# CS 473: Algorithms 

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## CS 473: Algorithms, Spring 2018

## Fingerprinting

Lecture 11
Feb 20, 2018

Most slides are courtesy Prof. Chekuri

## Fingerprinting Source: Wikipedia

Process of mapping a large data item to a much shorter bit string, called its fingerprint.

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As you may have guessed, fingerprint functions are hash functions.

## Bloom Filters

## Hashing:

(1) To insert $x$ in dictionary store $x$ in table in location $h(x)$
(2) To lookup $\boldsymbol{y}$ in dictionary check contents of location $\boldsymbol{h}(\boldsymbol{y})$

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Bloom Filter: tradeoff space for false positives
(1) What if elements $(x)$ are unwieldy objects such a long strings, images, etc with non-uniform sizes.
(2) To insert $\boldsymbol{x}$ in dictionary, set bit at location $\boldsymbol{h ( x )}$ to $\mathbf{1}$ (initially all bits are set to $\mathbf{0}$ )
(3) To lookup $\boldsymbol{y}$ if bit in location $h(y)$ is $\mathbf{1}$ say yes, else no.

## Bloom Filters

Bloom Filter: tradeoff space for false positives

## Reducing false positives:

(1) Pick $k$ hash functions $h_{1}, h_{2}, \ldots, h_{k}$ independently
(2) Insert $x$ : for $\mathbf{1} \leq i \leq k$ set bit in location $\boldsymbol{h}_{\boldsymbol{i}}(x)$ in table $\boldsymbol{i}$ to $\mathbf{1}$

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(0) Lookup $y$ : compute $h_{i}(y)$ for $\mathbf{1} \leq i \leq k$ and say yes only if each bit in the corresponding location is $\mathbf{1}$, otherwise say no. If probability of false positive for one hash function is $\alpha<\mathbf{1}$ then with $k$ independent hash function it is

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## Outline

## Use of hash functions for designing fast algorithms

## Problem

Given a text $\boldsymbol{T}$ of length $\boldsymbol{m}$ and pattern $P$ of length $\boldsymbol{n}, \boldsymbol{m} \gg \boldsymbol{n}$, find all occurrences of $P$ in $T$.

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## Karp-Rabin Randomized Algorithm

It involves:

- Sampling a prime
- String equality via mod $p$ arithmetic
- Rabin's fingerprinting scheme - rolling hash
- Karp-Rabin pattern matching algorithm: $O(m+n)$ time.


## Part I

## Sampling a Prime

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## Checking if $p$ is prime

- Agrawal-Kayal-Saxena primality test: deterministic but slow
- Miller-Rabin randomized primality test: fast but randomized outputs 'prime' when it is not with very low probability.


## Sampling a Prime: Analysis

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\operatorname{Pr}[B \mid A]=\frac{\operatorname{Pr}[A \cap B]}{\operatorname{Pr}[A]}=\frac{\operatorname{Pr}[B]}{\operatorname{Pr}[A]}=\frac{1 / x}{\pi(x) / x}=\frac{1}{\pi(x)}
$$

## Sampling a prime: Expected number of samples

## Procedure

(1) Sample a number $p$ uniformly at random from $\{1, \ldots, x\}$.
(2) If $\boldsymbol{p}$ is a prime, then output $\boldsymbol{p}$. Else go to Step (1).

## Running time in expectation

Q: How many samples in expectation before termination?
A: $x / \pi(x)$. Exercise.

## How many primes between 0 and $x$

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- $y \sim\{1, \ldots, x\}$ u.a.r., then $y$ is a prime w.p. $\frac{\pi(x)}{x}>\frac{1}{\lg x}$.
- If we want $k \geq 4$ primes then $x \geq 2 k \lg k$ suffices.

$$
\pi(x) \geq \pi(2 k \lg k)=\frac{2 k \lg k}{\lg 2+\lg k+\lg \lg k} \geq \frac{k(2 \lg k)}{2 \lg k}=k
$$

## Part II

## String Equality

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## Problem

Alice, the captain of a Mars lander, receives an N-bit string $\boldsymbol{x}$, and Bob, back at mission control, receives a string $y$. They know nothing about each others strings, but want to check if $x=y$.

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- If $\boldsymbol{x}=\boldsymbol{y}$, then $\operatorname{Pr}[$ Bob says equal $]=\mathbf{1}$.
- If $\boldsymbol{x} \neq \boldsymbol{y}$, then $\operatorname{Pr}[$ Bob says un-equal $]=0.9999$.


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## HOW?

## String Equality: Randomized Algorithm

## $x, y: N$-bit strings.

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(1) Alice picks a random prime $p$ from $\{1, \ldots M\}$.
(2) She sends Bob prime $p$, and also $h_{p}(x)=x \bmod p$.
(3) Bob checks if $h_{p}(y)=h_{p}(x)$. If so, he says equal else un-equal.

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## Lemma

If $x=y$ then Bob always says equal.

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## Lemma

If $\boldsymbol{x} \neq \boldsymbol{y}$ then, $\operatorname{Pr[Bob}$ says equal] $\leq \mathbf{1} / \mathbf{5}$ (error probability).

## String Equality: Randomized Algorithm

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(Recall) If $M=\lceil 2(s N) \lg s N\rceil$, then $s N$ primes in $\{1, \ldots, M\}$.

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If $\boldsymbol{x} \neq \boldsymbol{y}$ then, $\operatorname{Pr}[$ Bob says equal $] \leq \mathbf{1} /$ s (error probability).

## Question.

Let $x=6=2 * 3$. If we draw a $p$ u.a.r. from $\{2,3,5,7\}$, then what is the probability that $x \bmod p=0$ ?
(A) 0 .
(B) 1 .
(C) $1 / 4$.
(D) $1 / 2$.
(E) none of the above.

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Now, let $y=21$. What is the probability that $(y-x) \bmod p$ $=15 \bmod p=0$ ?
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## String Equality: Randomized Algorithm

 Error probability$$
x, y N \text {-bit string, } M=\lceil 2(s N) \lg s N\rceil \text {, and } h_{p}(x)=x \bmod p
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## Lemma

If $x \neq y$ then, $\operatorname{Pr}[$ Bob says equal $]=\operatorname{Pr}\left[h_{p}(x)=h_{p}(y)\right] \leq 1 / \mathrm{s}$

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Given $x \neq y, h_{p}(x)=h_{p}(y) \Rightarrow x \bmod p=y \bmod p$.

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- $2^{k} \leq D \leq 2^{N} \Rightarrow k \leq N$. $D$ has at most $N$ divisors.


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- Probability that a random prime $p$ from $\{\mathbf{1}, \ldots, M\}$ is a divisor

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$$
=\frac{k}{\pi(M)} \leq \frac{N}{\pi(M)} \leq \frac{N}{M / \lg M}=\frac{N}{2(s N) \lg s N} \lg M \leq \frac{1}{s}
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## Error Probability and Communication

## Low Error Probability

(1) Choose large enough s. Error prob: 1/s.

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## Error Probability and Communication

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## Error Probability and Communication

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Error probability: $\frac{1}{s^{R}}$. For $s=5, R=10, \frac{1}{5^{10}} \leq 0.000001$.

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Error probability: $\frac{1}{s^{\mathrm{R}}}$. For $s=5, R=10, \frac{1}{5^{10}} \leq \mathbf{0 . 0 0 0 0 0 1}$.

$$
M=\lceil 2(s N) \lg s N\rceil
$$

## Amount of Communication

Each round sends 2 integers $\leq M$. \# bits: $2 \lg M \leq 4(\lg s+\lg N)$.

## Error Probability and Communication

## Low Error Probability

(1) Choose large enough $s$. Error prob: $1 / s$.
(2) Alice repeats the process $R$ times, and Bob says equal only if he gets equal all $R$ times.
Error probability: $\frac{1}{s^{\mathrm{R}}}$. For $s=5, R=10, \frac{1}{5^{10}} \leq \mathbf{0 . 0 0 0 0 0 1}$.

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## Part III

## Karp-Rabin Pattern Matching Algorithm

## Pattern Matching

Given a string $T$ of length $\boldsymbol{m}$ and pattern $P$ of length $n$, s.t. $m \gg n$, find all occurrences of $P$ in $T$.

## Example

$\boldsymbol{T}=$ abracadabra, $P=a b$.

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$S=\emptyset$. For each $i=\mathbf{1} \ldots \boldsymbol{m}-n+\mathbf{1}$

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## Using Hash Function

Pick a prime $p$ u.a.r. from $\{1, \ldots, M\} . h_{p}(x)=x \bmod p$.

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$$
\text { Can we compute } h_{p}\left(T_{i+1 \ldots i+n}\right) \text { using } h_{p}\left(T_{i \ldots i+n-1}\right) \text { fast? }
$$

## $\bmod p$ math

Let $\boldsymbol{a}$ and $\boldsymbol{b}$ be (non-negative) integers.
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x=T_{i \ldots i+n-1} \text { and } x^{\prime}=T_{i+1 \ldots i+n}
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## Example

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## $x=1011001$, and $x^{\prime}=0110010\left(\right.$ or $\left.x^{\prime}=0110011\right)$.

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$$
h_{p}\left(x^{\prime}\right)=x^{\prime} \bmod p
$$

$$
=\left(2(x \bmod p)-x_{h b}\left(2^{n} \bmod p\right)+x_{l b}^{\prime}\right) \bmod p
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$$
=\left(2 h_{p}(x)-x_{h b} h_{p}\left(2^{n}\right)+x_{l b}^{\prime}\right) \bmod p
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$p$ : a random prime from $\{1, \ldots, M\}$.
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If match at any position $i$ then $i \in S$. In otherwords if $T_{i \ldots i+n-1}=P$, then $i \in S$.

All matched positions are in $S$.

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- Given $T_{i \ldots i+n-1} \neq P, \operatorname{Pr}[i \in S] \leq 1 / s$.
- $\operatorname{Pr}[$ Any index in $S$ is wrong] $\leq m / s$ (Union bound).
- To ensure $S$ is correct with at least $\mathbf{0 . 9 9}$ probability, we need

$$
1-\frac{m}{s}=0.99 \Leftrightarrow \frac{m}{s}=\frac{1}{100} \Leftrightarrow s=100 m
$$

## Karp-Rabin Algorithm

## Back to running time

## Running Time

- In Step 1, computing $h_{p}(x)$ for an $n$ bit $x$ is in $O(n)$ time. Assuming $O(\lg M)$ bit arithmetic can be done in $O(\mathbf{1})$ time,
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$$
\lg M \approx 64 \text { (assuming bit-length of } n \leq 2^{16} \text { ) }
$$

64-bit arithmetic is doable on laptops!

## Take away points

(1) Hashing is a powerful and important technique. Many practical applications.
(2) Randomization fundamental to understand hashing.
(3) Good and efficient hashing possible in theory and practice with proper definitions (universal, perfect, etc).
(4) Related ideas of creating a compact fingerprint/sketch for objects is very powerful in theory and practice.

