Phys. 598SC – Fall 2011 Prof. A. J. Leggett

Lecture 3. Phenomenological theory of the EM properties of superconductors^{*}

 London theory (F. and H. London, 1935) [Recap on significance of Meissner effect] Consider first *T*=0, assume all electrons behave in "superconducting" way. Eqn. of motion in normal metal would be

$$\frac{d\boldsymbol{J}}{dt} = \frac{ne^2}{m}\boldsymbol{E} - \frac{\boldsymbol{J}}{\tau} \Longrightarrow \sigma = \frac{ne^2\tau}{m}$$

Experimentally $\sigma \rightarrow \infty$, so $1/\tau \rightarrow 0$. Thus eqn. of motion of electrons in superconductor is

$$\frac{d\boldsymbol{J}}{dt} = \frac{ne^2}{m}\boldsymbol{E}$$

$$Maxwell \downarrow$$
$$\Rightarrow \frac{d}{dt} \nabla \times \boldsymbol{J} = \boldsymbol{\nabla} \times \frac{d\boldsymbol{J}}{dt} = \frac{ne^2}{m} \boldsymbol{\nabla} \times \boldsymbol{E} = \frac{ne^2}{m} \left(\frac{-\partial \boldsymbol{B}}{\partial t}\right)$$

i.e.

$$\frac{\partial}{\partial t} \left\{ \nabla \times \boldsymbol{J} + \frac{ne^2}{m} \boldsymbol{B} \right\} = 0$$

$$\Rightarrow \quad \nabla \times \boldsymbol{J} + \frac{ne^2}{m} \boldsymbol{B} = const. \quad \text{(in time)}$$

So far, nothing new – above simply a consequence of infinite conductivity.

[in particular, $\Phi + (m/ne^2) \oint \mathbf{J} \cdot d\mathbf{l} = \text{const.} - (\text{Lippmann's rule})$]

But: Meissner shows B=0 in interior of superconductor. So, Londons postulate that the const.=0, i.e.

$$\nabla \times \boldsymbol{J} + \frac{ne^2}{m} \boldsymbol{B} = \boldsymbol{0}$$
 - London eqn. (*)

Combine with Maxwell eqn. $\nabla \times H = J + \partial D/\partial t \leftarrow$ zero if t – independent situation \Rightarrow

$$\boldsymbol{\nabla} \times (\boldsymbol{\nabla} \times \boldsymbol{B}) = \frac{-ne^2}{m} \mu_0 \boldsymbol{B}$$

^{*} Refs: F. London, Superfluids, Tinkham ch. 1, Rickayzen. Note, historically this material is all pre-BCS.

or since $\nabla \cdot \boldsymbol{B} \equiv 0$, (and $\nabla \cdot \boldsymbol{J} \equiv 0$ in time-independent situation) $\nabla^2 \boldsymbol{B} = \lambda_L^{-2} \boldsymbol{B}$ and $\nabla^2 \boldsymbol{J} = \lambda_L^{-2} \boldsymbol{J}$

with
$$\lambda_L^2 \equiv \frac{m}{ne^2} \left(= \left(\frac{c^2}{\omega_p^2 \epsilon} \right) \right) \sim (10^{-5} \text{ cm})^2 - \text{ London penetration depth (de Haas-Lorentz)}$$

Note λ_L is just HF skin depth in N phase, but now has quite different significance: e.g. infinite flat-plate geometry:

Screening currents on surface, B screened out in $o(\lambda_L)$.

Note: at surface of a superconductor occupying an infinite half-space, $\hat{n} \cdot B = 0$, i.e. magnetic field is parallel to surface. Proof by reduction ad absurdum: if, $\hat{n} \cdot B \neq 0$ i.e. $B_z \neq 0$ just inside superconductor, then from div B = 0 and translation invariance in parallel direction, $B_z \neq 0$ infinitely far into superconductor. But then by London eqn. $\partial J_x / \partial y$ and/or $\partial J_y / \partial x \neq 0$, violating condition of TI || to surface. For a finite geometry, this argument suggests that **B** is approximately parallel to surface provided all dimensions are $\gg \lambda_L$ (e.g. macroscopic sphere).

For samples with one or more dimensions $\leq \lambda_L$, situation more complicated: e.g. for infinite thin plate, $d \leq \lambda_L$, effective no. of electrons (n) reduced by factor ~ d/λ where λ is "effective" 2D penetration depth. Thus, $\lambda^2 \sim \lambda_L^2 \left(\frac{\lambda}{d}\right) \Longrightarrow \lambda_{2D} \sim \frac{\lambda_L^2}{d}$. Note that for a "2D" slab the current does <u>not</u> flow principally around boundaries but through bulk!

Finite *T*: $n_s(T)$ of *e*'s superconducting, $n_n(T) \equiv n - n_s(T)$ "normal". At dc, normal *e*'s don't contribute \Rightarrow formula same except

$$\lambda_L^2(T) = \frac{m}{n_s(T)c^2\mu_o} \equiv \frac{n}{n_s(T)} \cdot \lambda_L^2(0)$$

Assume $n_s \to n$ at T=0, and $\to 0$ at $T\to T_c$, then $\lambda_L(T) \to \infty$ as $T\to T_c$. If we make "default" assumption $n_s(T)\sim T_c$ - T for $T\to T_c$, then $\lambda_L(T)\sim (T_c-T)^{-1/2}$. (Approximate empirical relation: $\lambda^2(T)\sim\lambda^2(0)(1-(T/T_c)^4)^{-1/2})$

Experimental measurement of λ : inductance of cavity, colloid suspensions Josephson effect... Note that generally it is easier to measure <u>changes</u> in λ with some parameter (e.g. T) than absolute value.

<u>2</u> <u>Implications of London eqn.</u>

Since $B \equiv \nabla \times A$, the London equation^(*) can be rewritten

$$\nabla \times \left(\boldsymbol{J} + \frac{ne^2}{m} \boldsymbol{A} \right) = \boldsymbol{0}$$

i.e.
$$J + \frac{ne^2}{m}A = \nabla \psi(r)$$

In simply-connected sample, in region beyond λ_L where J = 0, $A = \nabla \psi \Rightarrow \nabla \times A$ (= B) = $0 \Rightarrow A$ = longitudinal & can be gauged away. Hence in any simply-connected "large" sample, can write for <u>all</u> **r**,

$$J^{(r)} = \frac{-ne^2}{m}A(r)$$

But in longitudinal case we know *A* can induce no $\mathbf{J} \Rightarrow$ system knows difference" between L and T forms of *A* even in limit $\mathbf{q} \rightarrow 0$.

Perturbation theory: in presence of *A*.

 $p_i \rightarrow p_i - eA_i(r)$

$$\Rightarrow \mathcal{H}' = -\sum_{i} e \mathbf{p}_{i} \frac{\mathbf{A}}{m} (r_{i}) + \sum_{i} e \frac{\mathbf{A}^{2}}{2m} (r_{i})$$

But current

$$\boldsymbol{j}(\boldsymbol{r}) = \frac{e}{m} \sum_{i} \left(\boldsymbol{p}_{i} - e\boldsymbol{A}(\boldsymbol{r}_{i}) \right)$$

$$\Rightarrow \frac{\delta J(r)}{\delta A(r')} = \sum_{n} \frac{\langle 0|J(r)|n\rangle \langle n|J(r')|0\rangle}{E_n - E_o} - \frac{ne^2}{m} \delta(r - r')$$

take F.T.:

$$\frac{\delta J_k}{\delta A_k} = \sum_n \frac{|\langle 0|J_k|n\rangle|}{E_n - E_o} - \frac{ne^2}{m}$$

For L case, f-sum rule ensures $\delta J_k / \delta A_k = 0$ as above (no response to purely longitudinal static vector potential). For T case, get London result if we assume that for some reason matrix elements of $J_k \rightarrow 0$ with *k* but (relevant) energy levels stray finite ("rigidity", gap). Cf. atomic diamagnetism (Bohr-van Leeuwen theorem)

In <u>multiply</u> connected case (e.g. ring) cannot necessarily infer A = 0 in middle of ring \Rightarrow possibility of trapped flux. (but no statement about what values possible, for now)

Analogy between Meissner diamagnetism and HF effect in superfluid ⁴He:

If we place a normal liquid (including ${}^{4}He$ above T_{λ}) is an annular container and rotate the container slowly $\left(\omega < \omega_{e} \equiv \frac{1}{2}\hbar/mR^{2}\right)$, the liquid rotates with the container. If now in the case of ${}^{4}He$ we cool through T_{λ} and on down towards T = 0, the liquid comes <u>out of equilibrium</u> with the container and as $T \rightarrow 0$, is (approximately) at rest in the lab frame. This is the Hess-Fairbank (HF) effect (or nonclassical rotational inertia, NCRI).

To see the correspondence with Meissner diamagnetism, consider the Hamiltonian formulation of the problem <u>in the rotating frame</u>. (indicate variables in this frame by primes). For a single particle the canonical momentum \mathbf{p}' is $m(\dot{r}' + \boldsymbol{\omega} \times \mathbf{r}')(so \mathbf{j}' = m^{-1}(\mathbf{p}' - m\boldsymbol{\omega} \times \mathbf{r}'))$, and the (canonical) Hamiltonian is $(\mathbf{p}' - m\boldsymbol{\omega} \times \mathbf{r}')^2$

$$H'(\mathbf{r}',\mathbf{p}') = \frac{(\mathbf{p}' - m\mathbf{\omega} \times \mathbf{r}')}{2m} + \tilde{V}(\mathbf{r}')$$

 $\tilde{V}(\mathbf{r}') = V(\mathbf{r}') - \frac{1}{2}m(\boldsymbol{\omega} \times \mathbf{r}')^2 \quad \leftarrow \text{centrifugal term}$

and $\mathbf{j}' = (\mathbf{p}' - m\boldsymbol{\omega} \times \mathbf{r}')/m$

Compare case of electrically charged system, viewed from lab. frame but in presence of EM vector potential A(r):

$$H(\mathbf{r}, \mathbf{p}) = \frac{\left(\mathbf{p} - e\mathbf{A}(r)\right)^2}{2m} + V(r)$$
$$j = (\mathbf{p} - e\mathbf{A}(r))/m$$

except for centrifugal term, exact correspondence between EM system viewed from lab. frame & neutral system viewed from rotating frame, with $eA(\mathbf{r}) \leftrightarrows m(\mathbf{\omega} \times \mathbf{r})$, or for constant field **B** such that $\mathbf{A} = \frac{1}{2}\mathbf{B} \times \mathbf{r}$, $\mathbf{\omega} \sqsubseteq e\mathbf{B}/2m$.

In particular, nonzero EM current in <u>lab</u>. frame \leftrightarrows nonzero neutral-atom current in <u>rotating</u> frame.

[Can generalize straightforwardly to many-body case provided $V(\mathbf{r'}_i - \mathbf{r'}_j) = V(\mathbf{r}_i - \mathbf{r}_j)$]

<u>3</u> <u>Pippard modification</u>.

There are two obvious problems with the London theory:

- (1) it does not explain the possibility or nature of type-II superconductivity.
- (2) The actual value of the experimental penetration depth, as measured e.g. from inductance experiments, is often considerably greater than the London value

 $\sqrt{m/n_c(T)e^2\mu_o}$ and moreover is very sensitive to alloying, even though thermodynamic properties little affected.

Pippard hypothesis (discussed in much more detail later, in context of BCS theory): J(r) is a <u>nonlocal</u> function of A(r), i.e.^{*} [pure material for now]

$$\mathbf{J}(r) \sim \int K(r, r') \mathbf{A}(r') dr'$$

(t)

where range of *K* is of order some length ξ_0 ("Pippard coherence length"). If $\xi_0 \ll \lambda_L(T)$, then essentially reduces to London theory provided $\int K(rr')dr' = n_s(T)e^2/m$. What if $\xi_0 \gtrsim \lambda_L(T)$? Suppose actual penetration depth is $\sim \lambda$. Then the contribution to the RHS of (t) is $\sim A(r) \times n_s(T)e^2/m \times (\lambda/\xi_0) = A(r) \cdot \lambda_L^{-2}(\lambda/\xi_0)$. Thus,

$$\lambda^{-2} \sim \lambda_L^{-2}(\frac{\lambda}{\xi}.)$$

 $\Rightarrow \lambda \sim (\lambda_L^2 \xi_o)^{1/3}$, which can be $\gg \lambda_L$.

In a <u>dirty</u> material (mfp $\ell \ll \lambda_{pure}$) then Pippard supposed reduction would be by a factor ℓ/ξ_0 rather than (λ/ξ_0) . Thus,

$$\lambda^{-2} \to \lambda_L^{-2} \left(\ell/\xi_o\right)$$

$$\Rightarrow \lambda \sim \lambda_L (\xi_o/\ell)^{1/2}$$

So in Pippard approach, ξ_0 is essentially the range of nonlocality (in a pure metal) of electromagnetic effects. It turns out (from the experiments on $\lambda(T)$) that ξ_0 is <u>only weakly sensitive to temp, and in particular does not diverge for $T \rightarrow T_c$: in hindsight, will interpret ξ_0 as essentially radius of Cooper pairs.</u>

Definition of London and Pippard limits: note always in London limit for (a) sufficient dirt, and (b) for $T \rightarrow T_c$ ($\lambda_L \rightarrow \infty$, $\xi_0 \sim$ finite) (crucial for validity of GL approach). [Still no explanation of type-II...]

4 GL theory: type-II superconductivity

Suppose we apply an external field of the order of the thermodynamic critical field H_c to the sample. Let's consider the possibility that it punches holes (vortices) through, with a normal core (since **H** = 0 in bulk S) and circulating currents around the core. Is this energetically advantageous? [for a more quantitative calculation, see Tinkham section 4.3., which follows the historical arguments more closely]. Consider first for definiteness T=0.

* Specific choice:
$$K(rr') \sim \frac{RR'}{R^4} \exp - R\left\{\frac{1}{\xi_o} + \frac{1}{\ell}\right\}, \qquad R \equiv r - r'$$
 (Chambers)

First, what is the gain in energy? Essentially, we expect that the field punches through over a region of dimension $\sim\lambda$, so the gain per unit length of vortex line is $\sim H_c^2 \lambda^2$. On the other hand we need to form a normal core. Let's assume that the "bending energy" to go from S to N over a distance *L* is K/L^2 per unit vol., and define a length ξ so that $K = E_{\text{cond}} \cdot \xi^2$, where E_{cond} is the combination energy. Then the total bending energy per unit area is independent of *L* and of order $E_{\text{cond}}\xi^2 \sim H_c^2\xi^2$ (df of H_c !), while the loss of "bulk" condensation energy is $\sim E_{\text{cond}} L^2$: thus, for $L \leq \xi$ this term is of the same order as the bending energy, and the total energy/unit length of the vortex line is given by

$$E \sim H_c^2 (\alpha \xi^2 - b\lambda^2) \quad a, b \sim 1$$

Thus, for $\xi \gg \lambda$ the energy is positive and it is not advantageous to introduce vortex lines, but for $\lambda \gg \xi$ it becomes advantageous.

[At finite *T*, $E \rightarrow G(T)$ but argument otherwise the same]. Note, so far, no specification of "strength" of vortex.

These considerations made more quantitative by phenomenological theory of GL (1950). Introduce complex "order parameter" (wave function) $\psi(r)$ and postulate following expression for free energy density (after Landau & Lifshitz):

$$F(\psi) = F_{no} + \alpha |\psi|^2 + \frac{1}{2}\beta |\psi|^4 + \frac{1}{2m*} |(-i\hbar \nabla - e^* A(\mathbf{r}))^2 \psi|^2 + \frac{1}{2}\mu_o^{-1}B^2$$

In this expression m^{*} and e^{*} are at present stage unknown, though it seems reasonable to guess they are \sim electron mass and charge. The coefficients α , β are given by

$$\alpha(T) = \alpha_o(T - T_c)$$

$$\beta(T) = \beta_o \ (\sim ind. \ of \ T)$$

$$T > T_c$$

Thus for a <u>uniform</u> state, potential looks like: The electric current is defined as $\partial F/\partial A(\mathbf{r})$ and hence

$$J(r) = \frac{e *}{2m *} \left(\psi * \left(-i\hbar \nabla - e^* A(r) \right) \psi + c.c. \right)$$

just as for a single particle described by a Schrödinger wave function ψ . In the case where ψ is constant in space, $J(r) = -\frac{e^{*2}}{m^*} |\psi|^2 A(r)$: thus, we can tentatively write $\lambda_L^{-2} = \frac{e^{*2}}{m^*} |\psi|^2$.

 $T \leq T_c$

Note *GL* implicitly assume a local response. (valid for $T \to T_c$, at least) If ψ is taken to be the equilibrium *OP*, it is given for $T < T_c$ by $|\psi|^2 = |\alpha| /\beta$ and thus $\propto T_c - T$; thus $\lambda_L \propto (T_c - T)^{-1/2}$ as observed.

The *GL* free energy defines another characteristic length which is independent of e^* , namely $\xi^2(T) = (\hbar^2/2m^*)|\alpha(T)|$. Since $\alpha(T) \sim T_c - T$, $\xi(T)$ also $\sim (T_c - T)^{-1/2}$. $\xi(T)$ is <u>*GL* coherence (correlation) length:</u> do not confuse with ξ_o !

The ratio of λ_L to ξ is independent of T for $T \rightarrow T_c$ and is usually denoted κ : from the above

$$\kappa = \frac{\hbar^2}{2} \left(\frac{e^*}{m^*}\right)^2 \frac{1}{\beta}$$

where β can be derived from the experimental values of $H_c(T)$ and $\lambda(T)$ (see Tinkham 4.1). Actually in BCS theory we have in the "clean" limit

$$\frac{\lambda(T) \sim \lambda(0) (1 - T/T_c)^{-1/2}}{\xi(T) \sim \xi_o (1 - T/T_c)^{-1/2}} \} T \to T_c$$

so κ is actually $\sim \lambda_L(0)/\xi_o$ (0.96 times this, in clean limit).

It follows from a detailed analysis (cf. l. 10) that the formation of vortices is favorable when $\kappa > 1/\sqrt{2}$. : thus this is the discriminant between type-I and type-II superconductivity. For a <u>clean</u> superconductor, the type-I – type-II distinction is essentially the same as Pippard-London. For a dirty superconductor, $\kappa \sim \lambda_L(0)/\ell$.

5. The relevance of Bose condensation

Consider simple neutral system of noninteracting particles (statistics so for unspecified) in narrow annular geometry, radius R. Container rotates at angular velocity ω .

$$\widehat{H} = \widehat{H}_o - \boldsymbol{\omega} \cdot \boldsymbol{L} = \sum_{\ell} n_{\ell} \left(\frac{\hbar^2 \ell^2}{mR^2} - \hbar \omega \ell \right) + E_{\text{transverse}} \leftarrow \text{drops out}$$

$$\downarrow_{\widetilde{\mathcal{E}}_{\ell}}$$

Expectation value of angular momentum:

$$\langle L
angle = \sum_{\ell} n_{\ell} \, \hbar \ell.$$

normal state: classical, $n_{\ell} \sim N \exp - \beta \tilde{\epsilon}_{\ell} / \sum_{\ell}$. (Fermi, Bose..) in all cases smoothly varying function: consider classical case (or F, B in nondegenerate regime) for simplicity.

$$\begin{split} \langle L \rangle &= N\hbar \sum_{\ell} \ell \exp -\beta \tilde{\epsilon}_{\ell} / \sum_{\ell} \exp -\beta \tilde{\epsilon}_{\ell} \\ &\equiv N\hbar \sum_{\ell} \ell \exp -\beta \left(\frac{\hbar^2 \ell^2}{2mR^2} - \ell \hbar \omega \right) / \sum_{\ell} \exp - \ldots \end{split}$$

exponential function smooth for $\kappa T \gg \hbar^2/mR^2$; since smooth, $\cong N\hbar \int \ell \exp{-\beta} \dots / \int \exp{-\beta} \dots$ Introduce new variables $\ell' \equiv \ell - \ell_o, \ell_o \equiv mR^2\omega / \hbar$ so as to complete square, then

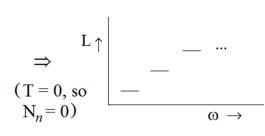
$$\begin{split} \langle L \rangle &= N\hbar \int d\ell' (\ell' + \ell_o) exp - \beta \left(\frac{\hbar^2 \ell'^2}{2mR^2} - A \right) / \int d\ell' exp - \beta (\hbar^2 \ell'^2 / 2mR^2 - A) \\ &= N\hbar \, \ell_o \, \equiv NmR^2 \, \omega \, \equiv \, I_{cl} \, \omega \end{split}$$

ie <u>liquid rotates exactly with cylinder</u> (to $o(\hbar^2/mR^2kT \ll 1)$).

Now consider Bose system below T_c : "normal component" described by $n_k = (\exp \beta \ \epsilon_k \dots 1)^{-1}$, <u>but</u> $\sum_k n_k \equiv N_n < N$, so define $N_o \equiv N - \sum_k n_k \equiv$ condensate no. (~N). These must all pile into the lowest single-particle state, i.e. one with minimum value of $\tilde{\varepsilon}_L$. Thus,

$$\langle L \rangle = N_m R^2 \omega + N_o \, \hbar \ell_o$$

 ℓ_o = nearest integer to $\omega/\omega_c \omega_c \equiv \hbar/mR^2$.



6a <u>A possible definition of the "order parameter" for a BEC system:</u>

$$\Phi(rt) \equiv \sqrt{N_o} \chi_o(rt) \qquad (N_o = f(t) \text{ in general case})$$

Definition of characteristic velocity: in Schrödinger (single-particle) case $\rho(rt) = |\psi(rt)|^2$

$$\boldsymbol{j}(rt) = -\frac{i\hbar}{2m}(\psi^* \nabla \psi - \psi \nabla \psi^*)$$

if introduce $\psi(rt) \equiv \Lambda(rt) \exp i \phi(rt)$, then

$$\rho(\mathbf{r}t) = \Lambda^2(\mathbf{r}t), j(\mathbf{r}t) = \frac{\hbar}{m} \Lambda^2 \nabla \varphi(\mathbf{r}t)$$

One can define "velocity" by

$$\mathbf{v}(\mathbf{r}t) \equiv \mathbf{j}(rt/\rho(rt)) = \frac{\hbar}{m} \nabla \varphi(rt)$$

"quantum" object, but not terribly useful physically, because subject to large fluctuations.

In BEC case, try defining.

(a) curl $\mathbf{v}_s = 0$

$$\Psi(rt) = \Lambda(rt) \exp i\varphi(rt) \qquad (\text{or}\sqrt{N_o} \times \text{this, doesn't matter})$$
$$\mathbf{v}_s(rt) \equiv \frac{\hbar}{m} \nabla \varphi(rt) \qquad \leftarrow \text{"superfluid velocity"}$$

(b) $\oint \mathbf{v}_s \cdot d\mathbf{l} = nh/m$ (Onsager-Feynman)

Note these conditions are not satisfied by "hydrodynamic" velocity of normal fluid

$$\left(\mathbf{v}_{h}(rt) \equiv \sum_{i} n_{i} A_{i}^{2}(\mathbf{rt}) \nabla \varphi_{i}(rt) / \sum_{i} n_{i} A_{i}^{2}(\mathbf{rt})\right)$$

Thus, \mathbf{v}_s is "quantum" object, but not subject to longer fluctuations because made up of contributions of $N_0 \sim N$ particles.

Charged system: $p \rightarrow p - eA$) so

$$\mathbf{v}_{s} = \frac{\hbar}{m} (\nabla \varphi - eA/\hbar)$$

$$\Rightarrow \oint \mathbf{v}_s \cdot d\mathbf{l} = \frac{\hbar}{m} (n - \Phi/(h/e))$$

and in particular if $\mathbf{v}_s = 0$ (e.g. in interior of thick ring) then

 $\Phi = nh/e$ (London, with e =actual electron charge)