stream and push it onto the stack
  - b) Push **state**( $m$ ) onto stack
  - c) Go to step 3

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## LR(i) Parsing Algorithm

6. If action = **reduce**  $k$  where production  $k$  is  $E ::= u$ 
  - a) Remove  $2 * \text{length}(u)$  symbols from stack ( $u$  and all the interleaved states)
  - b) If new top symbol on stack is **state**( $m$ ), look up new state  $p$  in Goto( $m, E$ )
  - c) Push  $E$  onto the stack, then push **state**( $p$ ) onto the stack
  - d) Go to step 3

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## LR(i) Parsing Algorithm

7. If action = **accept**
  - Stop parsing, return success
8. If action = **error**,
  - Stop parsing, return failure

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## Adding Synthesized Attributes

- Add to each **reduce** a rule for calculating the new synthesized attribute from the component attributes
- Add to each non-terminal pushed onto the stack, the attribute calculated for it
- When performing a **reduce**,
  - gather the recorded attributes from each non-terminal popped from stack
  - Compute new attribute for non-terminal pushed onto stack

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## Shift-Reduce Conflicts

- **Problem:** can't decide whether the action for a state and input character should be **shift** or **reduce**
- Caused by ambiguity in grammar
- Usually caused by lack of associativity or precedence information in grammar

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Example:  $\langle \text{Sum} \rangle = 0 \mid 1 \mid (\langle \text{Sum} \rangle)$   
 $\mid \langle \text{Sum} \rangle + \langle \text{Sum} \rangle$

$\bullet 0 + 1 + 0$                       shift  
 $\rightarrow 0 \bullet + 1 + 0$                       reduce  
 $\rightarrow \langle \text{Sum} \rangle \bullet + 1 + 0$                       shift  
 $\rightarrow \langle \text{Sum} \rangle + \bullet 1 + 0$                       shift  
 $\rightarrow \langle \text{Sum} \rangle + 1 \bullet + 0$                       reduce  
 $\rightarrow \langle \text{Sum} \rangle + \langle \text{Sum} \rangle \bullet + 0$

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## Example - cont

- **Problem:** shift or reduce?
- You can shift-shift-reduce-reduce or reduce-shift-shift-reduce
- Shift first - right associative
- Reduce first- left associative

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## Reduce - Reduce Conflicts

- **Problem:** can't decide between two different rules to reduce by
- Again caused by ambiguity in grammar
- **Symptom:** RHS of one production suffix of another
- Requires examining grammar and rewriting it
- Harder to solve than shift-reduce errors

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## Example

■  $S ::= A \mid aB$      $A ::= abc$      $B ::= bc$

$\bullet abc$                       shift  
 $a \bullet bc$                       shift  
 $ab \bullet c$                       shift  
 $abc \bullet$

- Problem: reduce by  $B ::= bc$  then by  $S ::= aB$ , or by  $A ::= abc$  then  $S ::= A$ ?

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