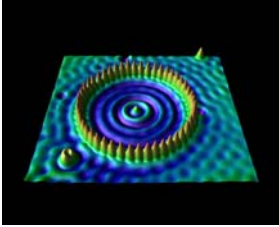


Lecture 25 – Surfaces - STM

Lecture 25: Surfaces – Scanning Tunneling Microscope



Special Presentation Today by Prof. Raffi BUDAKIAN
On
Magnetic Resonance Force Microscopy

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Outline

- Surfaces of crystals
- Example – surfaces of semiconductors – GaAs
- **Tunneling in quantum mechanics**
Particles can tunnel through barriers
- Scanning tunneling microscope -- **STM**
- Examples of GaAs, Mn on GaAs, adatoms on Cu, atoms on GaN surface that illustrate growth,
- AFM – very brief

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Surface structure – example: GaAs

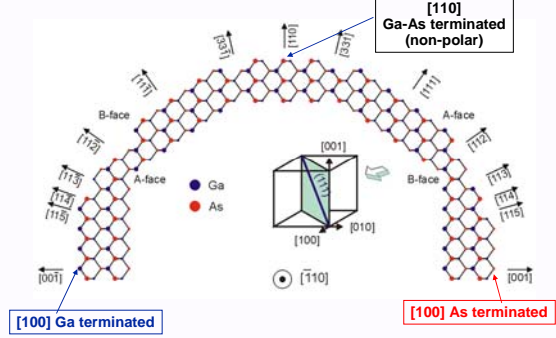


Figure from w3.rz-berlin.mpg.de/pc/ElecSpec/MBE/mbe.html Physics 460 F 2006 Lect 25 3

Surface structure – example: GaAs

(110) surface - Ga-As terminated
Note the As atoms are slightly higher than the Ga atoms

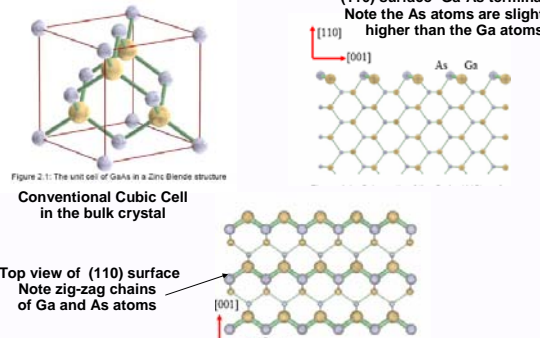


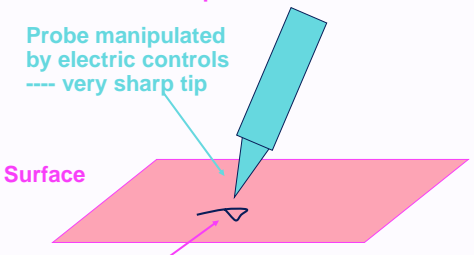
Figure 2.1: The unit cell of GaAs in a Zinc Blende structure
Conventional Cubic Cell in the bulk crystal
Top view of (110) surface
Note zig-zag chains of Ga and As atoms

Figures from PhD thesis of Dale Kitchen, U of Illinois, 2006 Physics 460 F 2006 Lect 25 4

“Seeing” atomic scale features

“Scanning Tunneling Microscope”
Measures electric current from tip to surface
as tip is moved

Probe manipulated by electric controls
---- very sharp tip

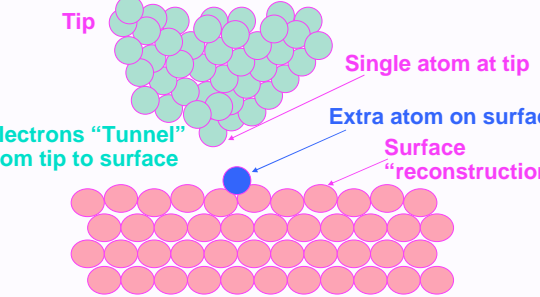


Surface
Feature on surface

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Scanning Tunneling Microscope

Nobel Prize 1985



Tip
Single atom at tip
Extra atom on surface
Surface “reconstruction”
Electrons “Tunnel” from tip to surface
Rate of tunneling extremely sensitive to distance of tip from surface due to quantum effects

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Lecture 25 – Surfaces - STM

“Tunneling” in quantum mechanics

- In Quantum Mechanics has a non-zero probability to be in region that is “classically forbidden”
- A particle can tunnel through a barrier even though it does not have enough energy to get over the barrier

Energy of particle $0 < E < V_0$

Potential Energy $V(x)$

$V=0$ $V=V_0$

Position x

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Schrodinger Equation

- Basic equation of Quantum Mechanics

$$[-(\hbar^2/2m)d^2/dx^2 + V(x)] \Psi(x) = E \Psi(x)$$

where we consider only one dimension

m = mass of particle
 $V(x)$ = potential energy at point x
 E = eigenvalue = energy of quantum state
 $\Psi(x)$ = wavefunction
 $n(x) = |\Psi(x)|^2$ = probability density

- Key issue for tunneling: What happens if the energy E is less than the potential V at some point x

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Schrodinger Equation II

- Consider the case where $V = \text{constant} = V_0$

$$[-(\hbar^2/2m)(d^2/dx^2) + V_0] \Psi(x) = E \Psi(x)$$

which can be written

or $(\hbar^2/2m)(d^2/dx^2) \Psi(x) = [V_0 - E] \Psi(x)$

$$(d^2/dx^2) \Psi(x) = -k^2 \Psi(x), \quad k^2 = (E - V_0)(2m/\hbar^2)$$

- If $E > V_0$, $\Psi(x) \sim e^{-ikx}$ (the same as before)
- If $E < V_0$, define $\kappa^2 = -k^2$, $\Psi(x) \sim e^{-\kappa x}$

The wavefunction decays exponentially in the region where $E < V$

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“Tunneling” in quantum mechanics

- In Quantum Mechanics has a non-zero probability to be in region that is “classically forbidden”
- A particle can tunnel through a barrier even though it does not have enough energy to get over the barrier

Energy of particle $0 < E < V_0$

Potential Energy $V(x)$

$V=0$ $V=V_0$

Position x

Probability of tunneling $= \frac{|A_{\text{Right}}|^2}{|A_{\text{Left}}|^2}$

$\Psi(x) = A_{\text{Left}} e^{-ikx}$ $\Psi(x) = A_{\text{Right}} e^{-ikx}$

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Scanning Tunneling Microscope

Tip

Single atom at tip

Extra atom on surface

Surface “reconstruction”

Electrons “Tunnel” from tip to surface

Probability for an electron to “tunnel” from the metal tip to the surface varies rapidly with the distance

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STM images – example: GaAs

Ga atoms

As atoms

(110) Surface

Figure 4.4: The empty states image (left) shows the Ga atoms at +2 V. The filled states image (right) shows the As atoms at -2 V. White lines are to guide eye to identical locations.

(110) Surface model top view

Image of As atoms Model showing Ga and As zig-zag chains

Figures from PhD thesis of Dale Kitchen, U of Illinois, 2006

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Lecture 25 – Surfaces - STM

STM image - Mn atom on GaAs

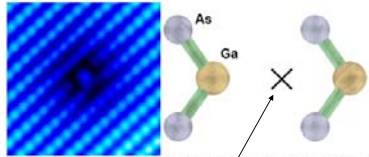


Figure 5.6. A Mn adatom is seen on the As sublattice (Field states, -1.5 V) in a 50x50 Å area. The Mn adatom is positioned between two of the As rows. The Mn sits between two Ga sites.

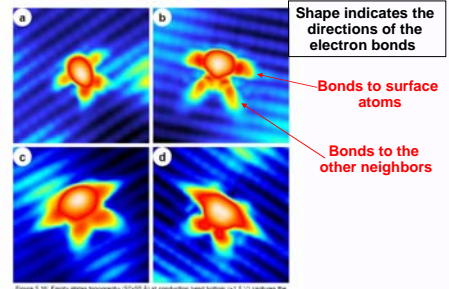
GaAs (110) Surface with one added Mn atom at position indicated by x

Figures from PhD thesis of Dale Kitchen, U of Illinois, 2006

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STM image – subsurface atoms



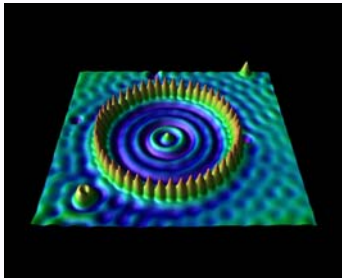
GaAs (110) surface with Zn, Mn, Fe or Co atoms substituted for Ga in the first layer below the surface

Figures from PhD thesis of Dale Kitchen, U of Illinois, 2006

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Observation of atoms, electron waves with Scanning Tunneling Microscope



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Observation of atoms, electron waves with Scanning Tunneling Microscope

Corral of atoms placed one at a time by maneuvering atoms with STM

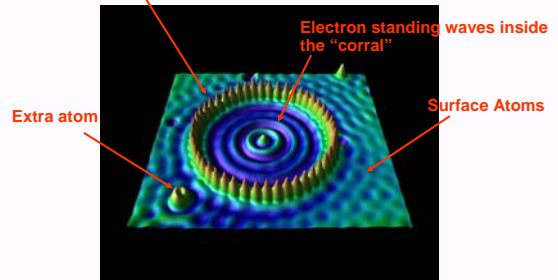


Figure by D. Eigler, et. al, IBM Research

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Surface of GaN observed by STM

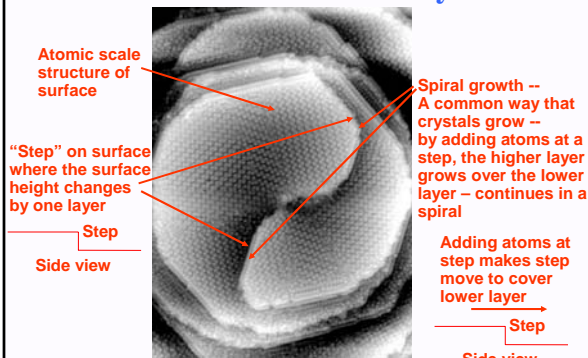


Figure by D. Smith, reproduced in Electronic Structure, by R. M. Martin, Cambridge University Press 2004

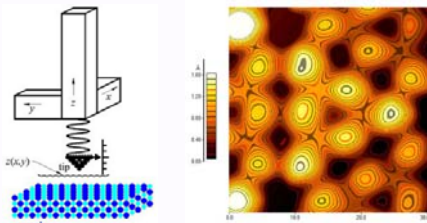
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Atomic Force Microscope

Article in Physics Today, December, 2006

Works for insulators,



Si (111) surface

From http://www.physik.uni-augsburg.de/exp6/research/sxm/sxm_e.shtml

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Lecture 25 – Surfaces - STM

Summary

- Surfaces of crystals
- Example – surfaces of semiconductors – GaAs
- **Tunneling in quantum mechanics**
Particles can tunnel through barriers
Exponential decay where $E < V$
- **STM – electrons tunnel through space between tip and sample**
Leads to the extreme sensitivity of tunneling current to the distance of tip to sample
Dominated by a single atom on tip
- Examples of GaAs, Mn on GaAs, adatoms on Cu, atoms on GaN surface that illustrate growth,
- AFM – very brief

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Next Lecture

- Nanostructures
Magnetic, superconducting
- Final lecture ---- Summary of course

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