

CS447: Natural Language Processing

<http://courses.engr.illinois.edu/cs447>

Lecture 15:

Formal Grammars of

English

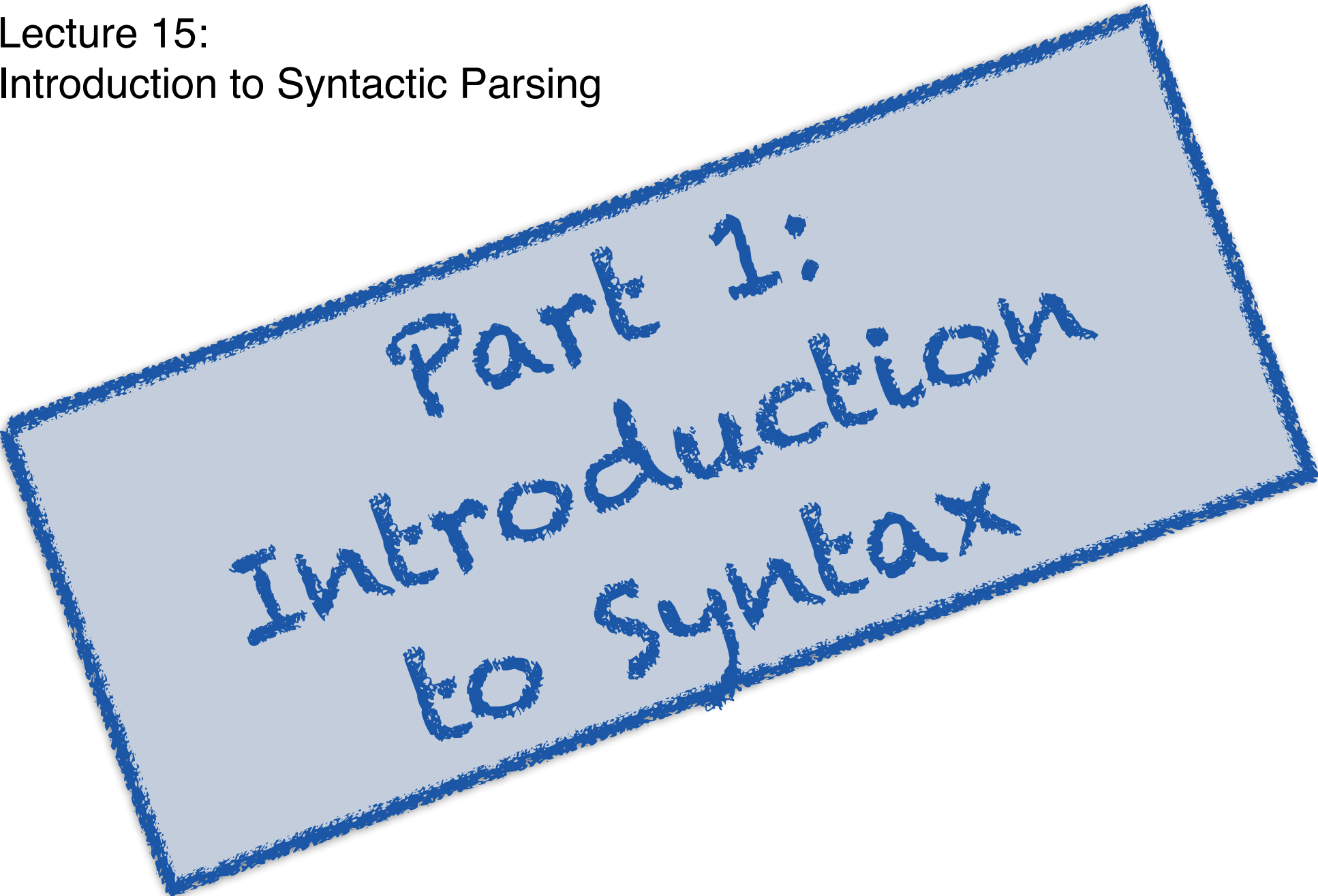
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Lecture 15:

Introduction to Syntactic Parsing



Part 1:
Introduction
to Syntax

Previous key concepts

NLP tasks dealing with **words**...

- POS-tagging, morphological analysis

... requiring **finite-state representations**,

- Finite-State Automata and Finite-State Transducers

... the corresponding **probabilistic models**,

- Probabilistic FSAs and Hidden Markov Models
- Estimation: relative frequency estimation, EM algorithm

... and **appropriate search algorithms**

- Dynamic programming: Viterbi

The next key concepts

NLP tasks dealing with **sentences**...

- Syntactic parsing and semantic analysis

... require (at least) **context-free representations**,

- Context-free grammars, dependency grammars, unification grammars, categorial grammars

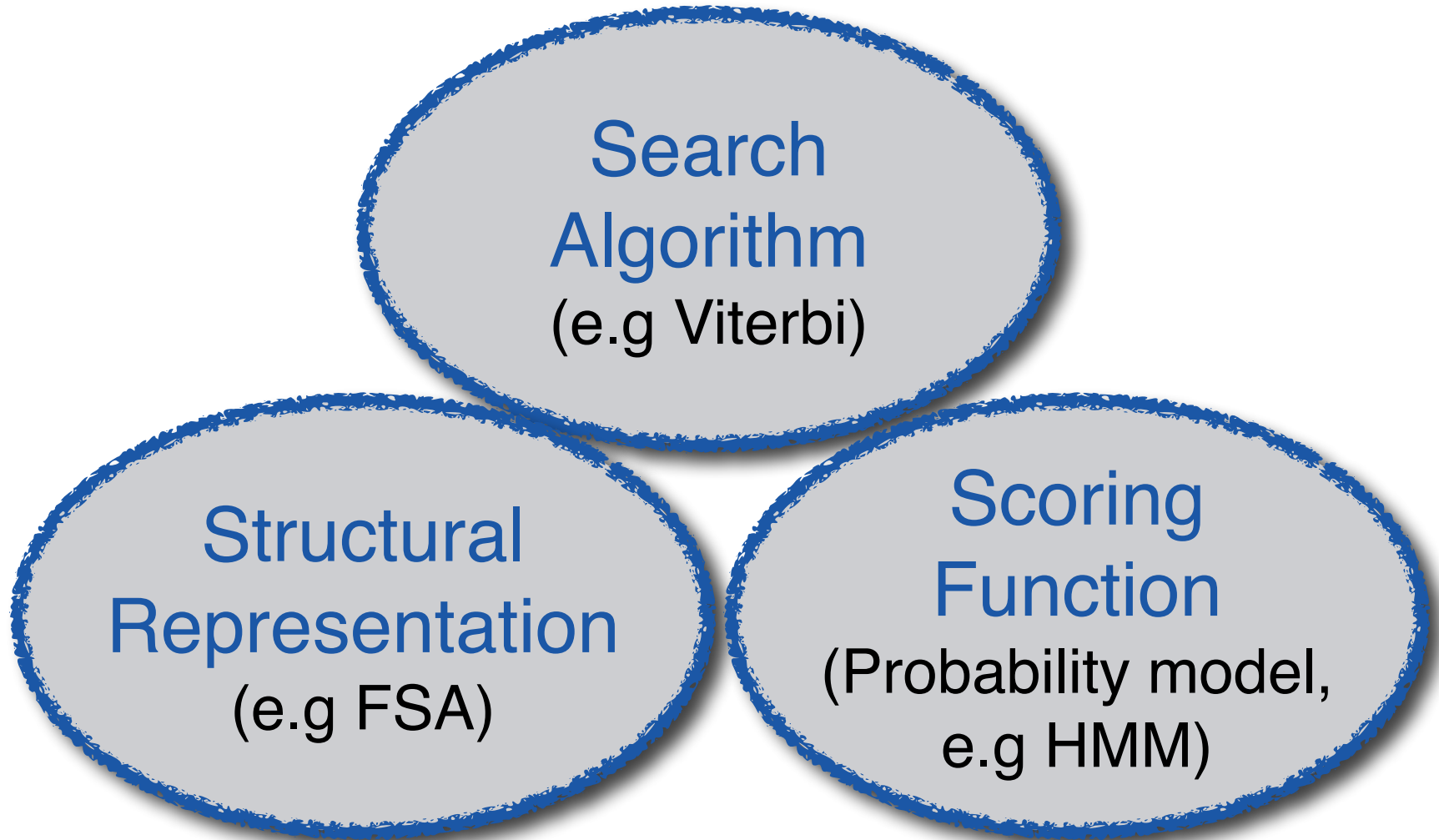
... the corresponding **probabilistic models**,

- Probabilistic Context-Free Grammars

... and appropriate **search algorithms**

- Dynamic programming: CKY parsing

Dealing with ambiguity



Today's lecture

Introduction to natural language syntax ('grammar'):

Part 1: Introduction to Syntax (constituency, dependencies,...)

Part 2: Context-free Grammars for natural language

Part 3: A simple CFG for English

Part 4: The CKY parsing algorithm

Reading: Chapter 12 of Jurafsky & Martin



What is grammar?



Grammar formalisms:

A precise way to define and describe the structure of sentences.

There are many different formalisms out there.

What is grammar?

Grammar formalisms

(= syntacticians' programming languages)

A precise way to define and describe the structure of sentences.

(N.B.: There are many different formalisms out there, which each define their own data structures and operations)

Specific grammars

(= syntacticians' programs)

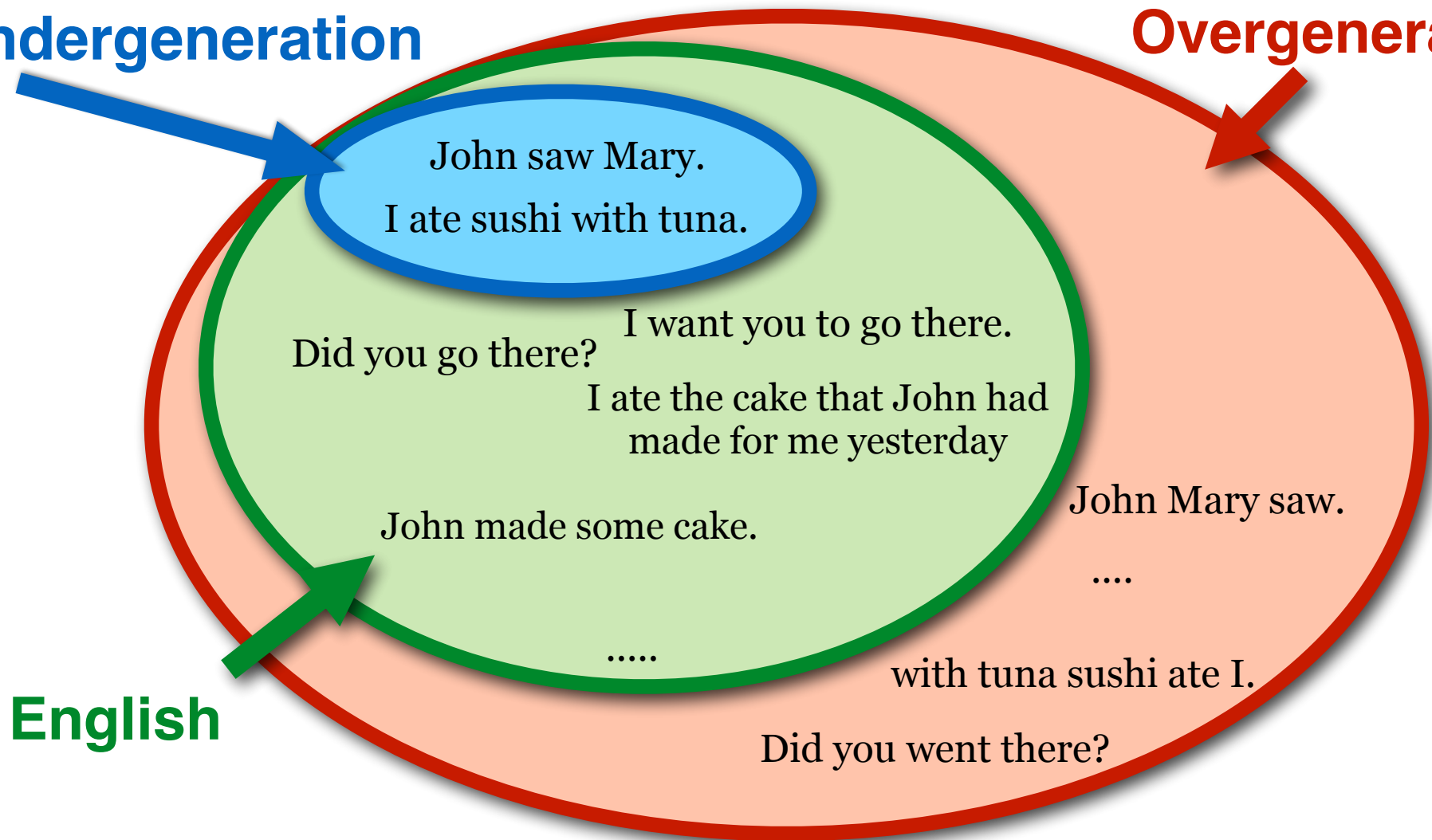
Implementations (in a particular formalism) for a particular language (English, Chinese,....)



Can we define a program that generates all English sentences?

Undergeneration

Overgeneration



Can we define a program that generates all English sentences?

Challenge 1: Don't *undergenerate*!

(Your program needs to cover a lot different constructions)

Challenge 2: Don't *overgenerate*!

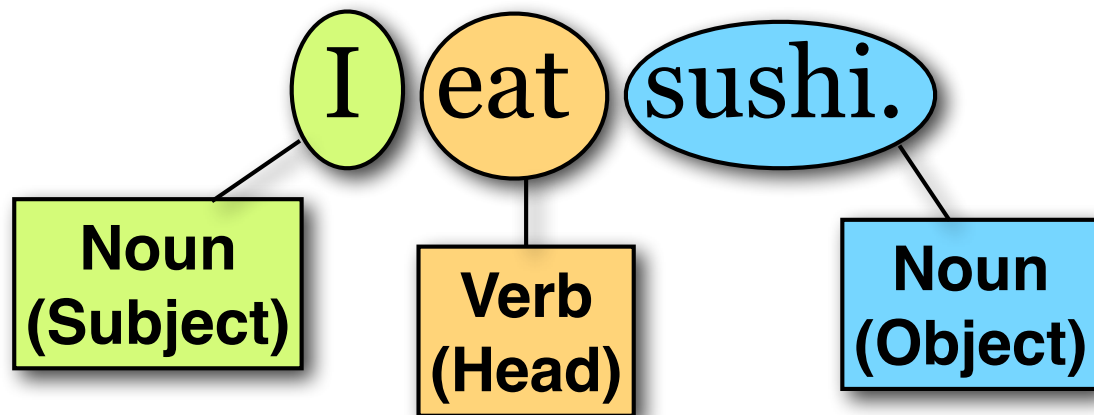
(Your program should not generate word salad)

Challenge 3: Use a finite program!

Recursion creates an infinite number of sentences
(even with a finite vocabulary),
but we need our program to be of finite size



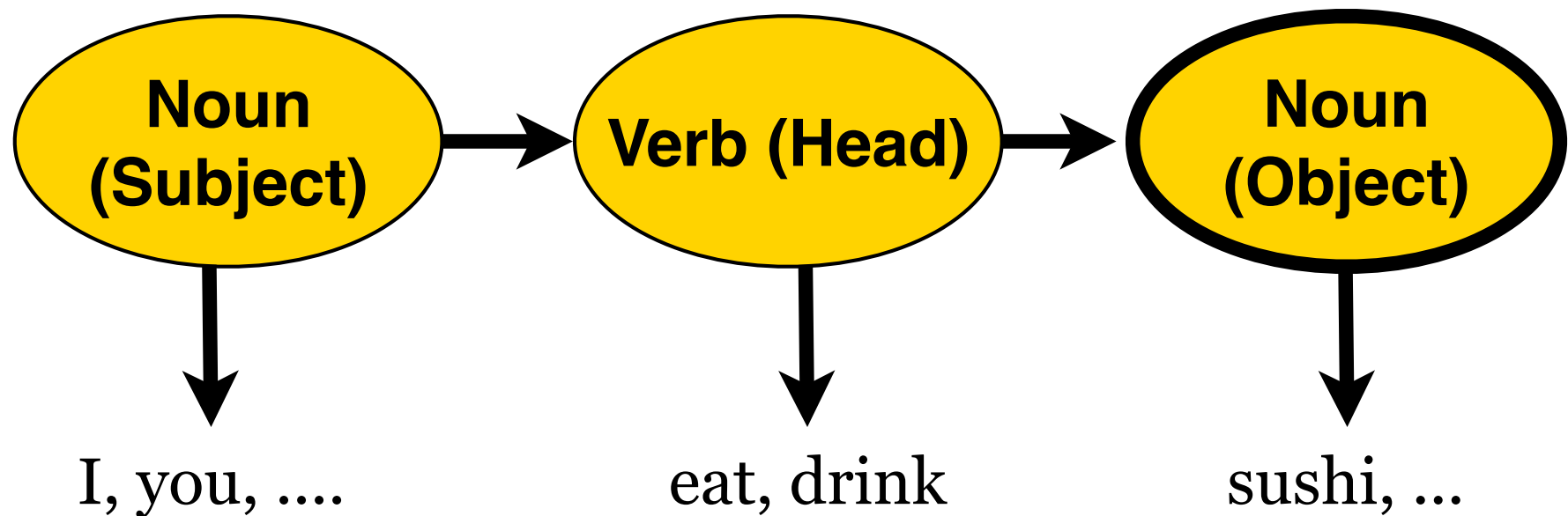
Basic sentence structure



A finite-state-automaton (FSA)



A Hidden Markov Model (HMM)



Words take arguments

I eat sushi. ✓

I eat sushi you. ???

I sleep sushi ???

Subcategorization
Violations

I give sushi ???

I drink sushi ?

Selectional Preference
Violation

Subcategorization

(purely syntactic: what set of arguments do words take?)

Intransitive verbs (sleep) take only a subject.

Transitive verbs (eat) take a subject and one (direct) object.

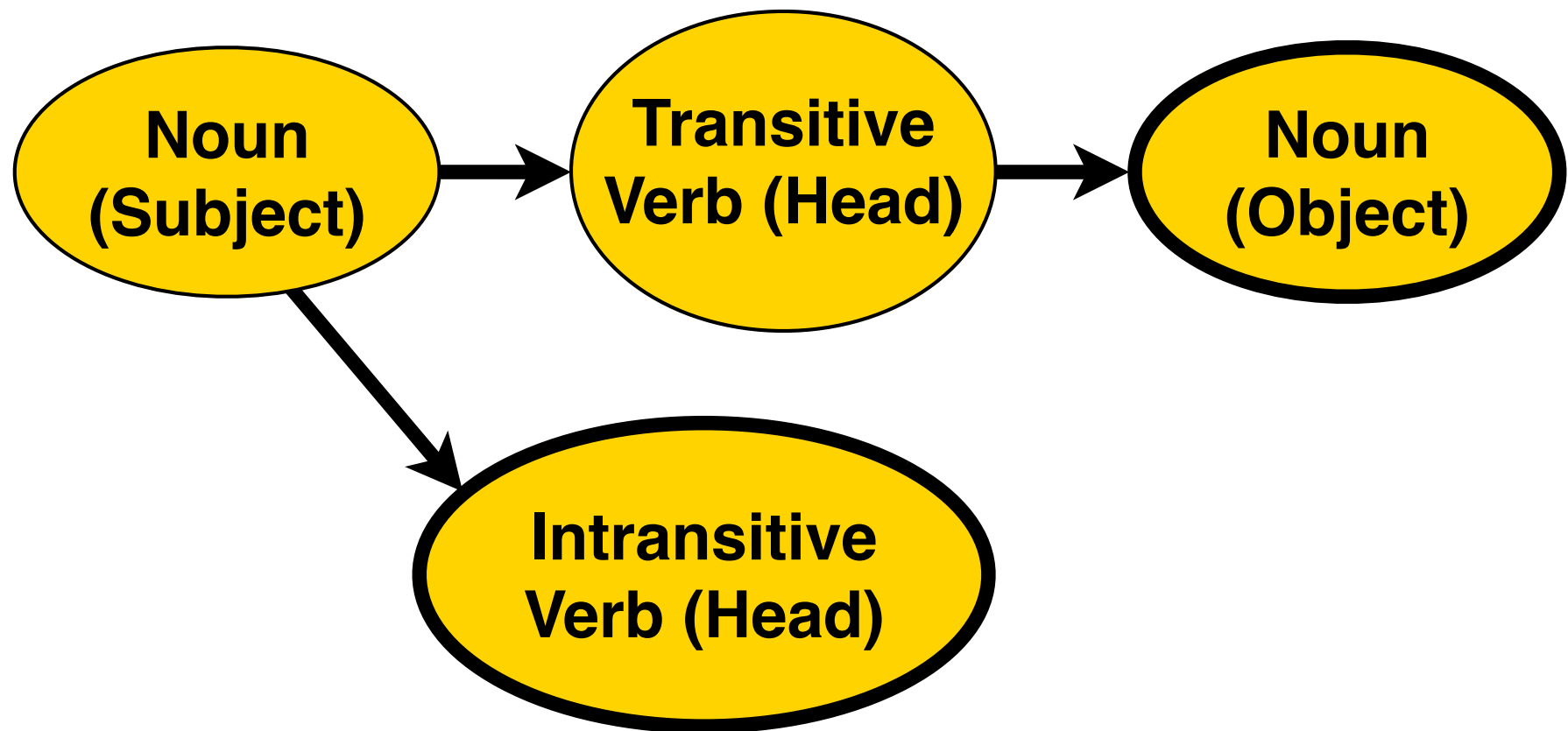
Ditransitive verbs (give) take a subject, direct object and indirect object.

Selectional preferences

(semantic: what types of arguments do words tend to take)

The object of eat should be edible.

A better FSA



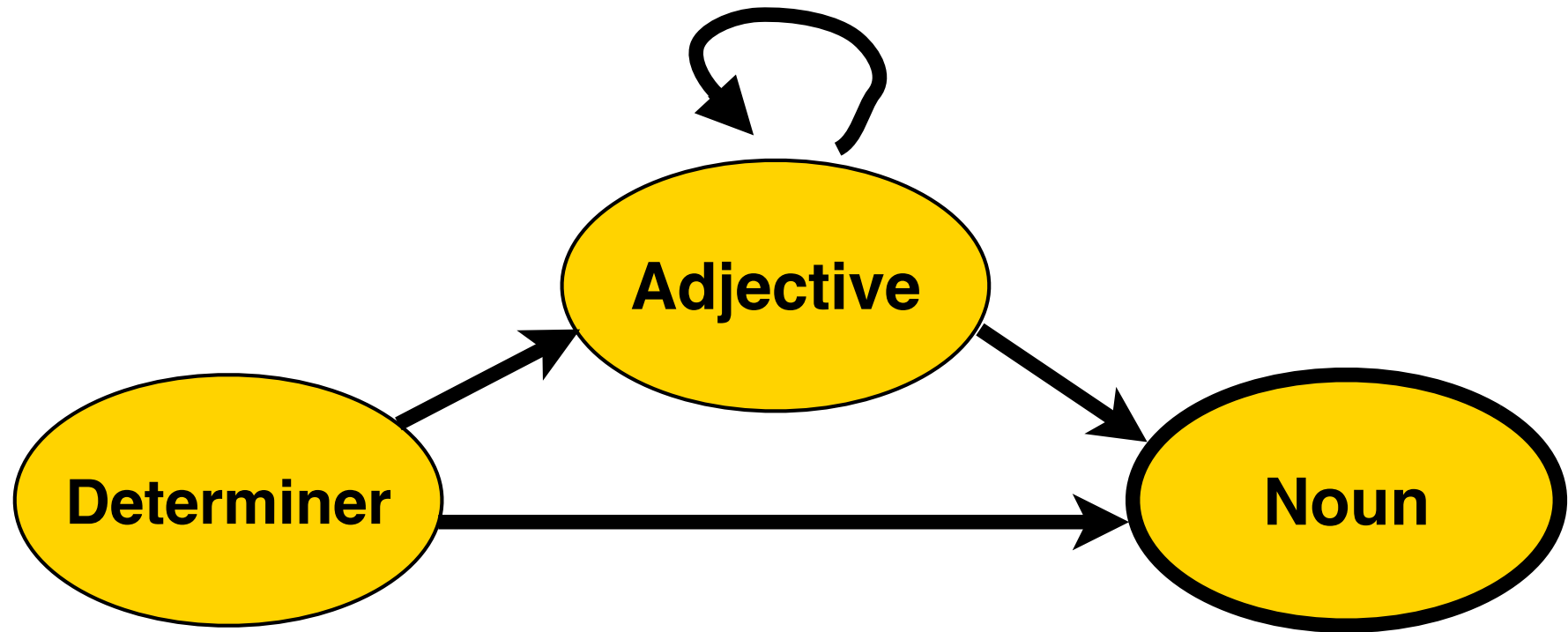
Language is recursive

the ball
*the **big** ball*
*the **big, red** ball*
*the **big, red, heavy** ball*
....

Adjectives can **modify** nouns.

The **number of modifiers (aka adjuncts)**
a word can have is (in theory) **unlimited**.

Another FSA



Recursion can be more complex

the ball

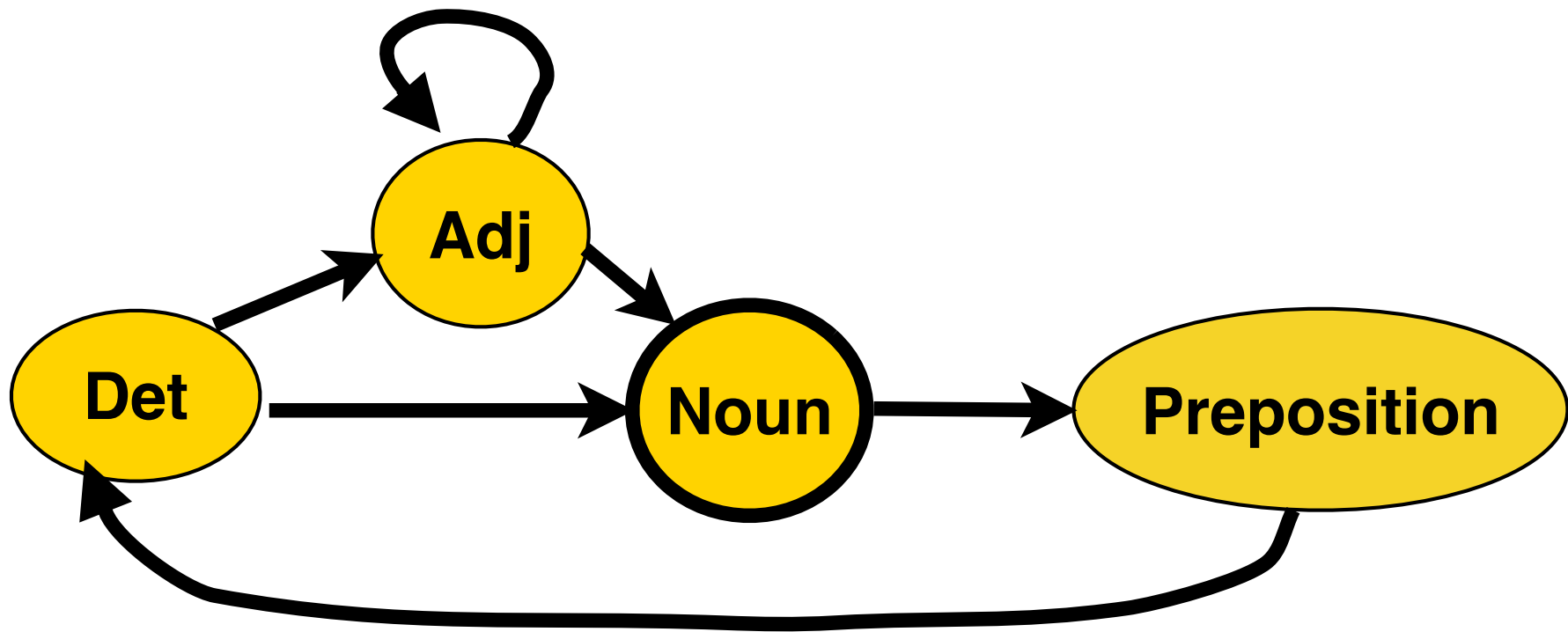
the ball in the garden

the ball in the garden behind the house

the ball in the garden behind the house next to the school

....

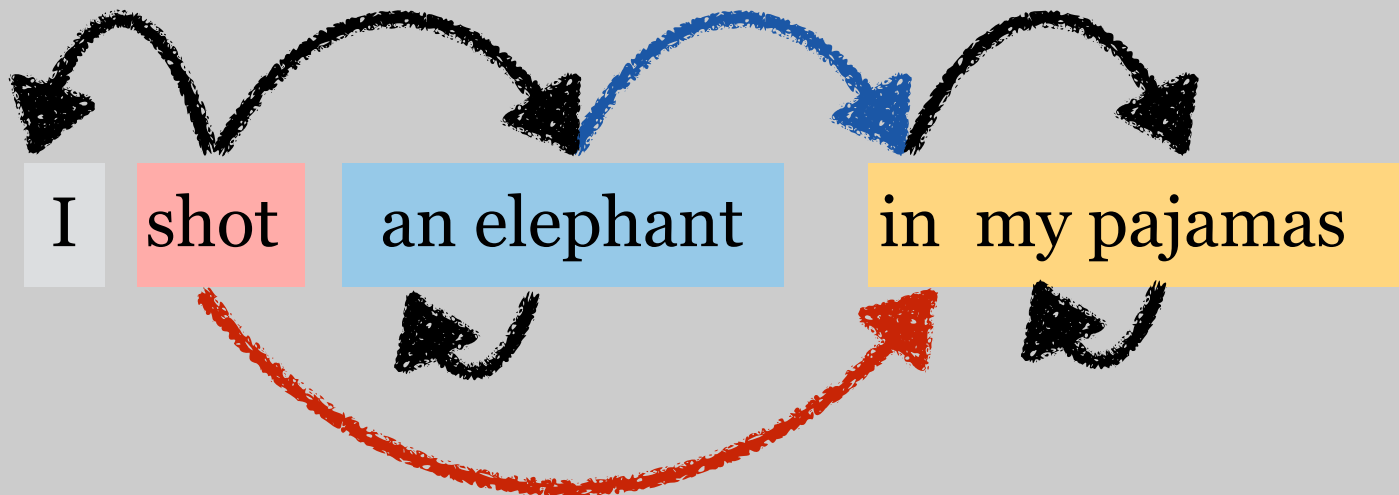
Yet another FSA



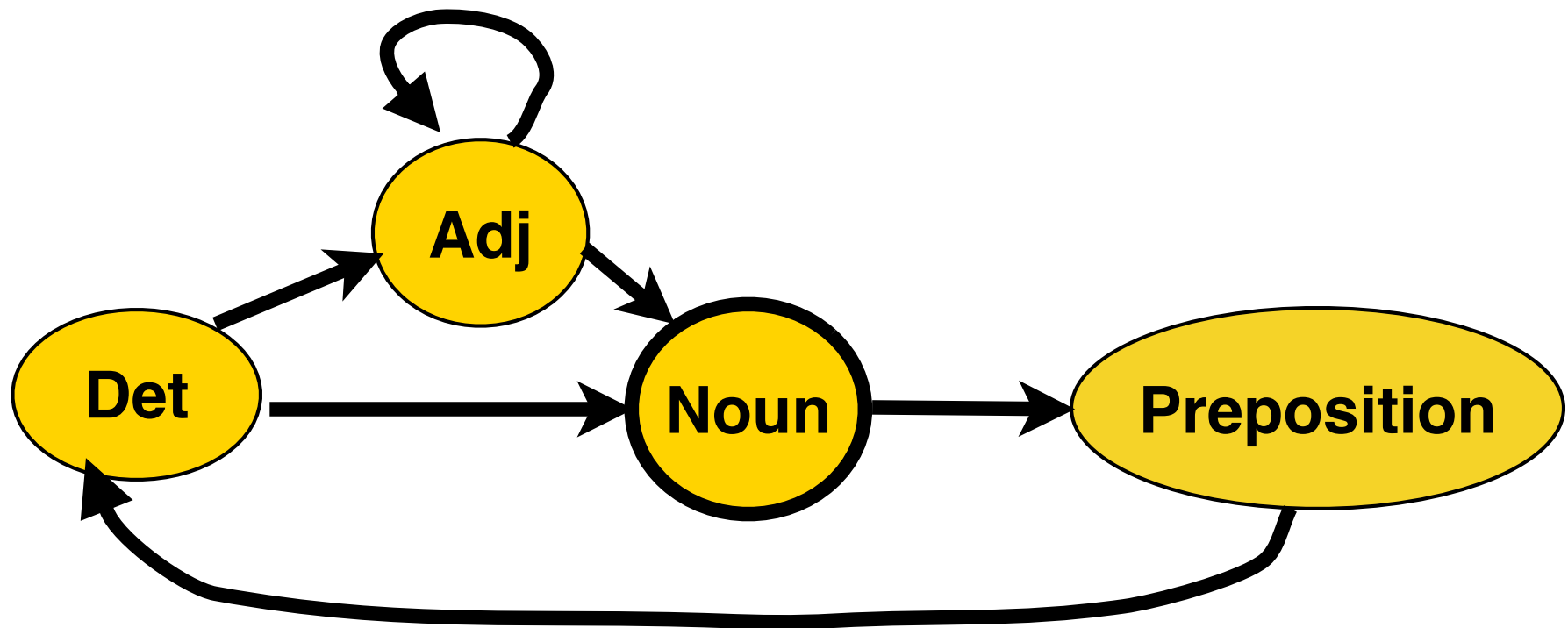
So, why do we need anything
beyond regular (finite-state) grammars?

What does this sentence mean?

There is an **attachment ambiguity**:
Does “in my pajamas” go with “shot”
or with “an elephant” ?



FSAs do not generate hierarchical structure



Strong vs. weak generative capacity

Formal language theory:

- defines language as string sets
- is only concerned with generating these strings
(**weak generative capacity**)

Formal/Theoretical syntax (in linguistics):

- defines language as sets of strings with (hidden) structure
- is also concerned with generating the right *structures* for these strings
(**strong generative capacity**)

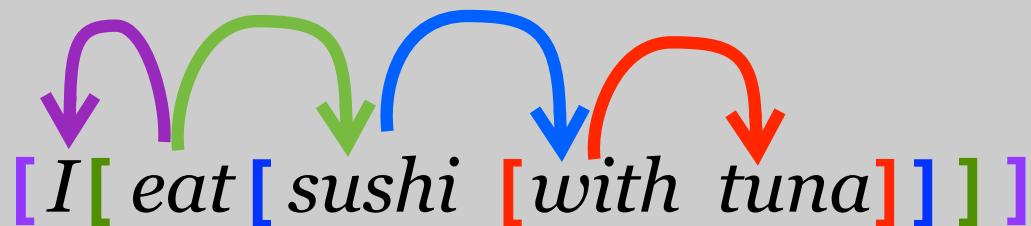


What is the structure of a sentence?

Sentence structure is **hierarchical**:

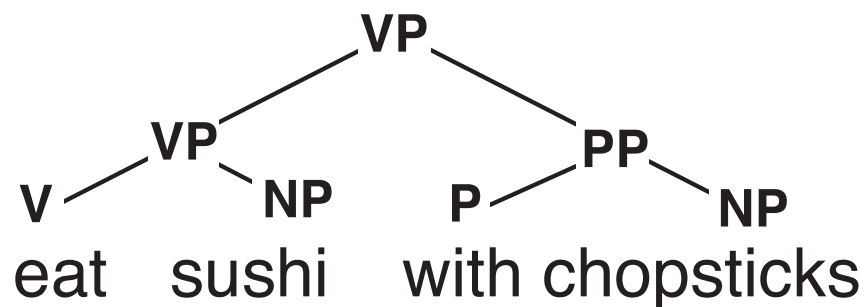
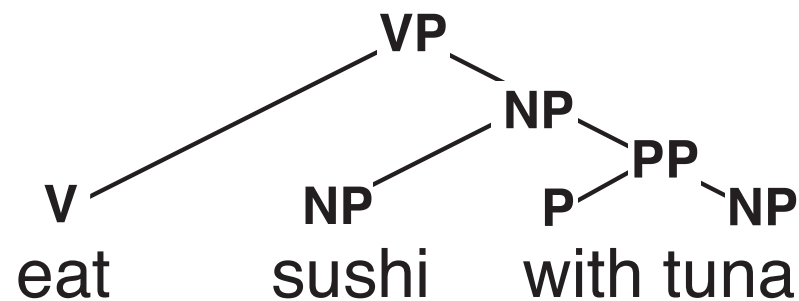
A sentence consists of **words** (I, eat, sushi, with, tuna)
...which form phrases or **constituents**: “sushi with tuna”

Sentence structure defines **dependencies**
between words or phrases:

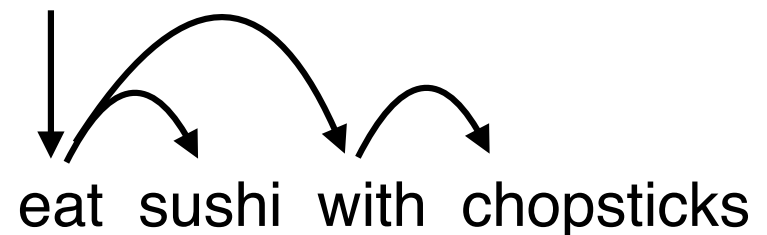
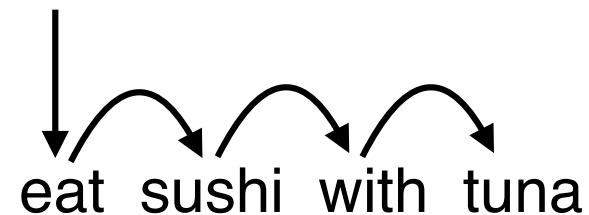


Two ways to represent structure

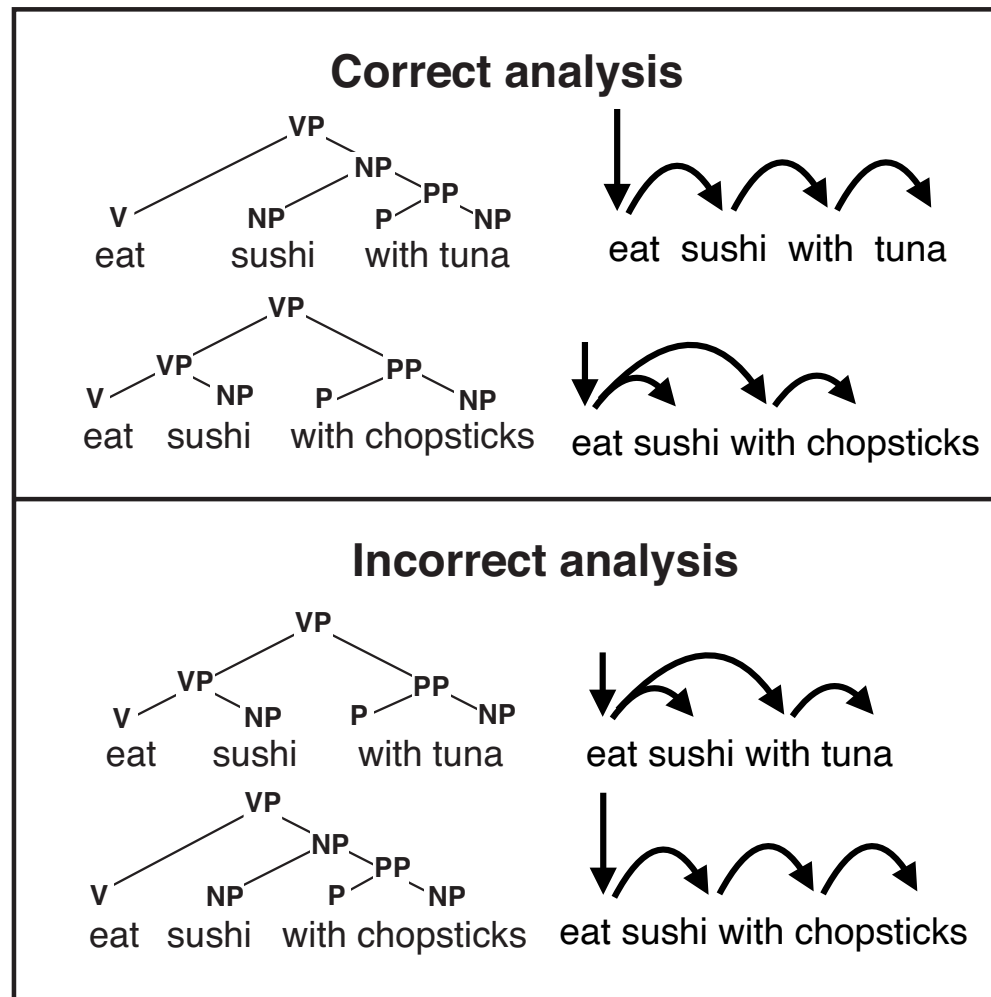
Phrase structure trees



Dependency trees



Structure (syntax) corresponds to meaning (semantics)



Dependency grammar

DGs describe the structure of sentences as a **directed acyclic graph**.

The **nodes** of the graph are the **words**

The **edges** of the graph are the **dependencies**.

Edge labels indicate different **dependency types**.

Typically, the graph is assumed to be a **tree**.

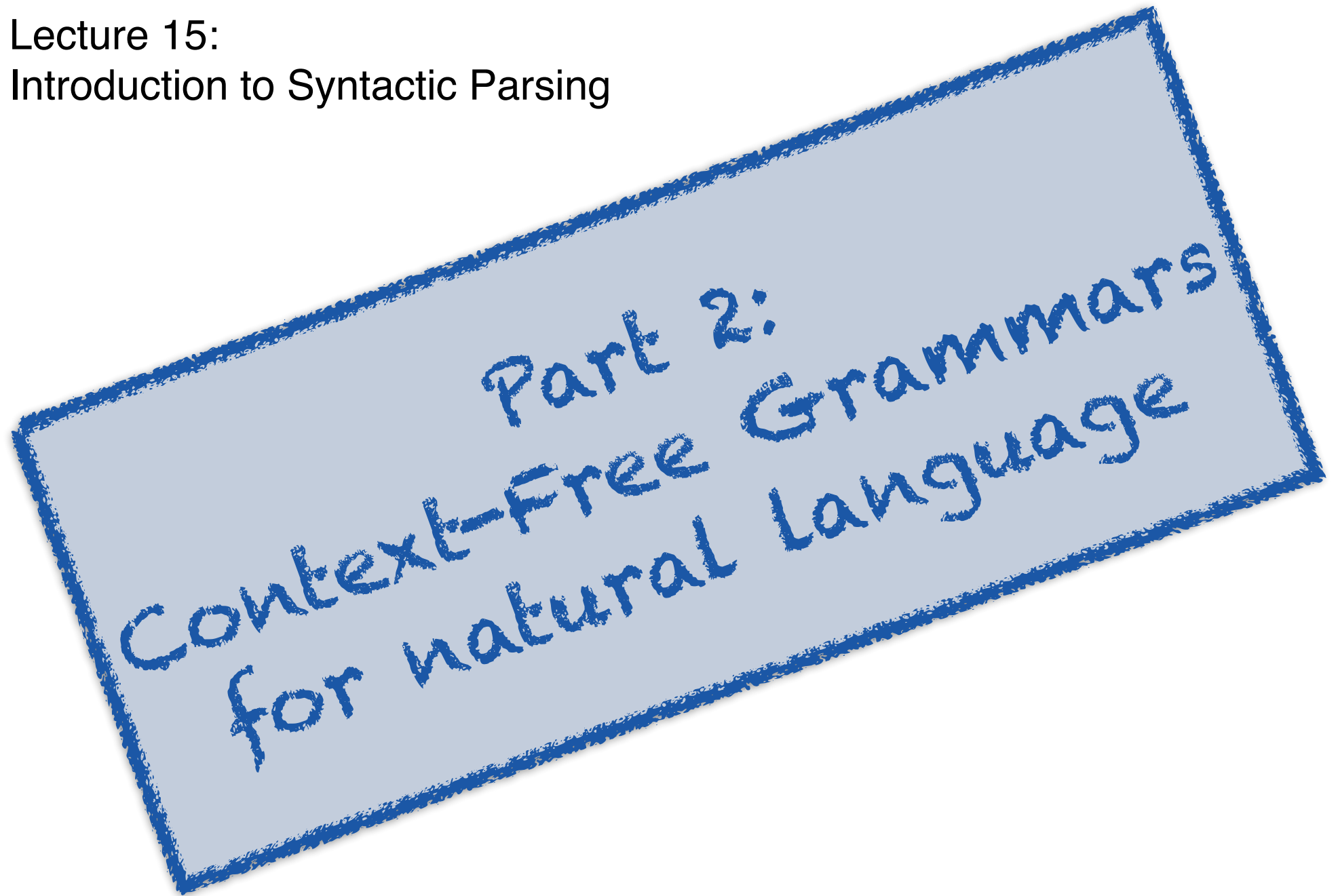


Note: the relationship between DG and CFGs:

If a CFG phrase structure tree is translated into DG,
the resulting dependency graph has no crossing edges.

Lecture 15:

Introduction to Syntactic Parsing



Formal definitions



Context-free grammars

A CFG is a 4-tuple $\langle \mathbf{N}, \mathbf{\Sigma}, \mathbf{R}, S \rangle$ consisting of:

A finite set of **nonterminals** \mathbf{N}

(e.g. $\mathbf{N} = \{S, NP, VP, PP, Noun, Verb, \dots\}$)

A finite set of **terminals** $\mathbf{\Sigma}$

(e.g. $\mathbf{\Sigma} = \{I, you, he, eat, drink, sushi, ball, \}$)

A finite set of **rules** \mathbf{R}

$\mathbf{R} \subseteq \{A \rightarrow \beta \text{ with left-hand-side (LHS) } A \in \mathbf{N}$
and right-hand-side (RHS) $\beta \in (\mathbf{N} \cup \mathbf{\Sigma})^* \}$

A unique **start symbol** $S \in \mathbf{N}$

Context-free grammars (CFGs) define phrase structure trees

NP \rightarrow I
NP \rightarrow sushi
NP \rightarrow tuna
NP \rightarrow NP PP
P \rightarrow with
PP \rightarrow P NP
S \rightarrow NP VP
V \rightarrow eat
VP \rightarrow V NP

NP: Noun Phrase

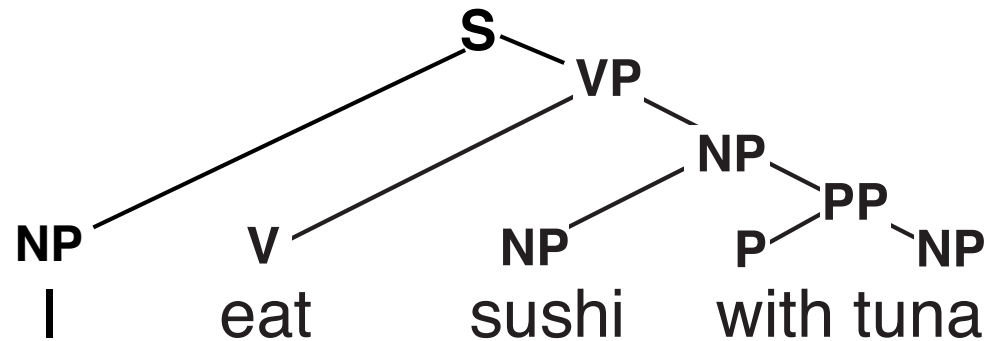
P: Preposition

S: Sentence

PP: Prepositional Phrase

V: Verb

VP: Verb Phrase



Leaf nodes (I, eat, ...) correspond to the words in the sentence

Intermediate nodes (NP, VP, PP) span substrings (= the *yield* of the node), and correspond to nonterminal *constituents*

The root spans the entire sentence and is labeled with the start symbol of the grammar (here, S)

CFGs capture recursion

Language has **simple** and **complex constituents**

(simple: “the garden”, complex: “the garden behind the house”)

Complex constituents behave just like simple ones.

(“behind the house” can always be omitted)

CFGs define **nonterminal categories** (e.g. NP)
to capture **equivalence classes of constituents**.

Recursive rules (where the same nonterminal
appears on both sides) **generate recursive structures**

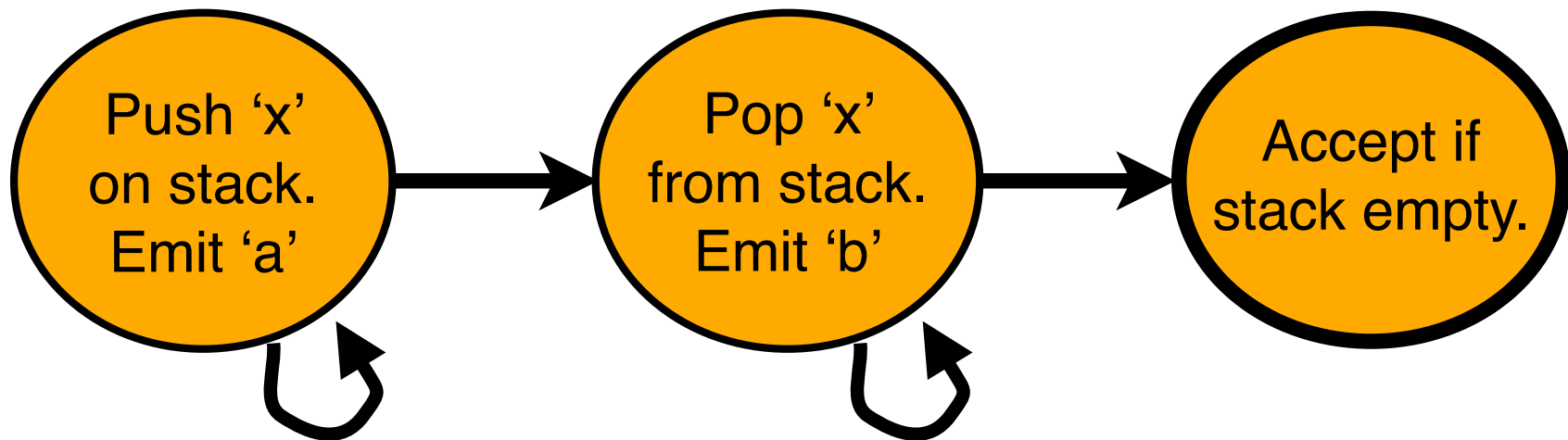
NP → DT N (**Simple**, i.e. **non-recursive NP**)

NP → **NP** PP (**Complex**, i.e. **recursive, NP**)

CFGs are equivalent to Pushdown Automata (PDAs)

PDAs are FSAs with an additional stack:

Emit a symbol and push/pop a symbol from the stack



This is equivalent to the following CFG:

$S \rightarrow a S b$

$S \rightarrow a b$

Generating $a^n b^n$

Action

1. Push x on stack. Emit a.
2. Push x on stack. Emit a.
3. Push x on stack. Emit a.
4. Push x on stack. Emit a.
5. Pop x off stack. Emit b.
6. Pop x off stack. Emit b.
7. Pop x off stack. Emit b.
8. Pop x off stack. Emit b

Stack String

x	a
xx	aa
xxx	aaa
xxxx	aaaa
xxx	aaab
xx	aaabb
x	aaabbb
	aaabbbb

Encoding linguistic principles in a CFG



Is string α a constituent?

[Should my grammar/parse tree have a nonterminal for α ?]

He talks [in class].

Substitution test:

Can α be replaced by a single word?

He talks [there].

Movement test:

Can α be moved around in the sentence?

[In class], he talks.

Answer test:

Can α be the answer to a question?

Where does he talk? - [In class].

Constituents:

Heads and dependents

There are different kinds of constituents:

Noun phrases: the man, a girl with glasses, Illinois

Prepositional phrases: with glasses, in the garden

Verb phrases: eat sushi, sleep, sleep soundly

NB: this is an oversimplification. Some phrases (**John, Kim and Mary**) have multiple heads, others (I like coffee and [**you tea**]) perhaps don't even have a head

Every phrase has one **head**:

Noun phrases: the man, a girl with glasses, Illinois

Prepositional phrases: with glasses, in the garden

Verb phrases: eat sushi, sleep, sleep soundly

NB: some linguists think the argument-adjunct distinction isn't always clear-cut, and there are some cases that could be treated as either, or something in-between

The other parts are its **dependents**.

Dependents are either **arguments** or **adjuncts**

Arguments are obligatory

Words **subcategorize** for specific sets of arguments:

Transitive verbs (sbj + obj): [John] likes [Mary]

The set/list of arguments is called a **subcat frame**

All **arguments** have to be **present**:

*[John] likes. *likes [Mary].

No argument slot can be **occupied multiple times**:

*[John] [Peter] likes [Ann] [Mary].

Words can have **multiple subcat frames**:

Transitive eat (sbj + obj): [John] eats [sushi].

Intransitive eat (sbj): [John] eats

Adjuncts (modifiers) are optional

Adverbs, PPs and adjectives can be adjuncts

Adverbs: John runs [fast].

a [very] heavy book.

PPs: John runs [in the gym].

the book [on the table]

Adjectives: a [heavy] book

There can be an arbitrary number of adjuncts:

John saw Mary.

John saw Mary [yesterday].

John saw Mary [yesterday] [in town]

John saw Mary [yesterday] [in town] [during lunch]

[Perhaps] John saw Mary [yesterday] [in town] [during lunch]

Heads, Arguments and Adjuncts in CFGs

How do we define CFGs that...

... identify heads and

... distinguish between arguments and adjuncts?

We have to make additional assumptions about the rules that we allow.

Important: these are not formal/mathematical constraints, but aim to capture linguistic principles

A more fleshed out version of what we will describe here is known as “X-bar Theory” (Chomsky, 1970)

Phrase structure trees that conform to these assumptions can easily be translated to dependency trees



Heads, Arguments and Adjuncts in CFGs

To identify heads:

We assume that each RHS has **one head child**, e.g.

VP → **Verb** NP (Verbs are heads of VPs)

NP → Det **Noun** (Nouns are heads of NPs)

S → NP **VP** (VPs are heads of sentences)

Exception: This does not work well for coordination:

VP → VP conj VP

We need to define for each nonterminal in our grammar (S, NP, VP, ...) which nonterminals (or terminals) can be used as its head children.

Heads, Arguments and Adjuncts in CFGs

To distinguish between arguments and adjuncts, assume that each is introduced by different rules.

Argument rules:

The head has a different category from the parent:

S → **NP** **VP** (the NP is an argument of the VP [verb])

VP → **Verb** **NP** (the NP is an argument of the verb)

This captures that arguments are obligatory.

Adjunct rules (“Chomsky adjunction”):

The head has the same category as the parent:

VP → **VP** **PP** (the PP is an adjunct of the VP)

This captures that adjuncts are optional and that their number is unrestricted.

CFGs and unbounded recursion

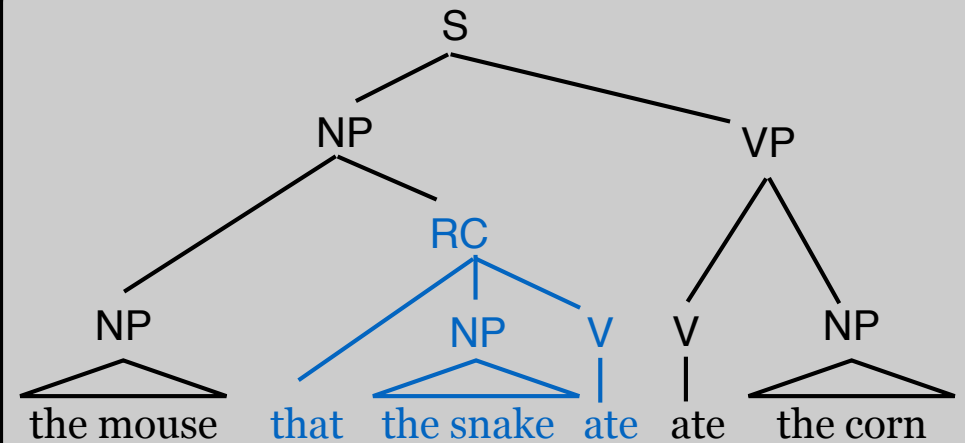


Unbounded recursion: CFGs and center embedding

The mouse ate the corn.

The mouse **that the snake ate** ate the corn.

S	→	NP	VP
VP	→	V	NP
NP	→	Det	N
NP	→	NP	RC
RC	→	that	NP V
Det	→	the	
N	→	mouse corn snake	
V	→	ate	



Unbounded recursion: CFGs and center embedding

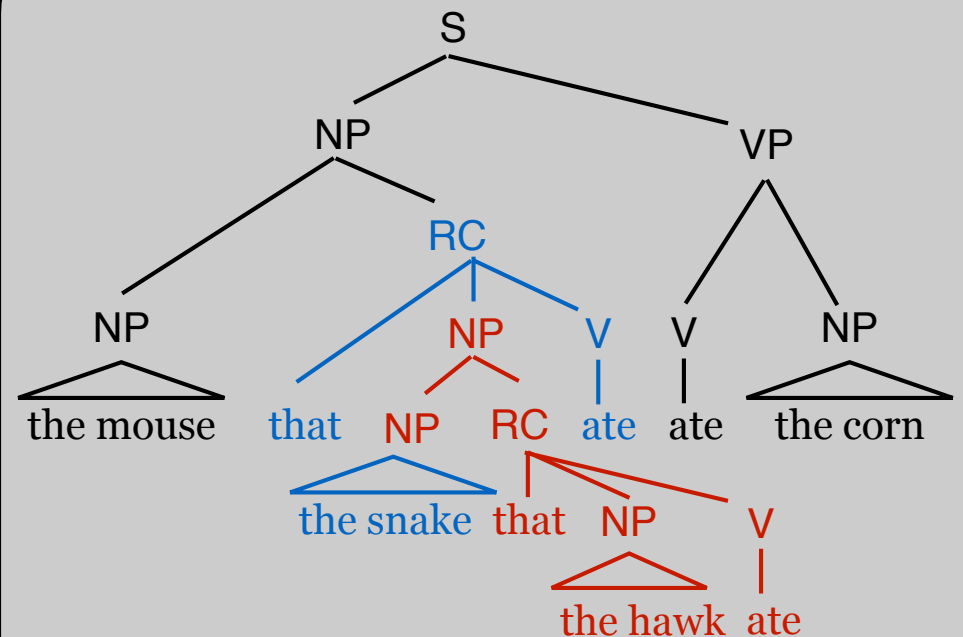
The mouse ate the corn.

The mouse **that the snake ate** ate the corn.

The mouse **that the snake that the hawk ate ate** ate the corn.

...

S	→	NP	VP
VP	→	V	NP
NP	→	Det	N
NP	→	NP	RC
RC	→	that	NP V
Det	→	the	
N	→	mouse corn snake	
V	→	ate	



Unbounded recursion: CFGs and center embedding

These sentences are unacceptable, but formally, they are all grammatical, because they are generated by the recursive rules required for even just one relative clause:

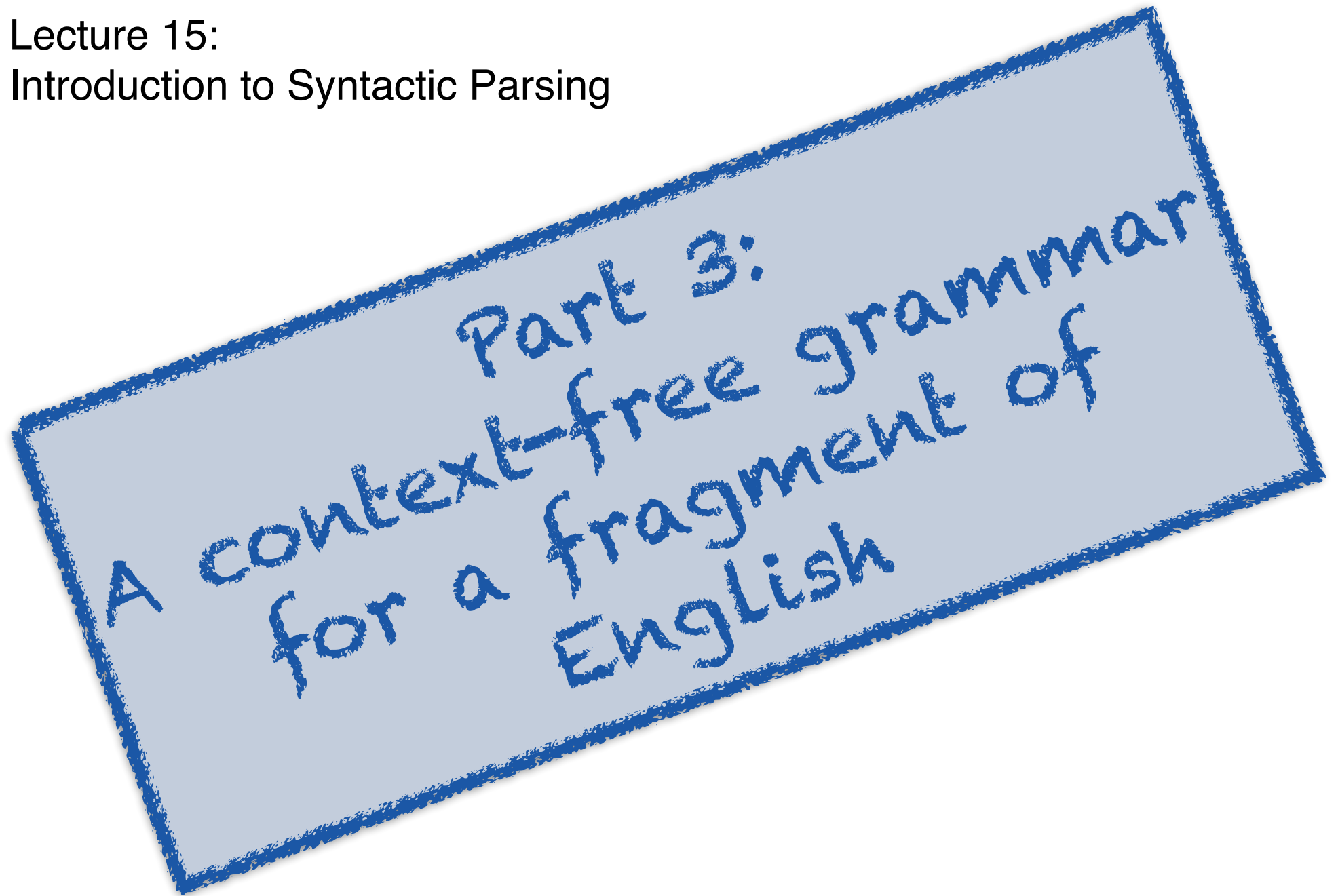
$$\begin{array}{lcl} \text{NP} & \longrightarrow & \text{NP RC} \\ \text{RC} & \longrightarrow & \text{that NP V} \end{array}$$

Problem: CFGs are not able to capture **bounded recursion**.
(bounded = “only embed one or two relative clauses”).

To deal with this discrepancy between what the grammar predicts to be grammatical, and what humans consider grammatical, linguists distinguish between a speaker’s **competence** (grammatical knowledge) and **performance** (processing and memory limitations)

Lecture 15:

Introduction to Syntactic Parsing



Noun phrases (NPs)

Simple NPs:

[He] sleeps. (pronoun)

[John] sleeps. (proper name)

[A student] sleeps. (determiner + noun)

[A tall student] sleeps. (det + adj + noun)

[Snow] falls. (noun)

Complex NPs:

[The student in the back] sleeps. (NP + PP)

[The student who likes MTV] sleeps. (NP + Relative Clause)

The NP fragment

NP → Pronoun

NP → ProperName

NP → Det Noun

NP → Noun

NP → NP PP

NP → NP RelClause

Noun → AdjP Noun

Noun → N

N → {class, ... student, snow, ...}

Det → {a, the, every, ... }

Pronoun → {he, she, ...}

ProperName → {John, Mary, ...}



Adjective phrases (AdjP) and prepositional phrases (PP)

AdjP \rightarrow Adj

AdjP \rightarrow Adv AdjP

Adj \rightarrow {big, small, red,...}

Adv \rightarrow {very, really,...}

PP \rightarrow P NP

P \rightarrow {with, in, above,...}



The verb phrase (VP)

He [eats].

He [eats sushi].

He [gives John sushi].

He [gives sushi to John].

He [eats sushi with chopsticks].

He [sometimes eats].

$VP \rightarrow V$

$VP \rightarrow V \ NP$

$VP \rightarrow V \ NP \ NP$

$VP \rightarrow V \ NP \ PP$

$VP \rightarrow VP \ PP$

$VP \rightarrow AdvP \ VP$

$V \rightarrow \{eats, \ sleeps \ gives, \dots\}$

Capturing subcategorization

He [eats]. ✓

He [eats sushi]. ✓

He [gives John sushi]. ✓

He [eats sushi with chopsticks]. ✓

*He [eats John sushi]. ???

$VP \rightarrow V_{intrans}$

$VP \rightarrow V_{trans} NP$

$VP \rightarrow V_{ditrans} NP NP$

$VP \rightarrow VP PP$

$V_{intrans} \rightarrow \{\text{eats, sleeps}\}$

$V_{trans} \rightarrow \{\text{eats}\}$

$V_{ditrans} \rightarrow \{\text{gives}\}$

Sentences

[He eats sushi].

[Sometimes, he eats sushi].

[In Japan, he eats sushi].

$S \rightarrow NP VP$

$S \rightarrow AdvP S$

$S \rightarrow PP S$

Capturing agreement

[He eats sushi]. ✓

*[I eats sushi]. ???

*[They eats sushi]. ???

$S \rightarrow NP_{3sg} VP_{3sg}$

$S \rightarrow NP_{1sg} VP_{1sg}$

$S \rightarrow NP_{3pl} VP_{3pl}$

We would need features to capture agreement:
(number, person, case,...)

Complex VPs

In English, simple tenses have separate forms:

Present tense: the girl **eats** sushi

Simple past tense: the girl **ate** sushi

Complex tenses, progressive aspect and passive voice consist of auxiliaries and participles:

Past perfect tense: the girl **has eaten** sushi

Future perfect tense: the girl **will have eaten** sushi

Passive voice: the sushi **is/was/will be/... eaten** by the girl

Progressive aspect: the girl **is/was/will be eating** sushi

VPs redefined

He [has [eaten sushi]].

The sushi [was [eaten by him]].

$VP \rightarrow V_{\text{have}} VP_{\text{pastPart}}$

$VP \rightarrow V_{\text{be}} VP_{\text{pass}}$

$VP_{\text{pastPart}} \rightarrow V_{\text{pastPart}} NP$

$VP_{\text{pass}} \rightarrow V_{\text{pastPart}} PP$

$V_{\text{have}} \rightarrow \{\text{has}\}$

$V_{\text{pastPart}} \rightarrow \{\text{eaten, seen}\}$

We would need even more nonterminals (e.g. VP_{pastpart})!

N.B.: We call VP_{pastPart} , VP_{pass} , etc. ‘untensed’ VPs

Subordination

He says [he eats sushi].

He says [that [he eats sushi]].

$VP \rightarrow V_{comp} S$

$VP \rightarrow V_{comp} SBAR$

$SBAR \rightarrow COMP S$

$V_{comp} \rightarrow \{\text{says, think, believes}\}$

$COMP \rightarrow \{\text{that}\}$

Coordination

[He eats sushi] but [she drinks tea]

[John] and [Mary] eat sushi.

He [eats sushi] and [drinks tea]

He [sells and buys] shares

He eats [at home or at a restaurant]

$S \rightarrow S \text{ conj } S$

$NP \rightarrow NP \text{ conj } NP$

$VP \rightarrow VP \text{ conj } VP$

$V \rightarrow V \text{ conj } V$

$PP \rightarrow PP \text{ conj } PP$

Relative clauses

Relative clauses modify noun phrases:

the girl [that eats sushi] ($\text{NP} \rightarrow \text{NP RelClause}$)

Relative clauses lack an NP that is understood to be filled by the NP they modify:

‘the girl that eats sushi’ implies ‘the girl eats sushi’

Subject relative clauses lack a subject: ‘the girl that eats sushi’

$\text{RelClause} \rightarrow \text{RelPron VP}$ [sentence w/o sbj = VP]

Object relative clauses lack an object: ‘the sushi that the girl eats’

Define “slash categories” $\text{S-NP}, \text{VP-NP}$ that are missing object NPs

$\text{RelClause} \rightarrow \text{RelPron S-NP}$

$\text{S-NP} \rightarrow \text{NP VP-NP}$

$\text{VP-NP} \rightarrow \text{V}_{\text{trans}}$

$\text{VP-NP} \rightarrow \text{VP-NP PP}$

Yes/No questions

Yes/no questions consist of an auxiliary, a subject and an (untensed) verb phrase:

does she eat sushi?

have you eaten sushi?

YesNoQ \rightarrow Aux NP VP_{inf}

YesNoQ \rightarrow Aux NP VP_{pastPart}



Wh-questions

Subject wh-questions consist of an wh-word, an auxiliary and an (untensed) verb phrase:

Who has eaten the sushi?

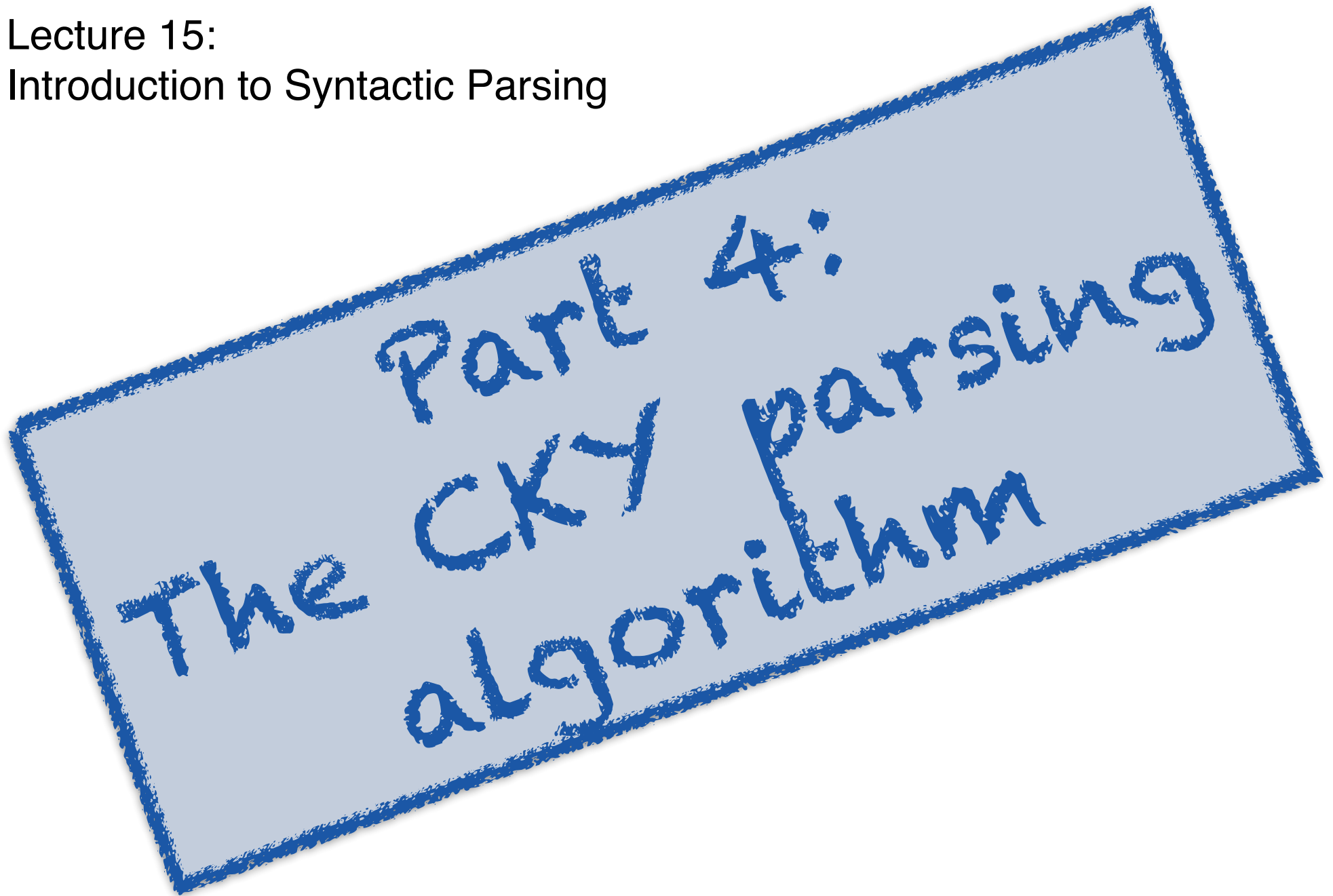
$WhQ \rightarrow WhPron Aux VP_{pastPart}$

Object wh-questions consist of an wh-word, an auxiliary, an NP and an (untensed) verb phrase that is missing an object.

What does Mary eat?

$WhQ \rightarrow WhPron Aux NP VP_{inf-NP}$

Lecture 15: Introduction to Syntactic Parsing



CKY chart parsing algorithm

Bottom-up parsing:

start with the words

Dynamic programming:

save the results in a table/chart

re-use these results in finding larger constituents

Complexity: $O(n^3 |G|)$

n : length of string, $|G|$: size of grammar)

Presumes a CFG in **Chomsky Normal Form**:

Rules are all either $A \rightarrow B C$ (RHS = two nonterminals)

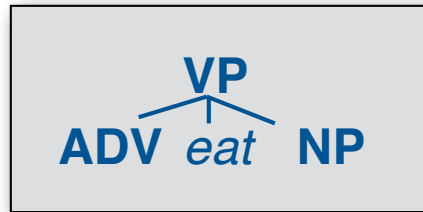
or $A \rightarrow a$ (RHS = a single terminal)

(with A, B, C nonterminals and a a terminal)

Chomsky Normal Form

The right-hand side of a standard CFG rules can have an **arbitrary number of symbols** (terminals and nonterminals):

$VP \rightarrow ADV \text{ eat } NP$



A CFG in **Chomsky Normal Form (CNF)** allows only two kinds of right-hand sides:

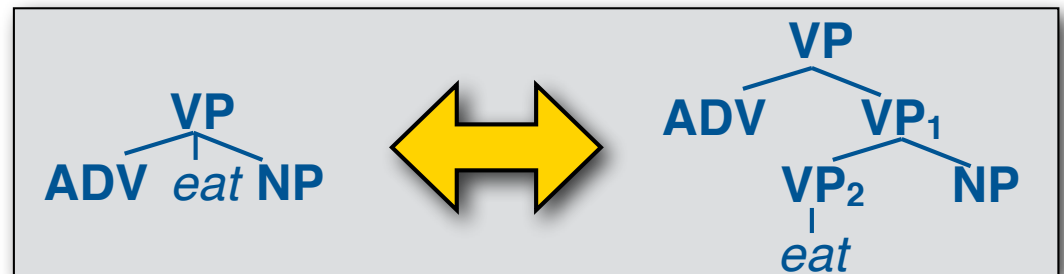
- **Two nonterminals:** $VP \rightarrow ADV \ VP$
- **One terminal:** $VP \rightarrow \text{eat}$

Any CFG can be **transformed** into an equivalent CFG in CNF by introducing *new, rule-specific dummy non-terminals* (VP_1, VP_2, \dots)

$VP \rightarrow ADV \ VP_1$

$VP_1 \rightarrow VP_2 \ NP$

$VP_2 \rightarrow \text{eat}$



A note about ϵ -productions

Formally, context-free grammars are allowed to have **empty productions** (ϵ = the empty string):

$VP \rightarrow V NP$ $NP \rightarrow DT Noun$ $NP \rightarrow \epsilon$

These can always be **eliminated** without changing the language generated by the grammar:

$VP \rightarrow V NP$ $NP \rightarrow DT Noun$ $NP \rightarrow \epsilon$

becomes

$VP \rightarrow V NP$ $VP \rightarrow V \epsilon$ $NP \rightarrow DT Noun$

which in turn becomes

$VP \rightarrow V NP$ $VP \rightarrow V$ $NP \rightarrow DT Noun$

We will assume that our grammars don't have ϵ -productions

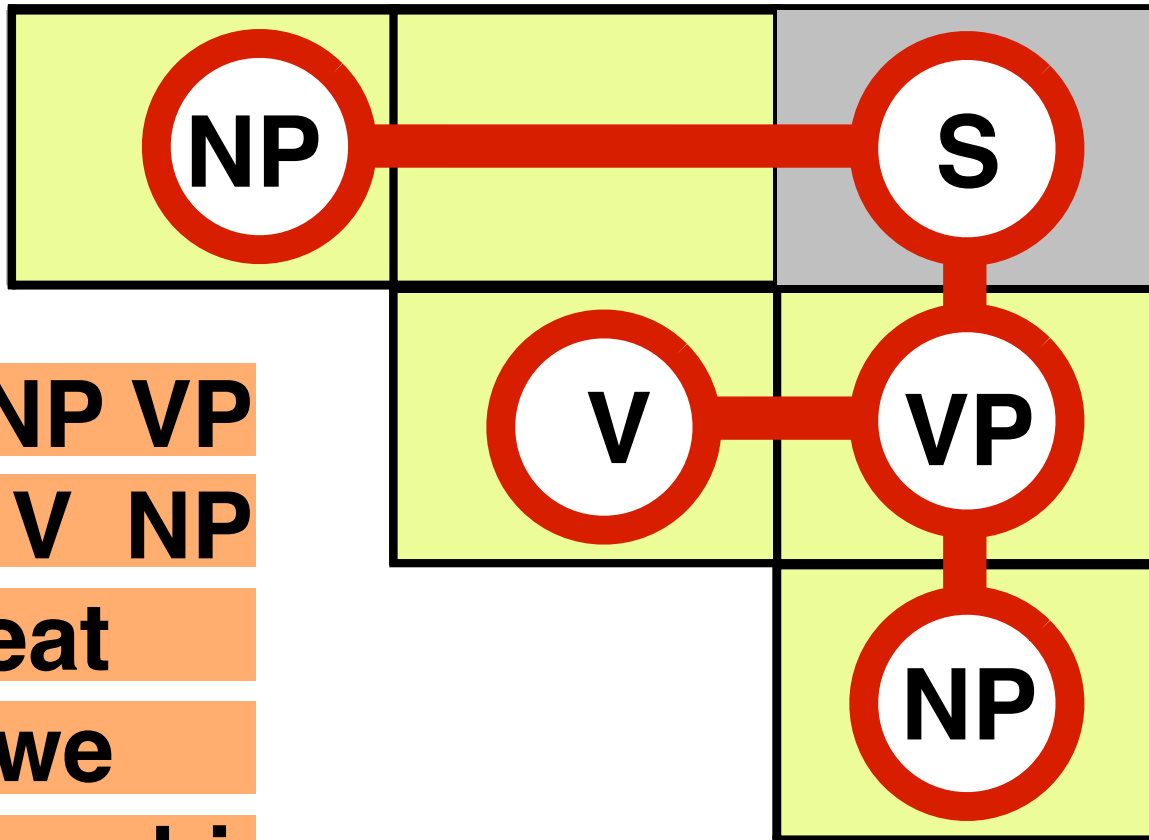
The CKY parsing algorithm

	we	we eat	we eat sushi
S → NP VP		eat	eat sushi
VP → V NP			sushi
V → eat			
NP → we			
NP → sushi			

We eat sushi

The CKY parsing algorithm

To recover the parse tree, each entry needs **pairs** of backpointers.



S → **NP VP**

VP → **V NP**

V → **eat**

NP → **we**

NP → **sushi**

We eat sushi

CKY algorithm

1. Create the chart

(an $n \times n$ upper triangular matrix for an sentence with n words)

- Each cell $\text{chart}[i][j]$ corresponds to the substring $w^{(i)} \dots w^{(j)}$

2. Initialize the chart (fill the diagonal cells $\text{chart}[i][i]$):

For all rules $X \rightarrow w^{(i)}$, add an entry X to $\text{chart}[i][i]$

3. Fill in the chart:

Fill in all cells $\text{chart}[i][i+1]$, then $\text{chart}[i][i+2]$, ..., until you reach $\text{chart}[1][n]$ (the top right corner of the chart)

- To fill $\text{chart}[i][j]$, consider all binary splits $w^{(i)} \dots w^{(k)} | w^{(k+1)} \dots w^{(j)}$
- If the grammar has a rule $X \rightarrow YZ$, $\text{chart}[i][k]$ contains a Y and $\text{chart}[k+1][j]$ contains a Z , add an X to $\text{chart}[i][j]$ with two backpointers to the Y in $\text{chart}[i][k]$ and the Z in $\text{chart}[k+1][j]$

4. Extract the parse trees from the S in $\text{chart}[1][n]$.

CKY: filling the chart

w	...			w_i	...	w	
							w
							...
							..
							w_i
							...
							w

w	...			w_i	...	w	
							w
							...
							..
							w_i
							...
							w

w	...			w_i	...	w	
							w
							...
							..
							w_i
							...
							w

w	...			w_i	...	w	
							w
							...
							..
							w_i
							...
							w

w	...			w_i	...	w	
							w
							...
							..
							w_i
							...
							w

w	...			w_i	...	w	
							w
							...
							..
							w_i
							...
							w

w	...			w_i	...	w	
							w
							...
							..
							w_i
							...
							w

CKY: filling one cell

w	w _i	...	w	
							w
							...
							..
							w _i
							...
							w

chart[2][6]:

w₁ **w₂** **w₃** **w₄** **w₅** **w₆** w₇

chart[2][6]:

w₁ **w₂** **w₃** **w₄** **w₅** **w₆** w₇

w	w _i	...	w	
							w
							...
							..
							w _i
							...
							w

chart[2][6]:

w₁ **w₂** **w₃** **w₄** **w₅** **w₆** w₇

w	w _i	...	w	
							w
							...
							..
							w _i
							...
							w

chart[2][6]:

w₁ **w₂** **w₃** **w₄** **w₅** **w₆** w₇

w	w _i	...	w	
							w
							...
							..
							w _i
							...
							w

chart[2][6]:

w₁ **w₂** **w₃** **w₄** **w₅** **w₆** w₇

w	w _i	...	w	
							w
							...
							..
							w _i
							...
							w

The CKY parsing algorithm

S → NP VP

VP → V NP

VP → VP PP

V → buy

VP → drinks

NP → NP PP

NP → we

NP → drinks

NP → milk

PP → P NP

P → with

V buy	VP buy drinks	buy drinks with	VP buy drinks with milk
	VP, NP drinks	drinks with	VP, NP drinks with milk
		P	PP

Each cell may have **one entry**
for each nonterminal

We buy drinks with milk

The CKY parsing algorithm

	we	we eat	we eat sushi	we eat sushi with	we eat sushi with tuna
S → NP VP		V, VP eat	VP eat sushi	eat sushi with	VP eat sushi with tuna
VP → V NP					
VP → VP PP					
V → eat				th	NP sushi with tuna
VP → eat					PP with tuna
NP → NP PP					
NP → we					tuna
NP → sushi					
NP → tuna					
PP → P NP					
P → with					

Each cell contains only a **single entry** for each nonterminal.

Each entry may have a **list** of pairs of backpointers.

We eat sushi with tuna



What are the terminals in NLP?

Are the “terminals”: words or POS tags?

For toy examples (e.g. on slides), it's typically the words

With POS-tagged input, we may either treat the POS tags as the terminals, or we assume that the unary rules in our grammar are of the form

POS-tag \rightarrow word

(so POS tags are the only nonterminals that can be rewritten as words; some people call POS tags “preterminals”)



Additional unary rules

In practice, we may allow other unary rules, e.g.

$NP \rightarrow \text{Noun}$

(where Noun is also a nonterminal)

In that case, we apply all unary rules to the entries in $\text{chart}[i][j]$ *after* we've checked all binary splits ($\text{chart}[i][k]$, $\text{chart}[k+1][j]$)

Unary rules are fine as long as there are **no “loops”** that lead to an infinite chain of unary productions, e.g.:

$\mathbf{X} \rightarrow Y$ and $Y \rightarrow \mathbf{X}$

or: $\mathbf{X} \rightarrow Y$ and $Y \rightarrow Z$ and $Z \rightarrow \mathbf{X}$



CKY so far...

Each entry in a cell $\text{chart}[i][j]$ is associated with a nonterminal X .

If there is a rule $X \rightarrow YZ$ in the grammar,
and there is a pair of cells $\text{chart}[i][k]$, $\text{chart}[k+1][j]$
with a Y in $\text{chart}[i][k]$ and a Z in $\text{chart}[k+1][j]$,
we can add an entry X to cell $\text{chart}[i][j]$, and associate
one pair of backpointers with the X in cell $\text{chart}[i][j]$

Each entry might have multiple pairs of backpointers.

When we extract the parse trees at the end,
we can get **all possible trees**.

We will need probabilities to find the single best tree!

Exercise: CKY parser

I eat sushi with chopsticks with you

S	→	NP	VP
NP	→	NP	PP
NP	→	<i>sushi</i>	
NP	→	<i>I</i>	
NP	→	<i>chopsticks</i>	
NP	→	<i>you</i>	
VP	→	VP	PP
VP	→	Verb	NP
Verb	→	<i>eat</i>	
PP	→	Prep	NP
Prep	→	<i>with</i>	

How do you count the **number of parse trees** for a sentence?

1. For each **pair of backpointers**
(e.g. $VP \rightarrow V \ NP$): **multiply** #trees of children
$$\text{trees}(VP_{VP \rightarrow V \ NP}) = \text{trees}(V) \times \text{trees}(NP)$$

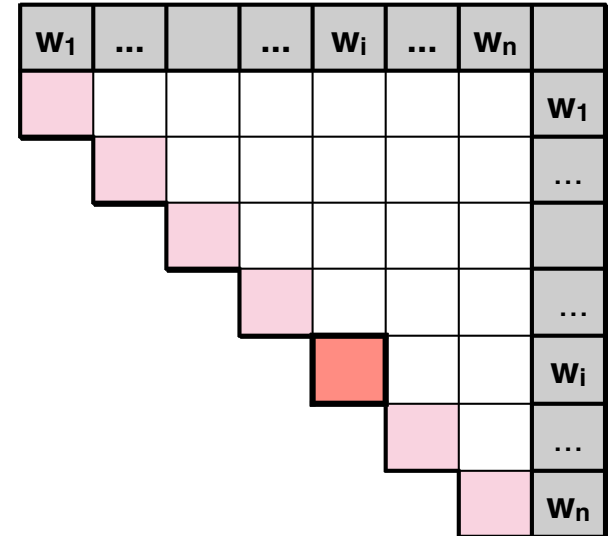
2. For each **list of pairs of backpointers**
(e.g. $VP \rightarrow V \ NP$ and $VP \rightarrow VP \ PP$): **sum** #trees
$$\text{trees}(VP) = \text{trees}(VP_{VP \rightarrow V \ NP}) + \text{trees}(VP_{VP \rightarrow VP \ PP})$$

Cocke Kasami Younger

```
ckyParse(n):
  initChart(n)
  fillChart(n)
```

```
initChart(n):
  for  $i = 1 \dots n$ :
    initCell(i,i)
```

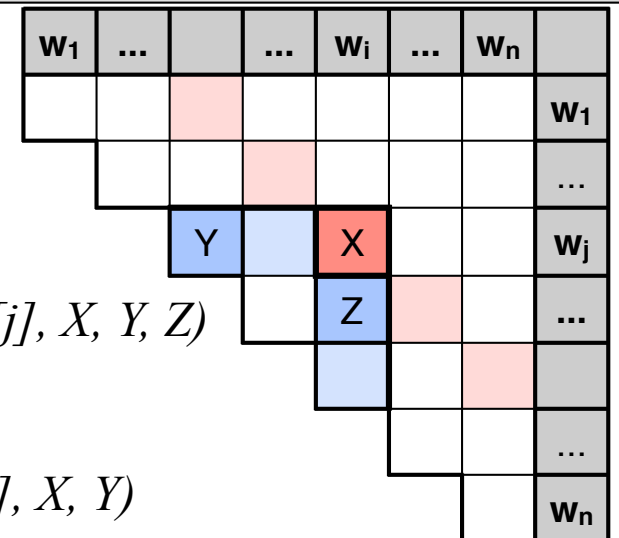
```
initCell(i,i):
  for  $c$  in  $\text{lex}(\text{word}[i])$ :
    addToCell( $\text{cell}[i][i]$ ,  $c$ )
```



```
fillChart(n):
  for  $\text{span} = 1 \dots n-1$ :
    for  $i = 1 \dots n-\text{span}$ :
      fillCell( $i, i+\text{span}$ )
```

```
fillCell(i,j):
  for  $k = i..j-1$ :
    combineCells( $i, k, j$ )
```

```
combineCells(i,k,j):
  for  $Y$  in  $\text{cell}[i][k]$ :
    for  $Z$  in  $\text{cell}[k+1][j]$ :
      for  $X$  in Nonterminals:
        if  $X \rightarrow YZ$  in Rules:
          addToCell( $\text{cell}[i][j]$ ,  $X, Y, Z$ )
      for  $X$  in Nonterminals:
        if  $X \rightarrow Y$  in Rules:
          addToCell( $\text{cell}[i][j]$ ,  $X, Y$ )
```



Cocke Kasami Younger

addToCell(Terminal,cell) // Adding terminal nodes to the chart
cell.addEntry(Terminal) // add entry with no backpointers

addToCell(Parent,cell,Left, Right) // For binary rules
if (cell.hasEntry(Parent)):
P = cell.getEntry(Parent)
P.addBackpointers(Left, Right) // add two backpointers to existing entry
else cell.addEntry(Parent, Left, Right) // add entry with a pair of backpointers

addToCell(Parent,cell,Child) // For unary rules
if (cell.hasEntry(Parent)):
P = cell.getEntry(Parent)
P.addBackpointer(Child) // add one backpointer to existing entry
else cell.addEntry(Parent, Child) // add entry with one backpointer