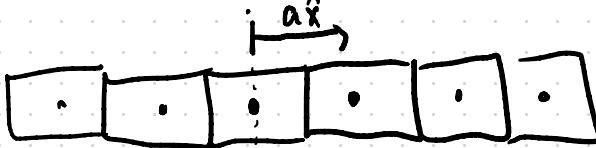


# Lecture 20

1D Chain w/ Inversion & Time-reversal Symmetry

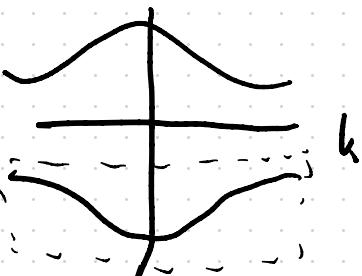


$$\begin{array}{c} \{I|0\} \\ |W_{SL}\rangle \\ |W_{PR}\rangle \end{array}$$

$$h(k) = (\Delta + t_1 \cos ka) \sigma_z + t_2 \sin ka \sigma_y$$

$$B(I) = \sigma_z$$

$$B(T) = \chi$$



$$\text{Energies: } E_{\pm}(k) = \pm \sqrt{(\Delta + t_1 \cos ka)^2 + (t_2 \sin ka)^2}$$

$$t_2 \neq 0$$

$\Delta = t_1 \rightarrow$  energy gap closes

$$\Delta = -t_1$$

Let's compute the Berry phase for the negative energy band in two limits:

①  $t_1 = t_2 = 0, \Delta > 0$

$$h(k) \rightarrow \Delta \sigma_z = \begin{pmatrix} \Delta & 0 \\ 0 & -\Delta \end{pmatrix} \quad E_{\pm}^{(k)} = \pm \Delta$$

$$h(k) u_{\pm k} = E_{\pm}(k) u_{\pm k}$$

$$u_{+k} = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \quad u_{-k} = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

$$|\Psi_{\pm k}\rangle = \sum_a u_{\pm k}^a |\chi_{ak}\rangle = |\chi_{p\vec{k}}\rangle$$

tight-binding Berry connection:  $A^{--} = i U_{-k}^+ \frac{\partial}{\partial k} U_{-k} = 0$

tight-binding Berry phase:  $\oint_0^{2\pi/a} dk A^{--} = 0$

What does this mean?

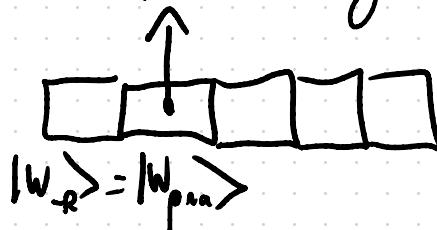
$$P \times P \text{ eigenvalues: } n\alpha + \frac{a}{2\pi} \hat{\phi} \quad n \in \mathbb{Z}$$

$\rightarrow$  Wannier functions are centered at the origin  
of each unit cell

$$|\Psi_{\pm k}\rangle = \sum_a U_{\pm k}^a |\chi_{ak}\rangle = |\chi_{p\vec{k}}\rangle$$

$$|\Psi_{-k}\rangle = |\chi_{pk}\rangle = \sum_n e^{ik(na)} |W_{pn}\rangle$$

$|W_{-n\alpha}\rangle = |W_{p,n\alpha}\rangle$  - Wannier fns in this limit  
are just tight-binding basis functions.



$$t_1 = t_2 = 0 \\ \Delta > 0$$

②  $\Delta=0, t_1=t_2=t>0$

$h(k) = (0 + t \cos ka) \hat{\sigma}_z + t \sin ka \hat{\sigma}_y$

$E_{\pm} = \pm \sqrt{(t \cos ka)^2 + (t \sin ka)^2} = \pm |t|$

$h(k) = t \hat{n} \cdot \vec{\sigma}$        $\hat{n} = (\sin \theta_k \cos \phi_k, \sin \theta_k \sin \phi_k, \cos \theta_k)$

$$U_{+k} = \begin{pmatrix} \cos \frac{\theta_k}{2} & e^{i\phi_k} \\ i e^{-i\phi_k} & \sin \frac{\theta_k}{2} \end{pmatrix}$$



$$\Theta_k = ka$$

$$\Phi_k = \frac{\pi}{2}$$

$$U_- = \begin{pmatrix} \sin \frac{\theta_k}{2} & -e^{i\phi_k} \cos \frac{\theta_k}{2} \\ -e^{-i\phi_k} \cos \frac{\theta_k}{2} & \end{pmatrix}$$

$$U_{-k} = \begin{pmatrix} \sin \frac{ka}{2} & \\ -i \cos \frac{ka}{2} & \end{pmatrix} e^{-ika/2}$$

$$\left[ U_{+k} = \begin{pmatrix} \cos \frac{ka}{2} & \\ i \sin \frac{ka}{2} & \end{pmatrix} e^{-ika/2} \right]$$

We need  $U_{\pm k + \frac{2\pi}{a}} = U_{\pm k}$

$$u_{-k} = \frac{-i}{2} \begin{pmatrix} 1 - e^{-ika} \\ 1 + e^{-ika} \end{pmatrix} \quad \checkmark$$

$$\begin{aligned} A^- &= i u_{-k}^+ \frac{\partial}{\partial k} u_{-k} \\ &= i \left[ \frac{i}{2} (1 - e^{-ika}, 1 + e^{-ika}) \right] \left[ -\frac{i}{2} \begin{pmatrix} ia e^{-ika} \\ -ia e^{-ika} \end{pmatrix} \right] \\ &= \frac{i}{4} \left[ ia e^{-ika} - ia - ie^{-ika} - ia \right] = \frac{a}{2} \end{aligned}$$

Berry phase:  $\varphi = \int_0^{2\pi/a} dk A^- = \frac{a}{2} \left( \frac{2\pi}{a} \right) = \pi$

Physically:  $P \times P$  eigenvalues  $na + \frac{q}{2\pi}\varphi \quad n \in \mathbb{Z}$

$$na + \frac{q}{2}$$

Wannier functions in this limit are centered at  
the boundary between unit cells

Bloch eigenstates

$$|\Psi_{-k}\rangle = \sum_{a,n} u_{-k}^a e^{ikna} |W_{a,na}\rangle$$

$$= -\frac{i}{2} \sum_n e^{ikna} \left( |W_{s,na}\rangle - e^{-ika} |W_{s,na}\rangle \right)$$

$$+ |W_{p,na}\rangle + e^{i\frac{ka}{2}} |W_{p,na}\rangle)$$

$$= -\frac{i}{2} \sum e^{i\frac{ka}{2}} (|W_{s,na}\rangle - |W_{s,(n+1)a}\rangle + |W_{p,na}\rangle + |W_{p,(n+1)a}\rangle)$$

$$|W_{-na}\rangle = -\frac{i}{2} (|W_{s,na}\rangle + |W_{p,na}\rangle + |W_{p,(n+1)a}\rangle - |W_{s,(n+1)a}\rangle)$$

na

$\downarrow s$

$\uparrow p$

$\downarrow p$

$\downarrow s$

$0$

$(n+\frac{1}{2})a$

# Inversion symmetry & Wannier centers:

$P \times P$

$P$  is invariant under inversion

$x \rightarrow -x$  under inversion

If we have inversion symmetry, and if  $|w\rangle$  is an eigenvector of  $P \times P$

$$P \times P |w\rangle = r |w\rangle$$

then  $U_I |w\rangle$  is also an eigenvector of  $P \times P$

w/ eigenvalue  $P \times P U_I |w\rangle = r_I U_I |w\rangle$

$$r_I = -r$$

The spectrum of  $P\chi P$  is closed under inversion

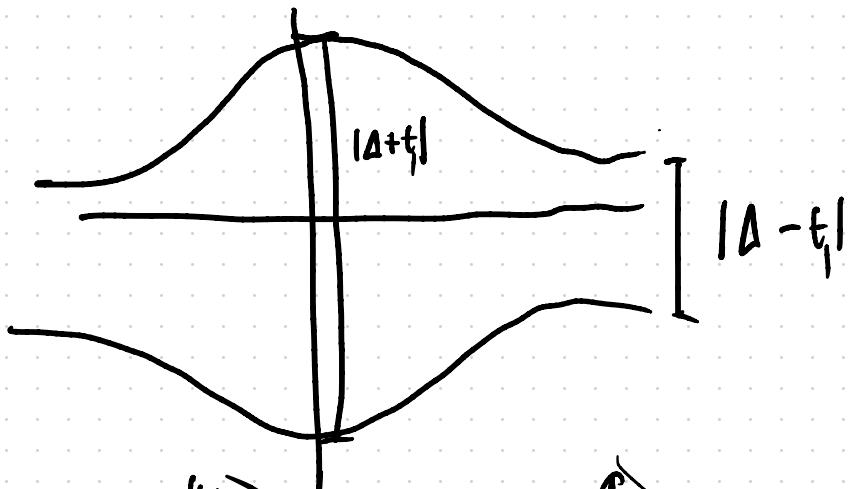
For a single occupied band:  $\left\{ n\alpha + \frac{a\varphi}{2\pi}, n \in \mathbb{Z} \right\}$

for this to be closed under inversion,

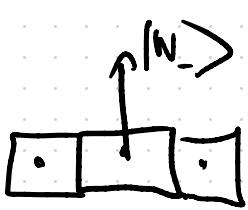
$$-n\alpha - \frac{a\varphi}{2\pi} = m\alpha + \frac{a\varphi}{2\pi}$$

$$\Rightarrow \varphi = \begin{cases} 0 \\ \pi \end{cases}$$

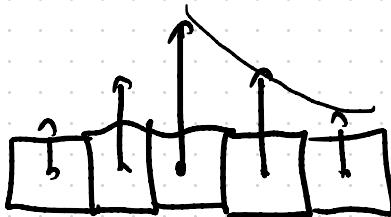
Inversion Symmetry quantizes the Berry phase for a single occupied band



$$t_1 = t_2 = 0 \quad \Delta \neq 0$$

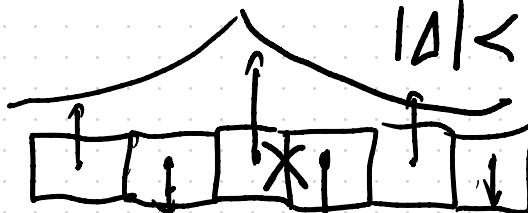


$$t_1 = t_2 = 0$$



$$|\Delta| > |t_1|$$

$$t_2 = 0$$



$$|\Delta| < |t_1|$$

$$\varphi = 0$$

$$t_2 \neq 0$$

$$|\Delta| > |t_1| \rightarrow \varphi = 0$$

$$|\Delta| < |t_1| \rightarrow \varphi = \pi$$

Tight-Binding Wilson loop

Projection operators in terms of  $H$

$$P = \sum_{n \text{ occupied}} |\Psi_n \times \Psi_n|^{\dagger}$$

tight-binding projection operator

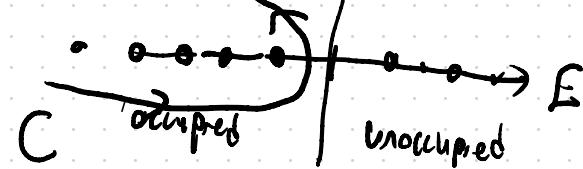
$$\tilde{P}_{ab}(k) = \sum_{n=1}^{N_{\text{occ}}} U_{nk}^a (U_{nk}^b)^*$$

$$W_{k_+ \leftarrow 0}^{mm}(\vec{k}_+) = \vec{U}_{nk_+}^t \cdot \prod_{k'_+}^{\vec{k}_+ \leftarrow 0} \tilde{P}(k'_+, k_+) \cdot \vec{U}_{nk_+}^m$$

$$= P_e^{i S_d k_n A_m^{tb}(k'_+, k_+)}$$

Symmetries:  $g = \{\bar{g}, \bar{s}\}$

$$U_g |\chi_{ak}\rangle = |\chi_{b \bar{g}k}\rangle \beta(\bar{g}) e^{-i \bar{g}k \cdot \bar{s}}$$



$$1 = \frac{1}{2\pi i} \oint_C \frac{dz}{z - E_n}$$

$$P = \frac{1}{2\pi i} \oint_C \frac{1}{z - H} dz$$

$$= \frac{1}{2\pi i} \sum_{\text{all states}} \oint_C dz \underbrace{\langle \Psi_n | \Psi_n |}_{z - E_n}$$