

CS 579: Computational Complexity, Lecture 9

today: randomness

models of computation

- (deterministic) TMs } realistic
- nondeterministic TMs } hypothetical
- alternation TMs
- oracle TMs

Q: what is the "most" realistic model?

what is its power?

- randomness
- coin tosses [essentially unpredictable]
  - quantum mechanics [truly random?]
  - rand() [random enough]

ex: how to estimate election outcome? ~323 million ppl in US  
 fact: a random sample of 461 ppl will estimate the vote ~235 million voters } lots  
 ~126 million actually vote  
 - to error of 5%  
 - with probability of 95%  
 independent of # total voters

actual polls: - ~1000 for 3% error  
 - complex random sampling to fix biases

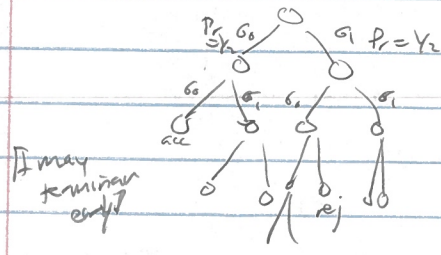
randomness in computation

- in inputs: algorithms work for "most" inputs ← "probabilistic algo"
- in algorithm: algorithm "mostly" works for all inputs ← "randomized algo"

def: randomized TM



$\sigma_0, \sigma_1$  transition functions  
 states  $Q$  are all "randomized"



- each state branches w/  $\sigma_0, \sigma_1$  w/p = 1/2  
 $\Rightarrow$  any k-step computation branch occurs w/p  $1/2^k$   
 $P_r[M_{acc}^x] = \sum_{\text{branches } l \text{ ending in } q_{acc}} P_r[M_{acc}^x \text{ takes } l]$

$$P_r[\text{rej}] = \sum_{q_{rej}}$$

$M$  runs in time  $t(n)$  if all branches terminate in  $\leq t(n)$  steps on  $M$  on  $x$

eg:  $L \subseteq \{0,1\}^*$  is in NP if

$$x \in L \Rightarrow \Pr[M \text{ acc } x] > 0 \quad \text{[} \exists \text{ accepting string ]}$$

$$x \notin L \Rightarrow \quad \quad \quad = 0$$

def:  $L \subseteq \{0,1\}^*$  is in RP    coRP    BPP    [ bounded error polynomial ] P

if randomized poly(n) TM  $M$

completeness:  $x \in L \Rightarrow \Pr[M \text{ acc } x] \geq \frac{1}{2}$     [ only false negatives ]     $= 1$      $\geq \frac{2}{3}$      $= 1$

soundness:  $x \notin L \Rightarrow \Pr[M \text{ acc } x] \leq \frac{1}{2}$      $= 0$      $\leq \frac{1}{3}$      $= 0$

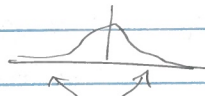
one sided error    two sided error  
 "randomized poly-time"    "bounded error probabilistic polynomial"

$$\Rightarrow P \subseteq RP \subseteq BPP$$

Q: robustness?  $\frac{1}{3}$  vs  $\frac{2}{3}$ ?

Central limit theorem:  $X_1, X_2, \dots, X_n$  are independent identically distributed (i.i.d.)

(i.i.d) random variables  $\Rightarrow \frac{X_1 + \dots + X_n}{n} \xrightarrow{n \rightarrow \infty}$  Gaussian distribution



tails decay fast

Thm [ Chernoff Bound ]:

$X_1, \dots, X_n \in \{0,1\}$  independent random variables [ not nec i.i.d ]

$X = \frac{1}{n} \sum X_i$  [ empirical average ]

$$\Pr[|X - \mathbb{E}X| \geq \epsilon] \leq 2 \exp\left(-\frac{\epsilon^2 n}{4}\right) \quad \text{[ in hw ]}$$

tail decays fast

lem (BPP amplification)  $L \in BPP_{\frac{1}{2}, \delta}$  TM  $M$   $x \in L \Rightarrow \Pr[\text{acc}] \geq \frac{1}{2} + \delta$

then  $BPP_{\frac{1}{2}, \delta} = \underbrace{BPP_{\frac{1}{2}, \delta}}_{= BPP} = BPP_{\frac{1}{2}, \frac{\delta}{2^n}}$      $x \notin L \Rightarrow \Pr[\text{acc}] \leq \frac{1}{2} - \delta$

PF:  $\geq$ : clear

$$BPP_{\frac{1}{2}, \delta} \subseteq BPP_{\frac{1}{2}, \frac{\delta}{2^n}} \quad M \text{ for } L \in BPP_{\frac{1}{2}, \delta}$$

also  $M'$  = on input  $x$

1) run  $M$  on  $x$   $t$  times

2) if  $\geq \frac{t}{2}$  accepts  $\Rightarrow$  acc

else  $\Rightarrow$  rej

analysis:  $X_i = \begin{cases} 1 & \text{ith run of } M \text{ on } x \text{ is correct, i.e. } M(x) = L(x) \\ 0 & \text{else} \end{cases}$

$$X = \frac{1}{n} \sum X_i$$

$M'$  on  $x$  correct if  $X > \frac{1}{2}$

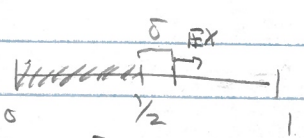
$$Pr[X \leq 1/2] \leq Pr[|X - \mathbb{E}X| \geq \delta] \leq 2 \exp\left(-\frac{\delta^2 t}{4}\right) \leq \frac{1}{2^n}$$

$$= \mathbb{E}\left[\frac{1}{n} \sum X_i\right]$$

Linearity of expectation

$$= \frac{1}{n} \sum \mathbb{E}X_i \geq 1/2 + \delta$$

$\delta = 1/n$



⇒ choose  $t = \Theta(n^3)$

Questions?

Q: are more randomized algo possible? [rand algo easier to find]

Thm [Solovay Strassen FF]: PRIMES = { p : p ∈ N is p-prime } ∈ coRP [poly(lg p) time]

Thm [Agrawal Kayal Saxena 04] ∈ P

Remark: Primality division takes poly(p) steps, O(lg p) bits

Prop: PRIMES ∈ BPP

PF: [two parts] [simplest primality algo]

algo:  $k \in \mathbb{N}$  on input  $n$ :

- 1) pick  $\alpha_1, \dots, \alpha_k \in \{0, 1, \dots, n-1\}$  uniformly at random
- 2) compute  $\beta_i = \alpha_i^{\frac{n-1}{2}} \pmod{n}$

can simulate w/ rand bits

$\in \{0, 1, \dots, n-1\}$

via repeated squaring  $\alpha^{2^l} \pmod{n}$

$= (\alpha^{2^{l-1}})^2 \pmod{n}$

⇒  $\alpha^{2^l}$  in  $l$  multiplications mod  $n$

↳ poly(lg n) work

$$\frac{n-1}{2} = \sum_{i=0}^{\lg n} b_i 2^i$$

lg n · poly(lg n) work

- 3) if  $\beta_1, \dots, \beta_k \notin \{\pm 1\}^k \Rightarrow$  not prime
- $\in \{\pm 1\}^k \Rightarrow$  "not prime" (with error)
- $\in \{\pm 1\}^k \setminus \{1\}^k \Rightarrow$  "prime"

[also is simple, analysis less so]

analysis: Prop:  $n$  prime  $\Rightarrow$  acc w/p  $1 - 1/2^k$  [rand algo]

not prime  $\Rightarrow$   $1/2^k$  ]  $k=2$  suffices

PF: Fact [number theory]:

$n$  prime  $\Rightarrow$  all  $0 < \alpha < n$   $\alpha^{\frac{n-1}{2}} = \pm 1 \pmod{n} \Rightarrow$  "prime"

and half yield +1

" -1

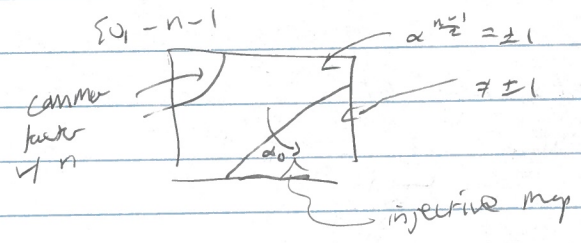
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 2018-02-14

$n$  not prime  $\Rightarrow \alpha, n$  share common factor  $\Rightarrow \alpha^{\frac{n-1}{2}} \not\equiv \pm 1 \pmod n$   
 $\Rightarrow$  "not prime"

Fact:  $\exists \alpha$  st  $\alpha^{\frac{n-1}{2}} \equiv -1$   
 $\Rightarrow \exists \alpha_0$  st  $\alpha_0^{\frac{n-1}{2}} \not\equiv \pm 1$

$\alpha, n$  no common factor  
 either  $\left\{ \begin{array}{l} \alpha^{\frac{n-1}{2}} = 1 \quad \text{all } \alpha \Rightarrow \text{"not prime"} \\ \alpha_0^{\frac{n-1}{2}} \not\equiv \pm 1 \quad \text{some } \alpha \end{array} \right.$

st  $\alpha_0$  no factor w/  $n$   $\alpha^{\frac{n-1}{2}} \equiv \pm 1 \pmod n$   
 $\Rightarrow (\alpha_0 \alpha)^{\frac{n-1}{2}} = \underbrace{\alpha_0^{\frac{n-1}{2}}}_{\not\equiv \pm 1} \underbrace{\alpha^{\frac{n-1}{2}}}_{\equiv \pm 1} \not\equiv \pm 1 \pmod n$



$\Rightarrow \geq \frac{1}{2}$  of  $\alpha$   $\alpha^{\frac{n-1}{2}} \not\equiv \pm 1 \pmod n \Rightarrow$  "not prime"

next time: ps 2 due  
 randomness vs other sources