

## Lecture 17 Sample Problems

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### Problem 17.1

Suppose you're given a training database of 200 examples. Each example includes a two-dimensional real-valued feature vector  $\vec{x}_i$  and a two-dimensional one-hot label vector  $\vec{\zeta}_i$ . As it turns out, though, all examples from class  $\vec{\zeta} = [1, 0]$  have the same  $\vec{x}$ , and all examples from class  $\vec{\zeta} = [0, 1]$  have the same class:

$$(\vec{x}_i, \vec{\zeta}_i) = \begin{cases} \left( \begin{bmatrix} 2 \\ -2 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \end{bmatrix} \right) & 1 \leq i \leq 100 \\ \left( \begin{bmatrix} -2 \\ 2 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \end{bmatrix} \right) & 101 \leq i \leq 200 \end{cases}$$

You want to train a one-layer neural net using a softmax output:

$$y_{ki} = \frac{e^{a_{ki}}}{\sum_m e^{a_{mi}}}, \quad \vec{a}_i = U\vec{x}_i$$

Since both  $\vec{y}$  and  $\vec{x}$  are 2D vectors,  $U$  is a  $2 \times 2$  matrix. Its coefficients are trained to minimize cross-entropy

$$u_{kj} \leftarrow u_{kj} - \eta \frac{\partial E}{\partial u_{kj}}, \quad E = -\frac{1}{200} \sum_{i=1}^{200} \sum_{k=1}^2 \zeta_{ki} \ln y_{ki}$$

With initial values  $u_{kj} = 0$ . Find  $u_{kj}$  after one round of gradient-descent training, assuming  $\eta = 1$ . Notice that after one round of training, the training corpus is classified with 100% accuracy! Notice also that the second row of  $U$  is -1 times the first row—that will always be true for a two-class softmax. Why?

### Problem 17.2

Suppose you're given a training database of just 4 training examples. Each example includes a two-dimensional real-valued feature vector  $\vec{x}_i$  and a two-dimensional one-hot label vector  $\vec{\zeta}_i$ :

$$(\vec{x}_i, \vec{\zeta}_i) = \begin{cases} \left( \begin{bmatrix} 1 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \end{bmatrix} \right) & i = 1 \\ \left( \begin{bmatrix} -1 \\ -1 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \end{bmatrix} \right) & i = 2 \\ \left( \begin{bmatrix} 1 \\ -1 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \end{bmatrix} \right) & i = 3 \\ \left( \begin{bmatrix} -1 \\ 1 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \end{bmatrix} \right) & i = 4 \end{cases}$$

You want to train a two-layer neural net using a softmax output and logistic hidden units:

$$z_{\ell i} = \frac{e^{b_{\ell i}}}{\sum_m e^{b_{m i}}}, \quad \vec{b}_i = V\vec{g}_i$$

$$y_{ki} = \sigma(a_{ki}), \quad \vec{a}_i = U\vec{x}_i$$

Suppose that  $U$  and  $V$  are initialized as all-zero matrices. Use forward propagation to compute  $\vec{y}_i$  and  $\vec{z}_i$  for each training token, then use back-propagation to compute  $\vec{\epsilon}_i$  and  $\vec{\delta}_i$  for each training token, then use the outer products to find

$$V^{(1)} = V^{(0)} - \frac{1}{n} \sum_{i=1}^n \vec{\epsilon}_i \vec{y}_i^T, \quad U^{(1)} = U^{(0)} - \frac{1}{n} \sum_{i=1}^n \vec{\delta}_i \vec{x}_i^T$$

Notice that, because of the symmetry of this problem, starting from an all-zero initialization will result in a neural net that never trains. In order to train this neural net, you would have to break the symmetry by starting with small random initial values in  $U$  and  $V$ .