

Programming Languages and Compilers (CS 421)

#3: Closures, evaluation of function applications, order of evaluation

#4: Evaluation and Application rules using symbolic rewriting

Madhusudan Parthasarathy (madhu)

3112 Siebel Center madhu@illinois.edu

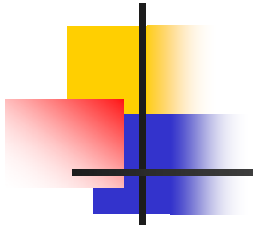
<https://courses.engr.illinois.edu/cs421/fa2018/CS421D>

Based on slides by [Elsa Gunter](#), who made these slides mostly, in part borrowing from slides by Mattox Beckman, as updated by Vikram Adve and Gul Agha



Logistics

- ML 1
 - Started today. Must be taken by Thursday.
 - Schedule and take it if you haven't already!
 - Many tries (but finitely many tries).
 - Idea: Test that you are indeed the person doing your homework!
- Practice ML1
 - Does NOT count against your grade
 - Prepares you for ML1. Take as many instances of it as you can.
- WA 1
 - Make sure you do one question (has a sequence of subquestions)
 - And after you are shown the score ("14/16", etc.), press the GRADE button



RECAP OF LAST LECTURE



Order of Evaluation

- Evaluating $(f\ e1)$
 - Evaluate $e1$ first
 - Then evaluate f on the value of $e1$



Booleans (aka Truth Values)

Order of Evaluation

```
# true;;
```

```
- : bool = true
```

```
# false;;
```

```
- : bool = false
```

```
//  $\rho_7 = \{c \rightarrow 4, \text{test} \rightarrow 3.7, a \rightarrow 1, b \rightarrow 5\}$ 
```

```
# if b > a then 25 else 0;;
```

```
- : int = 25
```



Booleans and Short-Circuit Evaluation

```
# 3 > 1 && 4 > 6;;
```

```
- : bool = false
```

```
# 3 > 1 || 4 > 6;;
```

```
- : bool = true
```

```
# (print_string "Hi\n"; 3 > 1) || 4 > 6;;
```

```
Hi
```

```
- : bool = true
```

```
# 3 > 1 || (print_string "Bye\n"; 4 > 6);;
```

```
- : bool = true
```

```
# not (4 > 6);;
```

```
- : bool = true
```



Functions as arguments and functions as return values



Partial application of functions

```
let add_three x y z = x + y + z;;
```

```
# let h = add_three 5 4;;
```

```
val h : int -> int = <fun>
```

```
# h 3;;
```

```
- : int = 12
```

```
# h 7;;
```

```
- : int = 16
```




Functions as arguments

```
# let thrice f x = f (f (f x));;
```

```
val thrice : ('a -> 'a) -> 'a -> 'a = <fun>
```

```
# let g = thrice plus_two;;
```

```
val g : int -> int = <fun>
```

```
# g 4;;
```

```
- : int = 10
```

```
# thrice (fun s -> "Hi! " ^ s) "Good-bye!";;
```

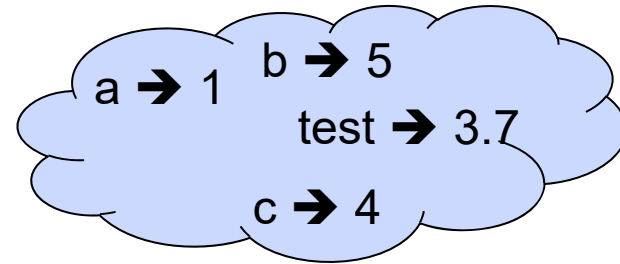
```
- : string = "Hi! Hi! Hi! Good-bye!"
```

Tuples as Values

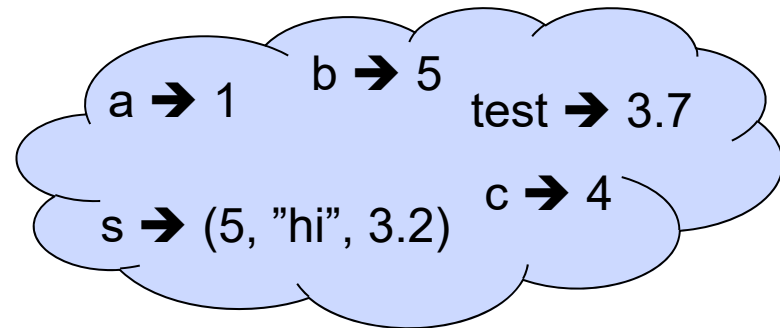
```
//  $\rho_7 = \{c \rightarrow 4, \text{test} \rightarrow 3.7,$   
       $a \rightarrow 1, b \rightarrow 5\}$ 
```

```
# let s = (5, "hi", 3.2);;
```

```
val s : int * string * float = (5, "hi", 3.2)
```

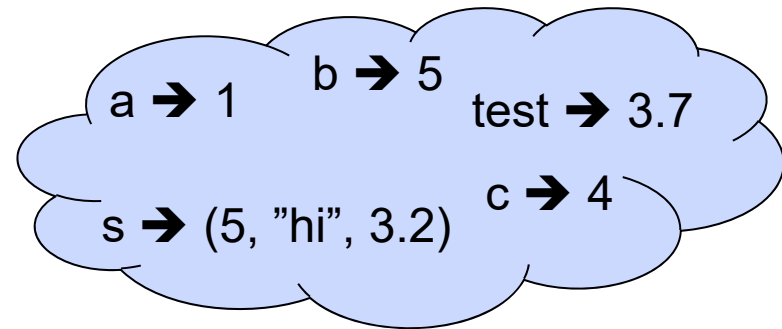


```
//  $\rho_8 = \{s \rightarrow (5, \text{"hi"}, 3.2),$   
       $c \rightarrow 4, \text{test} \rightarrow 3.7,$   
       $a \rightarrow 1, b \rightarrow 5\}$ 
```



Pattern Matching with Tuples

```
/ ρ8 = {s → (5, "hi", 3.2),  
         c → 4, test → 3.7,  
         a → 1, b → 5}
```



```
# let (a,b,c) = s;; (* (a,b,c) is a pattern *)
```

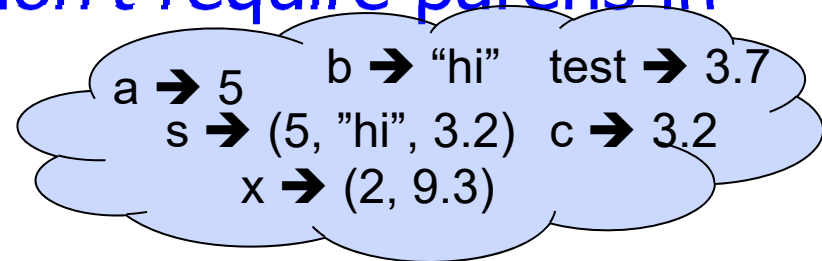
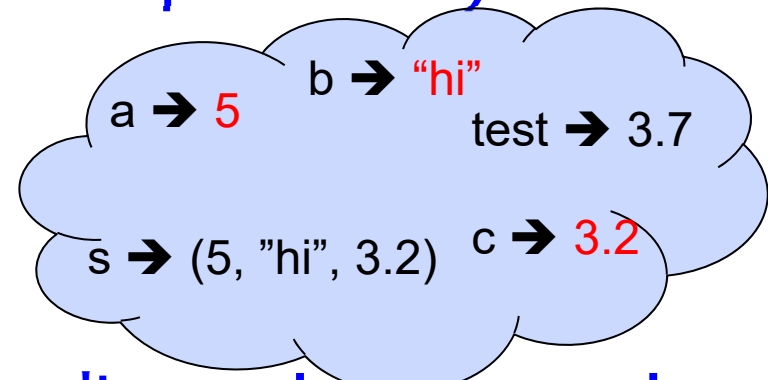
```
val a : int = 5
```

```
val b : string = "hi"
```

```
val c : float = 3.2
```

```
# let x = 2, 9.3;; (* tuples don't require parens in  
                  Ocaml *)
```

```
val x : int * float = (2, 9.3)
```





Nested Tuples

```
# (*Tuples can be nested *)
```

```
let d = ((1,4,62),("bye",15),73.95);;
```

```
val d : (int * int * int) * (string * int) * float =  
  ((1, 4, 62), ("bye", 15), 73.95)
```

```
# (*Patterns can be nested *)
```

```
let (p,(st,_),_) = d;; (* _ matches all, binds nothing  
*)
```

```
val p : int * int * int = (1, 4, 62)
```

```
val st : string = "bye"
```



Save the Environment!

- A *closure* is a pair of an environment and an association of a sequence of variables (the input variables) with an expression (the function body), written:

$$f \rightarrow \langle (v_1, \dots, v_n) \rightarrow \text{exp}, \rho_f \rangle$$

- Where ρ_f is the environment in effect when f is defined (if f is a simple function)



Functions on tuples

```
# let plus_pair (n,m) = n + m;;
```

```
val plus_pair : int * int -> int = <fun>
```

```
# plus_pair (3,4);;
```

```
- : int = 7
```

```
# let double x = (x,x);;
```

```
val double : 'a -> 'a * 'a = <fun>
```

```
# double 3;;
```

```
- : int * int = (3, 3)
```

```
# double "hi";;
```

```
- : string * string = ("hi", "hi")
```



Match Expressions

```
# let triple_to_pair triple =
```

```
  match triple
```

```
  with (0, x, y) -> (x, y)
```

```
  | (x, 0, y) -> (x, y)
```

```
  | (x, y, _) -> (x, y);;
```

- Each clause: pattern on left, expression on right
- Each x, y has scope of only its clause
- Use first matching clause

```
val triple_to_pair : int * int * int -> int * int =  
  <fun>
```



Closure for plus_pair

- Assume $\rho_{\text{plus_pair}}$ was the environment just before `plus_pair` defined

- Closure for `plus_pair`:

$$\langle (n,m) \rightarrow n + m, \rho_{\text{plus_pair}} \rangle$$

- Environment just after `plus_pair` defined:

$$\{\text{plus_pair} \rightarrow \langle (n,m) \rightarrow n + m, \rho_{\text{plus_pair}} \rangle\} \\ + \rho_{\text{plus_pair}}$$



Match Expressions

```
# let triple_to_pair triple =
```

```
  match triple
```

```
  with (0, x, y) -> (x, y)
```

```
  | (x, 0, y) -> (x, y)
```

```
  | (x, y, _) -> (x, y);;
```

- Each clause: pattern on left, expression on right
- Each x, y has scope of only its clause
- Use first matching clause

```
val triple_to_pair : int * int * int -> int * int =  
  <fun>
```



Closure for plus_pair

- Assume $\rho_{\text{plus_pair}}$ was the environment just before `plus_pair` defined

- Closure for `plus_pair`:

$$\langle (n,m) \rightarrow n + m, \rho_{\text{plus_pair}} \rangle$$

- Environment just after `plus_pair` defined:

$$\{\text{plus_pair} \rightarrow \langle (n,m) \rightarrow n + m, \rho_{\text{plus_pair}} \rangle\} \\ + \rho_{\text{plus_pair}}$$



Functions as arguments

```
# let thrice f x = f (f (f x));;
```

```
val thrice : ('a -> 'a) -> 'a -> 'a = <fun>
```

```
# let g = thrice plus_two;;
```

```
val g : int -> int = <fun>
```

```
# g 4;;
```

```
- : int = 10
```

```
# thrice (fun s -> "Hi! " ^ s) "Good-bye!";;
```

```
- : string = "Hi! Hi! Hi! Good-bye!"
```



Functions on tuples

```
# let plus_pair (n,m) = n + m;;
```

```
val plus_pair : int * int -> int = <fun>
```

```
# plus_pair (3,4);;
```

```
- : int = 7
```

```
# let double x = (x,x);;
```

```
val double : 'a -> 'a * 'a = <fun>
```

```
# double 3;;
```

```
- : int * int = (3, 3)
```

```
# double "hi";;
```

```
- : string * string = ("hi", "hi")
```



Recall closures: Closure for plus_pair

- Assume $\rho_{\text{plus_pair}}$ was the environment just before `plus_pair` defined

- Closure for `plus_pair`:

$$\langle (n,m) \rightarrow n + m, \rho_{\text{plus_pair}} \rangle$$

- Environment just after `plus_pair` defined:

$$\{\text{plus_pair} \rightarrow \langle (n,m) \rightarrow n + m, \rho_{\text{plus_pair}} \rangle\} + \rho_{\text{plus_pair}}$$



Functions with more than one argument

```
# let add_three x y z = x + y + z;;
```

```
val add_three : int -> int -> int -> int = <fun>
```

```
# let t = add_three 6 3 2;;
```

```
val t : int = 11
```

```
# let add_three =
```

```
  fun x -> (fun y -> (fun z -> x + y + z));;
```

```
val add_three : int -> int -> int -> int = <fun>
```

Again, first syntactic sugar for second



Curried vs Uncurried

- Recall

```
val add_three : int -> int -> int -> int = <fun>
```

- How does it differ from

```
# let add_triple (u,v,w) = u + v + w;;
```

```
val add_triple : int * int * int -> int = <fun>
```

- add_three is *curried*;
- add_triple is *uncurried*



Curried vs Uncurried

```
# add_triple (6,3,2);;
```

```
- : int = 11
```

```
# add_triple 5 4;;
```

Characters 0-10:

```
add_triple 5 4;;
```

```
^^^^^^^^^^
```

This function is applied to too many arguments,
maybe you forgot a `;'



Partial application of functions

```
let add_three x y z = x + y + z;;
```

```
# let h = add_three 5 4;;
```

```
val h : int -> int = <fun>
```

```
# h 3;;
```

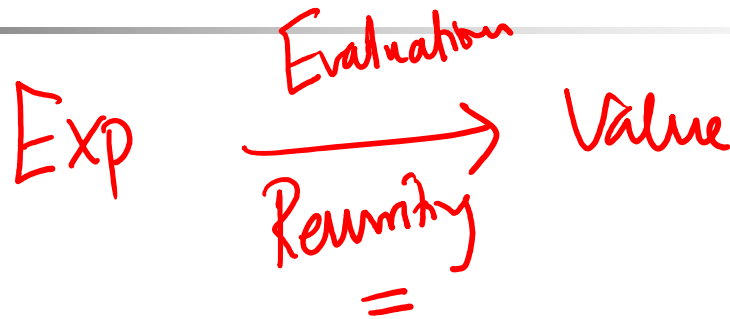
```
- : int = 12
```

```
# h 7;;
```

```
- : int = 16
```

```
- Partial application also called sectioning
```

Evaluation



- How exactly do we evaluate expressions to values?

Values

Bool Int
Strat
Strings
Tuples
Lists
Closures

Recall: `let plus_x = fun x => y + x`

`let x = 12`

`x → 12`

...

`let plus_x = fun y => y + x`

`x → 12`

...

`plus_x →`

`y → y + x`

`x → 12`

...

`let x = 7`

`plus_x →`

`y → y + x`

`x → 12`

...

...

`x → 7`

Recall closures: closure for plus_x

- When plus_x was defined, had environment:

$$\rho_{\text{plus_x}} = \{\dots, x \rightarrow 12, \dots\}$$

- Recall: `let plus_x y = y + x`

is really `let plus_x = fun y -> y + x`

- Closure for `fun y -> y + x`:

$$\langle y \rightarrow y + x, \rho_{\text{plus_x}} \rangle$$

= fun body env *it was created in*

- Environment just after plus_x defined:

$$\{\text{plus_x} \rightarrow \langle y \rightarrow y + x, \rho_{\text{plus_x}} \rangle\} + \rho_{\text{plus_x}}$$



Evaluating declarations

- Evaluation uses an environment ρ
- To evaluate a (simple) declaration $\text{let } x = e$
 - Evaluate expression e in ρ to value v
 - Update ρ with $x \ v$: $\{x \rightarrow v\} + \rho$
- Update: $\rho_1 + \rho_2$ has all the bindings in ρ_1 and all those in ρ_2 that are not rebound in ρ_1
 $\{x \rightarrow 2, y \rightarrow 3, a \rightarrow \text{"hi"}\} + \{y \rightarrow 100, b \rightarrow 6\}$
 $= \{x \rightarrow 2, y \rightarrow 3, a \rightarrow \text{"hi"}, b \rightarrow 6\}$



Evaluating expressions

- Evaluation uses an environment ρ
- A constant evaluates to itself
- To evaluate an variable, look it up in ρ ($\rho(v)$)
- To evaluate uses of $+$, $-$, etc, eval args, then do operation
- Function expression evaluates to its closure
- To evaluate a local dec: **let $x = e1$ in $e2$**
 - Eval **$e1$** to **v** , eval **$e2$** using **$\{x \rightarrow v\} + \rho$**

More generally, **let pattern = $e1$ in $e2$**



Evaluating expressions

- To evaluate `if e1 then e2 else e3` under an environment ρ
 - Eval `e1` using ρ
 - If it evaluates to true, then eval `e2` using ρ .
 - Else, (i.e., it evaluates to false), then eval `e3` using ρ .

- To evaluate `match e with p1 -> e1 | p2 -> e2... | pn -> en` in env ρ
 - Evaluate `e` to `v`.
 - If `v` matches `p1`, then eval `e1`, else
 - if `v` matches `p2`, then eval `e2`, else ...
 - if `v` matches `pn` then eval `en`
 - (all evaluations using environment)



Evaluation of Application with Closures

- Given application expression $f(e_1, \dots, e_n)$ in env ρ , where f is an identifier
- In environment ρ , evaluate left term to closure,
$$c = \langle (x_1, \dots, x_n) \rightarrow b, \rho \rangle$$
- (x_1, \dots, x_n) variables in (first) argument
- Evaluate (e_1, \dots, e_n) to value (v_1, \dots, v_n) using ρ
- Update the environment ρ to
$$\rho' = \{x_1 \rightarrow v_1, \dots, x_n \rightarrow v_n\} + \rho$$
- Evaluate body b in environment ρ'

Evaluation of Application of plus_x;;

- Have environment:

$\rho = \{\text{plus_x} \rightarrow \langle y \rightarrow y + x, \rho_{\text{plus_x}} \rangle, \dots, y \rightarrow 3, \dots\}$
where $\rho_{\text{plus_x}} = \{x \rightarrow 12, \dots, y \rightarrow 24, \dots\}$

- Eval (plus_x y, ρ) rewrites to

App (Eval(plus_x, ρ) , Eval(y, ρ)) rewrites to

App ($\langle y \rightarrow y + x, \rho_{\text{plus_x}} \rangle$, 3) rewrites to

Eval ($y + x$, $\{y \rightarrow 3\} + \rho_{\text{plus_x}}$) rewrites to

Eval ($3 + 12$, $\rho_{\text{plus_x}}$) = 15

App

let add x y = x + y fun
 let add = fun x → (fun y → (x + y))

App (closure, value) $\langle \underline{x} \rightarrow (\text{fun } y \rightarrow (\underline{x} + y)), \phi \rangle$
 $\langle y \rightarrow 5 + y, \phi \rangle$ (5)

$$\text{App}(\langle x \rightarrow e, \rho \rangle, v) = \text{Eval}(e, \{x \rightarrow v\} + \rho)$$

$$\text{App}(\langle (x_1, \dots, x_m) \rightarrow e, \rho, \langle v_1, \dots, v_m \rangle \rangle) = \text{Eval}(e, \{x_1 \rightarrow v_1, \dots, x_m \rightarrow v_m\} + \rho)$$

Note:

- App(closure, value) is independent of any external env as "value" has no variables to look up in external env
- The closure (or value, which in turn can be a closure) may have local environments that define variables it uses.
- So when applying a function, we "shut off the rest of the world" and application depends only on the given closure and value.
- Eval and App and mutually recursively defined. Eval calls App, App calls Eval.

$\langle y \rightarrow x + y, \{x \rightarrow 5\} \rangle$



Closure question

- If we start in an empty environment, and we execute:

```
let f = fun n -> n + 5;;
```

```
(* 0 *)
```

```
let pair_map g (n,m) = (g n, g m);;
```

```
let f = pair_map f;;
```

```
let a = f (4,6);;
```

What is the environment at (* 0 *)?



Answer

```
let f = fun n -> n + 5;;
```

$$\rho_0 = \{f \rightarrow \langle n \rightarrow n + 5, \{ \} \rangle\}$$



Closure question

- If we start in an empty environment, and we execute:

```
let f = fun => n + 5;;
```

```
let pair_map g (n,m) = (g n, g m);;
```

```
(* 1 *)
```

```
let f = pair_map f;;
```

```
let a = f (4,6);;
```

What is the environment at (* 1 *)?

Answer

$\rho_0 = \{f \rightarrow \langle n \rightarrow n + 5, \{ \} \rangle\}$

let pair_map g (n,m) = (g n, g m);;

$\rho_1 = \{ \text{pair_map} \rightarrow$
 $\langle g \rightarrow \text{fun } (n,m) \rightarrow (g \ n, g \ m), \{f \rightarrow \langle n \rightarrow n + 5, \{ \} \rangle\} \rangle,$
 $f \rightarrow \langle n \rightarrow n + 5, \{ \} \rangle \}$

I.e.

$\rho_1 = \{ \text{pair_map} \rightarrow$
 $\langle g \rightarrow \text{fun } (n,m) \rightarrow (g \ n, g \ m), \rho_0 \rangle,$
 $f \rightarrow \langle n \rightarrow n + 5, \{ \} \rangle \}$



Closure question

- If we start in an empty environment, and we execute:

```
let f = fun => n + 5;;
```

```
let pair_map g (n,m) = (g n, g m);;
```

```
let f = pair_map f;;
```

```
(* 2 *)
```

```
let a = f (4,6);;
```

What is the environment at (* 2 *)?



Evaluate pair_map f

$\rho_0 = \{f \rightarrow \langle n \rightarrow n + 5, \{ \} \rangle\}$

$\rho_1 = \{\text{pair_map} \rightarrow \langle g \rightarrow \text{fun } (n, m) \rightarrow (g \ n, g \ m), \rho_0 \rangle,$
 $\quad f \rightarrow \langle n \rightarrow n + 5, \{ \} \rangle\}$

let f = pair_map f;;



Evaluate pair_map f

$\rho_0 = \{f \rightarrow \langle n \rightarrow n + 5, \{ \} \rangle\}$

$\rho_1 = \{\text{pair_map} \rightarrow \langle g \rightarrow \text{fun } (n, m) \rightarrow (g \ n, g \ m), \rho_0 \rangle,$
 $\quad f \rightarrow \langle n \rightarrow n + 5, \{ \} \rangle\}$

$\text{Eval}(\text{pair_map } f, \rho_1) =$



Evaluate pair_map f

$$\rho_0 = \{f \rightarrow \langle n \rightarrow n + 5, \{ \} \rangle\}$$

$$\rho_1 = \{ \text{pair_map} \rightarrow \langle g \rightarrow \text{fun } (n, m) \rightarrow (g \ n, g \ m), \rho_0 \rangle, \\ f \rightarrow \langle n \rightarrow n + 5, \{ \} \rangle \}$$

$$\text{Eval}(\text{pair_map } f, \rho_1)$$

$$= \text{App}(\text{Eval}(\text{pair_map}, \rho_1), \text{Eval}(f, \rho_1))$$

$$= \text{App}(\langle g \rightarrow \text{fun } (n, m) \rightarrow (g \ n, g \ m), \rho_0 \rangle, \langle n \rightarrow n + 5, \{ \} \rangle)$$

$$= \text{Eval} \left(\overline{\langle (n, m) \rightarrow (g \ n, g \ m) \rangle}, \right. \\ \left. \{ g \rightarrow \langle n \rightarrow n + 5, \{ \} \rangle + \rho_0 \} \right)$$

Evaluate pair_map f

$$\rho_0 = \{f \rightarrow \langle n \rightarrow n + 5, \{ \} \rangle\}$$

$$\rho_1 = \{\text{pair_map} \rightarrow \langle g \rightarrow \text{fun } (n,m) \rightarrow (g \ n, g \ m), \rho_0 \rangle, \\ f \rightarrow \langle n \rightarrow n + 5, \{ \} \rangle\}$$

Eval(pair_map f, ρ_1)

= App ($\langle g \rightarrow \text{fun } (n,m) \rightarrow (g \ n, g \ m), \rho_0 \rangle, \langle n \rightarrow n + 5, \{ \} \rangle$)

= Eval (fun (n,m) $\rightarrow (g \ n, g \ m)$, $\{g \rightarrow \langle n \rightarrow n + 5, \{ \} \rangle\} + \rho_0$)

= $\langle (n,m) \rightarrow (g \ n, g \ m), \{g \rightarrow \langle n \rightarrow n + 5, \{ \} \rangle\} + \rho_0 \rangle$

= $\langle (n,m) \rightarrow (g \ n, g \ m), \{g \rightarrow \langle n \rightarrow n + 5, \{ \} \rangle, \\ f \rightarrow \langle n \rightarrow n + 5, \{ \} \rangle\}$



Answer

$\rho_1 = \{\text{pair_map} \rightarrow$
 $\langle g \rightarrow \text{fun } (n,m) \rightarrow (g\ n, g\ m), \{f \rightarrow \langle n \rightarrow n + 5, \{\}\rangle\}\rangle,$
 $f \rightarrow \langle n \rightarrow n + 5, \{\}\rangle\}$

let f = pair_map f;;

$\rho_2 = \{f \rightarrow \langle (n,m) \rightarrow (g\ n, g\ m),$
 $\{g \rightarrow \langle n \rightarrow n + 5, \{\}\rangle,$
 $f \rightarrow \langle n \rightarrow n + 5, \{\}\rangle\}\rangle,$
 $\text{pair_map} \rightarrow \langle g \rightarrow \text{fun } (n,m) \rightarrow (g\ n, g\ m),$
 $\{f \rightarrow \langle n \rightarrow n + 5, \{\}\rangle\}\rangle\}$



Closure question

- If we start in an empty environment, and we execute:

```
let f = fun n -> n + 5;;
```

```
let pair_map g (n,m) = (g n, g m);;
```

```
let f = pair_map f;;
```

```
let a = f (4,6);;
```

```
(* 3 *)
```

What is the environment at (* 3 *)?



Final Evaluation?

```
ρ2 = {f → <(n,m) →(g n, g m),  
      {g → <n → n + 5, { }>,  
      f → <n → n + 5, { }>>>,  
      pair_map → <g → fun (n,m) -> (g n, g m),  
                {f → <n → n + 5, { }>>>}
```

```
let a = f (4,6);;
```



Evaluate $f(4,6)$;;

$$\rho_2 = \{f \rightarrow \langle (n,m) \rightarrow (g\ n, g\ m),$$
$$\quad \{g \rightarrow \langle n \rightarrow n + 5, \{ \} \rangle,$$
$$\quad f \rightarrow \langle n \rightarrow n + 5, \{ \} \rangle \rangle\},$$
$$\text{pair_map} \rightarrow \langle g \rightarrow \text{fun } (n,m) \rightarrow (g\ n, g\ m),$$
$$\quad \{f \rightarrow \langle n \rightarrow n + 5, \{ \} \rangle \rangle \}$$
$$\text{Eval}(f(4,6), \rho_2) =$$
$$\text{App}(\text{Eval}(f, \rho_2), \text{Eval}((4,6), \rho_2))$$

Evaluate f (4,6);;

$$\begin{aligned} \rho_2 = & \{f \rightarrow \langle (n,m) \rightarrow (g\ n, g\ m), \\ & \{g \rightarrow \langle n \rightarrow n + 5, \{ \} \rangle, \\ & f \rightarrow \langle n \rightarrow n + 5, \{ \} \rangle \rangle \rangle, \\ \text{pair_map} \rightarrow & \langle g \rightarrow \text{fun } (n,m) \rightarrow (g\ n, g\ m), \\ & \{f \rightarrow \langle n \rightarrow n + 5, \{ \} \rangle \rangle \rangle \end{aligned}$$

$$\begin{aligned} \text{Eval}(f\ (4,6), \rho_2) = \\ = & \text{App}(\text{Eval}(f, \rho_2), \text{Eval}((4,6), \rho_2)) \\ = & \text{App}(\langle (n,m) \rightarrow (g\ n, g\ m), \{g \rightarrow \langle n \rightarrow n + 5, \{ \} \rangle, \\ & f \rightarrow \langle n \rightarrow n + 5, \{ \} \rangle \rangle \rangle, \end{aligned}$$

$$(4,6)) = \text{Eval}((g\ n, g\ m), \{ \begin{array}{l} n \rightarrow 4, m \rightarrow 6 \\ g \rightarrow \langle n, n+5 \rangle, \\ f \rightarrow \langle n, n+5 \rangle \end{array} \})$$



Evaluate $f(4,6)$;;

$\text{App}(\langle(n,m) \rightarrow (g\ n, g\ m), \{g \rightarrow \langle n \rightarrow n + 5, \{\} \rangle, \\ f \rightarrow \langle n \rightarrow n + 5, \{\} \rangle \rangle},$

$(4,6))$

$= \text{Eval}((g\ n, g\ m), \rho_7)$

where $\rho_7 = \{n \rightarrow 4, m \rightarrow 6\} +$

$\{g \rightarrow \langle n \rightarrow n + 5, \{\} \rangle,$

$f \rightarrow \langle n \rightarrow n + 5, \{\} \rangle\}$

$=$



Evaluate $f(4,6)$;;

$\text{App}(\langle (n,m) \rightarrow (g\ n, g\ m), \{g \rightarrow \langle n \rightarrow n + 5, \{\}\rangle, \\ f \rightarrow \langle n \rightarrow n + 5, \{\}\rangle\}\rangle,$

$(4,6))$

$= \text{Eval}((g\ n, g\ m), \rho_7)$

where $\rho_7 = \{n \rightarrow 4, m \rightarrow 6\} +$

$\{g \rightarrow \langle n \rightarrow n + 5, \{\}\rangle,$

$f \rightarrow \langle n \rightarrow n + 5, \{\}\rangle\}$

$= (\text{Eval}(g\ n, \rho_7), \text{Eval}(g\ m, \rho_7))$

$= (\text{App}(\text{Eval}(g, \rho_7), \text{Eval}(n, \rho_7))),$

$\text{App}(\text{Eval}(g, \rho_7), \text{Eval}(m, \rho_7)))$

$= (\text{App}(\langle n \rightarrow n + 5, \{\}\rangle, 4), \text{App}(\langle n \rightarrow n + 5, \{\}\rangle, 6))$

$= \dots$

Evaluate $f(4,6)$;;

$(\text{App}(\langle n \rightarrow n + 5, \{ \} \rangle, 4),$
 $\text{App}(\langle n \rightarrow n + 5, \{ \} \rangle, 6)) =$
 $(\text{Eval}(n + 5, \{n \rightarrow 4\} + \{ \}),$
 $\text{Eval}(n + 5, \{n \rightarrow 6\} + \{ \})) =$
 $(\text{Eval}(\underline{4 + 5}, \{n \rightarrow 4\} + \{ \}),$
 $\text{Eval}(6 + 5, \{n \rightarrow 6\} + \{ \})) = \underline{\underline{(9, 11)}}$

$\text{Eval}(n, \{n \rightarrow 4\}) + \text{Eval}(5, \{ \})$
 $4 + 5$



A small subset of Ocaml

$$e ::= c \mid x \mid (e_1, \dots, e_n) \mid op \ e \mid e_1 \ e_2 \mid fun \ x \ \rightarrow \ e \mid let \ (x_1, \dots, x_n) = (e_1, \dots, e_n) \ in \ e$$
$$\mid if \ e \ then \ e_1 \ else \ e_2$$
$$\mid match \ e_0 \ with \ p_1 \ \rightarrow \ e_1 \mid \dots \mid p_n \ \rightarrow \ e_n$$

Syntax for expressions.

- op is a primitive operator (like $+$, $+.$, or \wedge)
- p_i is a pattern
- $let \ x = e \ in \ e'$ is synonymous to $let \ (x) = e \ in \ e'$
- No recursion; no lists; no free algebraic datatypes
- No pattern matching in let statements



Values and evaluation

Values: a subset of expressions and closures

$$v, v_i ::= c \mid (v_1, \dots, v_n) \mid \langle x \rightarrow e, \rho \rangle$$

Evaluation

Partial-functions from expressions to values.

Evaluation of an expression may be undefined if

evaluation of it does not halt, or expression is ill-formed

or calls a primitive operation that's not defined on a value, etc.



Rules of evaluation

$$Eval(c, \rho) = c$$

$$Eval(x, \rho) = \rho(x), \text{ if } \rho(x) \text{ is defined} \\ = \text{undefined, otherwise}$$

$$Eval((e_1, \dots, e_n), \rho) = (v_1, \dots, v_n), \text{ where } Eval(e_n, \rho) = v_n, \dots, Eval(e_1, \rho) = v_1$$

$$Eval(op \ e) = [[op]](v), \text{ where } Eval(e) = v$$

$$Eval(e_1 \ e_2, \rho) = App(Eval(e_1, \rho), Eval(e_2, \rho))$$

$$Eval(\text{fun } x \rightarrow e, \rho) = \langle x \rightarrow e, \rho \rangle$$

$$Eval(\text{let } (x_1, \dots, x_n) = (e_1, \dots, e_n) \text{ in } e, \rho) = Eval(e, \{x_1 \rightarrow v_1, \dots, x_n \rightarrow v_n\} + \rho) \\ \text{where for each } i, Eval(e_i, \rho) = v_i$$

$$Eval(\text{if } e \text{ then } e_1 \text{ else } e_2, \rho) = Eval(e_1, \rho) \quad \text{if } Eval(e, \rho) = \text{true} \\ = Eval(e_2, \rho) \quad \text{if } Eval(e, \rho) = \text{false}$$

$$Eval(\text{match } e \text{ with } p_1 \rightarrow e_1 \mid \dots \mid p_n \rightarrow e_n, \rho) = Eval(e_1, b_1 + \rho) \quad \text{if } Eval(e, \rho) \text{ matches } p_1 \text{ producing binding } b_1 \\ = \dots \\ = Eval(e_n, b_n + \rho) \quad \text{if } Eval(e, \rho) \text{ matches } p_n \text{ producing binding } b_n \\ = \text{undefined} \quad \text{otherwise}$$



Functions as arguments

```
# let thrice f x = f (f (f x));;
```

```
val thrice : ('a -> 'a) -> 'a -> 'a = <fun>
```

```
# let g = thrice plus_two;;
```

```
val g : int -> int = <fun>
```

```
# g 4;;
```

```
- : int = 10
```

```
# thrice (fun s -> "Hi! " ^ s) "Good-bye!";;
```

```
- : string = "Hi! Hi! Hi! Good-bye!"
```



Higher Order Functions

- A function is *higher-order* if it takes a function as an argument or returns one as a result
- Example:

```
# let compose f g = fun x -> f (g x);;
```

```
val compose : ('a -> 'b) -> ('c -> 'a) -> 'c -> 'b = <fun>
```

- The type `('a -> 'b) -> ('c -> 'a) -> 'c -> 'b` is a higher order type because of `('a -> 'b)` and `('c -> 'a)` and `-> 'c -> 'b`



Thrice

- Recall:

```
# let thrice f x = f (f (f x));;
```

```
val thrice : ('a -> 'a) -> 'a -> 'a = <fun>
```

- How do you write thrice with compose?



Thrice

- Recall:

```
# let thrice f x = f (f (f x));;
```

```
val thrice : ('a -> 'a) -> 'a -> 'a = <fun>
```

- How do you write thrice with compose?

```
# let thrice f = compose f (compose f f);;
```

```
val thrice : ('a -> 'a) -> 'a -> 'a = <fun>
```

- Is this the only way?



Lambda lifting

- You must remember the rules for evaluation when you use partial application

```
# let add_two =  
  (let two = (print_string "test\n"; 2) in  
   (fun x -> x + two));;
```

test

```
val add_two : int -> int = <fun>
```

```
# let add2 =  
  fun x -> (let two = (print_string "test\n"; 2) in (x+two));;
```

```
val add2 : int -> int = <fun>
```



Lambda Lifting

```
# thrice add_two 5;;
```

```
- : int = 11
```

```
# thrice add2 5;;
```

```
test
```

```
test
```

```
test
```

```
- : int = 11
```

- Lambda lifting delayed the evaluation of the argument to (+) until the second argument was supplied



Match Expressions

```
# let triple_to_pair triple =
```

```
  match triple
```

```
  with (0, x, y) -> (x, y)
```

```
  | (x, 0, y) -> (x, y)
```

```
  | (x, y, _) -> (x, y);;
```

- Each clause: pattern on left, expression on right
- Each x, y has scope of only its clause
- Use first matching clause

```
val triple_to_pair : int * int * int -> int * int =  
  <fun>
```