

# Lecture 1 | Welcome to Phys 598 GTC

Goals for the course:

- Develop theoretical background for research on topological materials
- Understand modern developments in the theory of ~~non~~ interacting electrons
- Develop a foundation for understanding group theory in solid state physics

Guide to topics ① Space group symmetries

- ② Wannier functions and Band representations
- ③ Berry phases and Band topology
- ④ Topological crystalline insulators

course website: [courses.physics.illinois.edu/phys598g/c](https://courses.physics.illinois.edu/phys598g/c)

Course components:

- Lectures
- HWs (~6)
- Final Presentations

Hws graded primarily on completion rather than correctness

Office Hours: Mondays  
course website.

will send a poll

via Zoom link on

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I. Review/Refresher/Intro to Group Theory

Useful resources:

- Dresselhaus, "Applications of Group Theory to Physics of Solids"

- Bradley & Cracknell "Mathematical Theory of Symmetry in Solids"

- Serre "Linear Representations of Finite Groups"

Starting point:  $H = \frac{p^2}{2m} + V(\vec{x}) + \dots$

$$H|\psi\rangle = E|\psi\rangle$$

$$x \rightarrow x'$$

$$p \rightarrow p'$$

$$|\psi\rangle \rightarrow |\psi'\rangle$$

In this course, we will be interested in transformations

$$\vec{x}' = R\vec{x} + \vec{d} \quad R \text{ is a } 3 \times 3 \text{ matrix}$$

$$\vec{p}' = R\vec{p}$$

$$R^T = R^{-1}$$

Intuitive Facts: ① IF I have two transformations,  
I can first do one then the other  
this is also a transformation

② I can always undo a transformation,  
and that's also a transformation

③ I can always do a transformation that does absolutely nothing

### Definition

a set  $G$  is a group if:

- ① there's a binary operation  $\cdot$  such that if  $g_1 \in G$  and  $g_2 \in G$ , then  $g_1 \cdot g_2 \in G$ ,  $g(g_2 g_3) = (g g_2) g_3$
- ③  $E \in G$  such that  $g \cdot E = E \cdot g = g$  for all  $g \in G$ .  $E$  is the identity

(2) If  $g \in G$  then there exists  $g^{-1} \in G$   
 $g \cdot g^{-1} = g^{-1} \cdot g = E$

Examples of groups: The set unitary operators  
on a  $d$ -dimensional Hilbert space is a  
group  $U(d)$

- the binary operation is matrix multiplication

$$- U_i^\dagger = U_i^{-1}$$

- $U_1 U_2$  is unitary if  $U_1, U_2$  unitary
- Rotations in 3D form a group (SO(3))  
special orthogonal group
  - determinant 1, 3x3 orthogonal rotation matrices
- Translations in 3D space form a group
  - binary operation is vector addition  
 $\vec{v}_1, \vec{v}_2 \rightarrow \vec{v}_1 + \vec{v}_2$



- identity translation:  $\vec{0}$
- inverses  $\vec{v}^{-1} = -\vec{v}$

Some important facts about groups:

- Given a group  $G$ , we can consider subsets HCG that are also groups:

HCG is a subgroup if:

1.  $E \in H$

2.  $H$  closed under multiplication

3.  $\Gamma$  closed under taking inverses

Examples:

- Consider the rotation group  $SO(3)$ . We can consider all rotations about some fixed axis  $\hat{n}$ . This is a subgroup  $SO(2) \subset SO(3)$
- Consider  $\mathbb{R}^3 = \{(x, y, z)\}$  3D translation group. Pick 3 linearly independent vectors  $\vec{t}_1, \vec{t}_2, \vec{t}_3$

$$T = \left\{ n\vec{t}_1 + m\vec{t}_2 + l\vec{t}_3, n, m, l \in \mathbb{Z} \right\}$$

this is a subgroup  $T \subset \mathbb{R}^3$  known as

a Bravais lattice

We can learn about the structure of  $G$  from a subgroup  $H$ .

we can define a right coset  $Hg = \{hg \mid h \in H\}$  for  $g \in G$

Important fact: every  $g' \in G$  is in exactly one right coset of  $H$ .

proof: first:  $E \in H$   
 $Hg' = \{hg' \mid h \in H\} \ni Eg' = g'$

So  $g'$  is in at least one coset. Now we need to show that if

$$\Rightarrow Hg_1 = Hg_2 \quad \begin{array}{cc} g' \in Hg_1 & g' \in Hg_2 \\ \downarrow & \downarrow \end{array}$$

$$g' = h_1 g_1 \quad g' = h_2 g_2$$

$$h_1 g_1 = h_2 g_2$$

$$h_2^{-1} (h_1 g_1) g_1^{-1} = h_2^{-1} (h_2 g_2) g_1^{-1}$$

$$H \ni h_2^{-1} h_1 = g_2 g_1^{-1}$$

$$H = Hg_2g_1^{-1} = \{hg_2g_1^{-1} \mid h \in H\}$$

$$Hg_1 = Hg_2$$

$$h' = hg_2g_1^{-1} \\ = \{h' \mid h \in H\}$$

$$G = \overset{E}{H} \cup Hg_1 \cup Hg_2 \cup \dots \cup Hg_{n-1}$$

$\uparrow$   $n$  right cosets

right index of  $H$  in  $G$   $|G:H|$

$\{E, g_1, g_2, \dots, g_{n-1}\}$  coset representatives of

$H$

Example: 1D Bravais lattice  $T = \{n\hat{x}, n \in \mathbb{Z}\}$