

Lecture 11

Announcement - No office hours tomorrow  
- Make up office hrs Mon 4pm

Recap: Little group  $G_k$  of a pt  $\vec{k}$  in Brillouin zone

$$G \supset G_k = \{ \{R|\vec{a}\} \in G \mid R\vec{k} = \vec{k} \text{ modulo reciprocal lattice vectors} \}$$

$$R\vec{k} \equiv \vec{k}$$

equivalent modulo reciprocal lattice vectors

$$\text{if } g_k \in G_k \text{ then } \bigcup_{g_k} |\Psi_{nk}\rangle = \sum_n |\Psi_{ng_k}\rangle B_{nn}^k(g_k)$$

$$= \sum_n |\Psi_{n k}\rangle B_{nk}^k(g_k)$$

$\rightarrow \{ B_{nk}^k(g_k) \mid g_k \in G_k \}$  form a representation

of the little group  $G_k$

Irreducible representations of  $G_k$ :

- $G_k$  symmorphic - we can determine irreps from irreps of  $\bar{G}_k = G_k/\Gamma$  (little coset)
- $G_{\Gamma=0}$  irreps are also determined by irreps

of  $\overline{G}_{\Gamma=0} = \overline{G}$

- Nonsymmetric  $G_k^>$  - we have to do more work

Example:  $P2_1 = \langle T, \{C_{z\bar{z}} \mid \frac{1}{2}\vec{e}_3 = \frac{1}{2}c\vec{z}\} \rangle$

$G_\Gamma \rightarrow$

	E	$\{G_i \mid \frac{1}{2}\vec{e}_3\}$	$\{E \mid \vec{t}\}$
$\Gamma_1$	1	1	1
$\Gamma_2$	1	-1	1

$\vec{t} = t_1\vec{e}_1 + t_2\vec{e}_2 + t_3\vec{e}_3$

$G_{Z=(\frac{1}{2}\vec{b}_3)} \rightarrow$

	E	$\{G_i \mid \frac{1}{2}t_3\}$	$\{E \mid \vec{t}\}$
$Z_1$	1	+i	$e^{-i\pi t_3}$
$Z_2$	1	-i	$e^{-i\pi t_3}$

What does this tell us about electrons?

$$(*) \quad H |\Psi_{nk}\rangle = E_{nk} |\Psi_{nk}\rangle$$

$$U_{g_k} |\Psi_{nk}\rangle = \sum_m |\Psi_{mk}\rangle B_{mn}^k(g_k) \quad g_k \in G_k$$

$g_k$  is a symmetry of  $H \Rightarrow$

$$H U_{g_k} = U_{g_k} H$$

Use this on  $*$

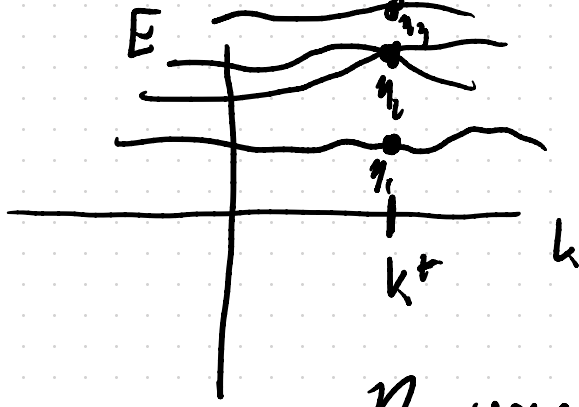
$$H U_{g_k} |\Psi_{nk}\rangle = U_{g_k} H |\Psi_{nk}\rangle = E_{nk} U_{g_k} |\Psi_{nk}\rangle$$

$\bigcup_{g_k} |\psi_{nk}\rangle$  is an eigenstate of  $H$  w/ eigenvalue

$E_{nk}$   $\{|\psi_{nk}\rangle\}$  transforms in a reducible representation of  $G_k$  determined by  $B_{nm}^k(g_k)$

Schur's lemma - this representation is reducible, and  $B^k = \bigoplus_i \eta_i$   $\eta_i$  irreducible, and

states in the same representation are degenerate

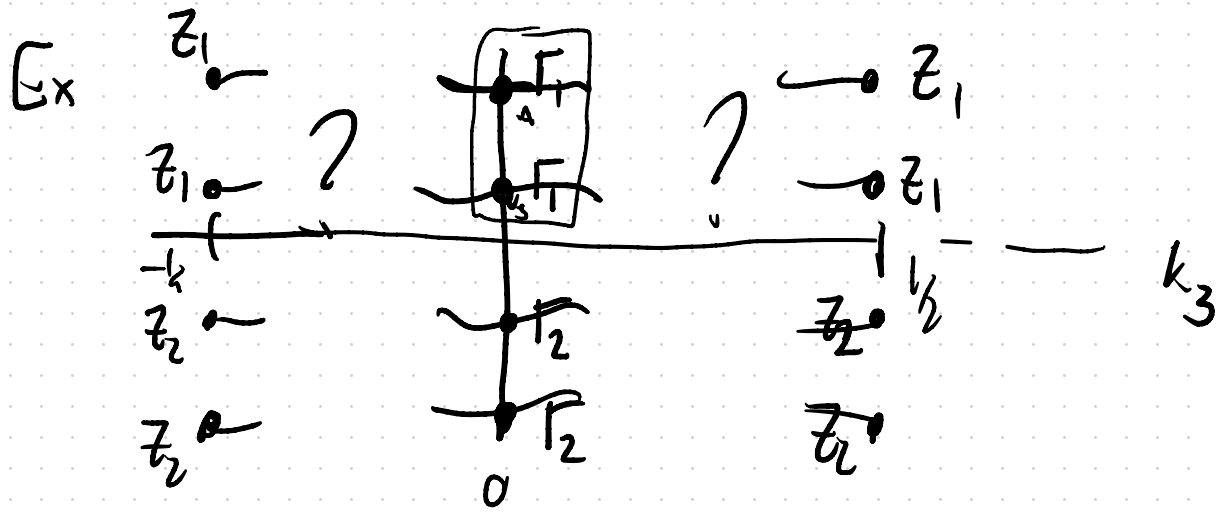


$\eta_i$  imp at  $G_{k^*}$

In our  $PZ_1$  example:

- all states at  $T$  can be labelled either  $\tau_1$  or  $\tau_2$
- all states at  $Z$  can be labelled either  $Z_1$  or  $Z_2$

$$H = \begin{pmatrix} H_{AA} & H_{AB} \\ H_{BA} & H_{BB} \end{pmatrix}$$



To connect these bands, we can look at compatibility relations for the space group

Idea: let's consider  $\vec{k}$  and a nearby point

$$\vec{k} + t\delta\vec{k} \quad t \text{ real}$$

$\delta\vec{k}$  is a fixed vector

$$h \in G_{\vec{k} + t\delta\vec{k}} \text{ for all } t \text{ then } h \in G_{\vec{k} + 0\delta\vec{k}} = G_{\vec{k}}$$

so the little group  $G_{\vec{k} + t\delta\vec{k}} \subset G_{\vec{k}}$

little group of the line  $\{\vec{k} + t\delta\vec{k}\}$

Given  $\rho_{\vec{k}}: G_{\vec{k}} \rightarrow U(V)$  an irrep of  $G_{\vec{k}}$  we can

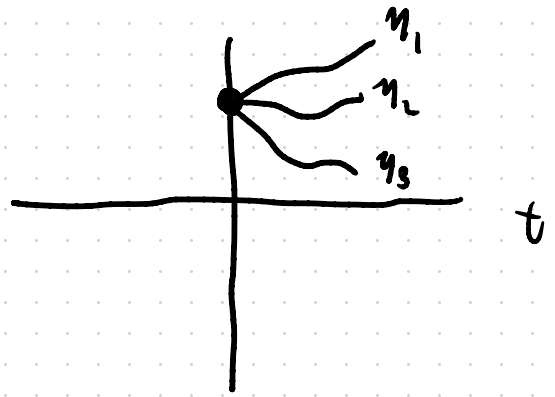
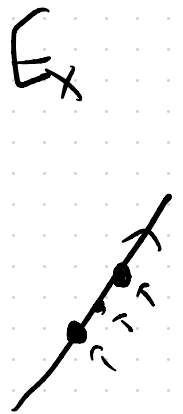
define  $\rho_{\vec{k}} \downarrow G_{\vec{k} + t\delta\vec{k}} = \mathcal{N}$



$\eta$  is the restriction of  $\rho_k$  to  $G_{k+t\delta_k}$

$$\eta(h) = \rho_k(h) \quad \text{for } h \in G_{k+t\delta_k}$$

$$\eta = \bigoplus_i \eta_i \quad \text{for } \eta_i \text{ irrep of } G_{k+t\delta_k}$$



$$\text{if } \rho_k \downarrow G_{k+t\delta_k} = \eta_1 \oplus \eta_2 \oplus \eta_3$$

$$\rho_k \downarrow G_{k+t\delta_k} = \bigoplus_i \eta_i \quad \text{are}$$

known as compatibility relations

Let's look at our PZ<sub>1</sub> example

$$\begin{array}{ccc} \Gamma & \Lambda & Z \\ (0,0,0) & (0,0,t) & (0,0,\frac{1}{2}) \end{array}$$

$$t \rightarrow 0 \quad \Lambda \rightarrow \Gamma$$

$$t \rightarrow \frac{1}{2} \quad \Lambda \rightarrow Z$$

$$G_\Lambda = \langle T, \{C_{22} | \frac{e_3}{2}\} \rangle = G$$

Irreps of  $G_\Lambda$        $\rho_t(\{E | \vec{t}\}) = e^{-2\pi i t t_3}$

$$\rho_t(\{C_{22} | \frac{1}{2}\vec{e}_3\})^2 = \rho_t(\{E | \vec{e}_3\}) = e^{-2\pi i t}$$

$$\downarrow$$

$$P_t(\{C_{22} | \frac{1}{i} \vec{e}_3\}) = \begin{cases} +e^{-i\pi t} \\ -e^{-i\pi t} \end{cases}$$

	E	$\{C_{22}   \frac{1}{i} \vec{e}_3\}$	$\{E   \vec{t}\}$
$\Gamma_1$	1	1	1
$\Gamma_2$	1	-1	1

	E	$\{C_{22}   \frac{1}{i} \vec{e}_3\}$	$\{E   \vec{t}\}$
$Z_1$	1	+i	$e^{-i\pi t_3}$
$Z_2$	1	-i	$e^{-i\pi t_3}$

	E	$\{C_{22}   \frac{1}{i} \vec{e}_3\}$	$\{E   \vec{t}\}$
$\Lambda_1$	1	$+e^{-i\pi t}$	$e^{-2i\pi t t_3}$
$\Lambda_2$	1	$-e^{-i\pi t}$	$e^{-2i\pi t t_3}$

$$t \rightarrow \frac{1}{2} \quad \Lambda \rightarrow Z$$

$$\Lambda_1 \rightarrow Z_2$$

$$\Lambda_2 \rightarrow Z_1$$

$$t \rightarrow 0; \quad \Lambda \rightarrow \Gamma$$

$$\Lambda \rightarrow \Gamma$$

$$\Lambda_1 \rightarrow \Gamma_1$$

$$\Lambda_2 \rightarrow \Gamma_2$$

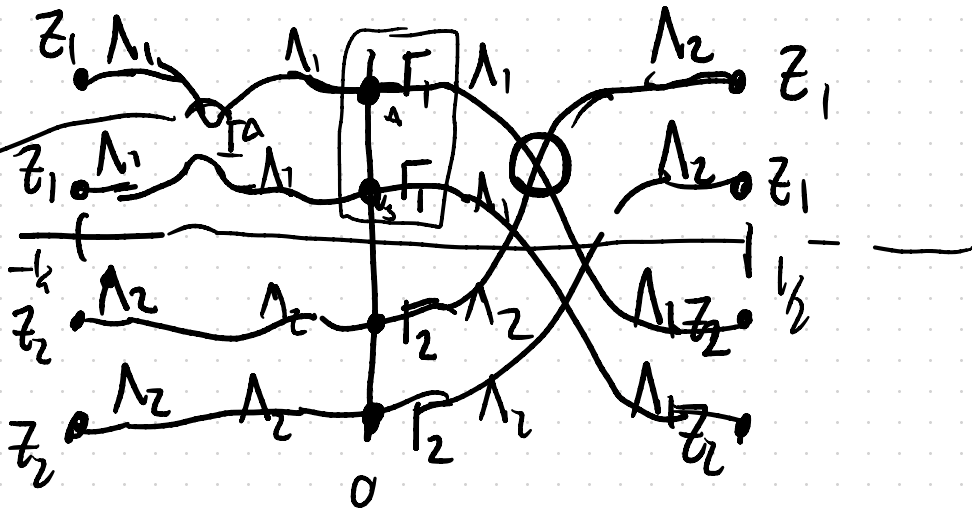
$$t \rightarrow -\frac{1}{2} \quad \Lambda \rightarrow Z$$

$$\Lambda_1 \rightarrow Z_1$$

$$\Lambda_2 \rightarrow Z_2$$

PZ<sub>1</sub>

not stable to perturbations



$$H = \begin{pmatrix} x & \Delta \\ \Delta & x \end{pmatrix}$$

- Compatibility relations force bands to come in groups

the minimum number of isolated bands is 2  $\Gamma_1 \rightarrow z_1 \rightarrow \Gamma_2 \rightarrow z_2$

- Nonsymmorphic space groups have stable, unremovable band crossings

Lessons so far

- ① Bloch states w/ momentum  $\vec{k}$  transform in representations of the little group  $G_{\vec{k}}$
- ② All states that transform in the same irrep of  $G_{\vec{k}}$  are degenerate, and this degeneracy can't be split w/o breaking a symmetry, (Schur's lemma)

③ Schur's lemma - when bands cross, the crossing can't be gapped by small perturbations if the bands carry different irreps

④ Screw (also glide) symmetries require nonremovable band crossings along high symmetry lines